

SUPREME COURT OF QUEENSLAND

REGISTRY: Brisbane
NUMBER: BS3508/2015

IN THE MATTER OF LM INVESTMENT MANAGEMENT LIMITED (IN LIQUIDATION) (RECEIVERS AND MANAGERS APPOINTED)

First Applicant: JOHN RICHARD PARK AS LIQUIDATOR OF LM INVESTMENT MANAGEMENT LIMITED (IN LIQUIDATION) (RECEIVERS AND MANAGERS APPOINTED) ACN 007 208 461 THE RESPONSIBLE ENTITY OF THE LM FIRST MORTGAGE INCOME FUND ARSN 089 343 288

AND

Second Applicant: LM INVESTMENT MANAGEMENT LIMITED (IN LIQUIDATION) (RECEIVERS AND MANAGERS APPOINTED) ACN 077 208 461 THE RESPONSIBLE ENTITY OF LM FIRST MORTGAGE INCOME FUND ARSN 089 343 288

AND

First Respondent: DAVID WHYTE AS THE PERSON APPOINTED TO SUPERVISE THE WINDING UP OF THE LM FIRST MORTGAGE INCOME FUND ARSN 089 343 288 PURSUANT TO SECTION 601NF OF THE CORPORATIONS ACT 2001

AND

Second Respondent: SAID JAHANI IN HIS CAPACITY AS RECEIVER AND MANAGER OF THE ASSETS, UNDERTAKING, RIGHTS AND INTERESTS OF LM INVESTMENT MANAGEMENT LIMITED (IN LIQUIDATION) (RECEIVERS AND MANAGERS APPOINTED) ACN 077 208 461 AS THE RESPONSIBLE ENTITY OF THE LM CURRENCY PROTECTED AUSTRALIAN INCOME FUND ARSN 110 247 875 AND THE LM INSTITUTIONAL CURRENCY PROTECTED AUSTRALIAN INCOME FUND ARSN 122 052 868

CERTIFICATE OF EXHIBIT

Part 2 of 2 (pages 161 - 308) of the exhibit "KAT-12" to the affidavit of KELLY ANNE LAVINIA TRENFIELD sworn on 23 December 2020.



CERTIFICATE OF EXHIBIT
Filed on behalf of the Applicants

Russells
Level 18, 300 Queen Street
Brisbane QLD 4000
Tel: (07) 3004 8888
Fax: (07) 3004 8899
Ref: MKR:20190205

LM First Mortgage Income Fund: Calculation of Category 1 July 2018 to 31 October 2020

Employee	Position	Rate/hour excl GST	Total actual hours	Total (excl GST)	Administration	Assets	Creditors	Incident	Investigations	Trade In
Ashleigh Ubank	Administration 2	185	1.00	267.00	82.00		185.00			
		205	0.40							
John Park	Senior Managing Director	625	6.60	23,991.00	23,991.00					
		695	6.00							
		720	21.80							
Kelly-Anne Trenfield	Senior Managing Director	625	105.60	91,250.00	79,356.50		7,141.50		4,752.00	
		695	12.40							
		720	23.10							
Neil Dempster	Senior Consultant 1	380	0.60	460.50			460.50			
	Senior Consultant 2	465	0.50							
Renee Lobb	Senior Director	570	45.10	50,006.00	4,137.50	250.00	19,928.00		24,990.00	700.50
	Managing Director	580	0.50							
	Managing Director	625	17.40							
		660	19.90							
Talia Glover	Administration 1	155	0.10	59.50						
	Administration 2	220	0.20							
Tanya Kratz	Administration 2	220	0.10	22.00	22.00					
Subtotal		261.30		166,056.00	107,648.50	250.00	27,715.00	0.00	29,742.00	700.50
GST				16,605.60						
Total (including GST)				182,661.60						
Hours				261.30	164.70	0.40	48.40	0.00	46.60	1.20

Charges out									
1 July 2018 to 31 October 2020									
Person	Date	Hrs	Rate	Charge	Task Desc	Narrative	Account		
Talia Glover	09-Jul-18	0.10	155	15.50	Administration	Produced excel WIP report from 01.10.15 to 30.06.18 - emailed to KAT	Remuneration		
Kelly-Anne Trenfield	09-Jul-18	0.30	625	187.50	Administration	update schedules for fee application; update remuneration schedules re approval application; prepare ARITA schedules	Remuneration		
Renee Lobb	10-Jul-18	0.20	570	114.00	Trade-On	Respond to request for fund update. provide same.	Investors		
Kelly-Anne Trenfield	10-Jul-18	0.10	625	62.50	Administration	finalise updated schedule to 30 June 2018 re remuneration approval	Remuneration		
Renee Lobb	12-Jul-18	0.20	570	114.00	Trade-On	Reviewing invoices, saving to file and adding to schedule.	Fund Administration		
Renee Lobb	15-Jul-18	0.20	570	114.00	Trade-On	Respond to investor query regarding fund updates provide same.	Investors		
Renee Lobb	16-Jul-18	0.30	570	171.00	Trade-On	Adding outstanding invoices to register. Make payments for same.	Fund Administration		
Renee Lobb	24-Jul-18	0.10	570	57.00	Trade-On	Send to CCS payments for processing.	Administration		
						Looking for ITRS documentation. Confirm not to hand.			
Renee Lobb	25-Jul-18	0.30	570	171.00	Trade-On	Reviewing register for the fund to send notice of remuneration application. removing email duplicates and postage duplicates after separating email and post only investors.	Investors		
Ashleigh Ubank	26-Jul-18	0.20	185	37.00	Administration	Mailing labels generated from investor list. Notice formatted on letterhead.	Investors		
						Do notice to members for remuneration application. send to Russells for review and discuss notice issuing with Kelly-Anne Trenfield and Ashleigh Ubank. Finalising notice. emailing to members and assisting Ashleigh Ubank with mail out.	Remuneration		
Renee Lobb	26-Jul-18	0.70	570	399.00	Trade-On	Respond to investor query email regarding remuneration application.	Investors		
Renee Lobb	27-Jul-18	0.10	570	57.00	Trade-On	Respond to investor email with update. Further investor enquiry response.	Investors		
Renee Lobb	30-Jul-18	0.20	570	114.00	Trade-On	Registering returned emails from remuneration application notice sent. Mark up to be sent via post.	Remuneration		
Renee Lobb	30-Jul-18	0.80	570	456.00	Trade-On	Mailing labels generated from investor list.	Investors		
Ashleigh Ubank	31-Jul-18	0.20	185	37.00	Administration				
Renee Lobb	31-Jul-18	0.20	570	114.00	Trade-On	Respond to investor email regarding remuneration application.	Investors		
						Reviewing documentation on asset realisations between 01/10/15 and 30/06/18 for affidavit. summarise same and give to Kelly-Anne Trenfield.	Remuneration		
Renee Lobb	01-Aug-18	0.30	570	171.00	Investigations	Call with investor. provide background on fund winding up and timing. Explain transfer process.	Investors		
Renee Lobb	01-Aug-18	0.30	570	171.00	Trade-On	Email to Utmost re July circular	Investors		
Neil Dempster	02-Aug-18	0.10	380	38.00	Creditors		Investors		
Neil Dempster	03-Aug-18	0.10	380	38.00	Creditors	Email to Citi bank re redemptions	Investors		

Renee Lobb	Senior Director	07-Aug-18		0.20	570	114.00	Trade-On	Review ATO refund disallowance correspondence. Sent to WMS for new reconciliation on amounts receivable and payable.	Tax
Renee Lobb	Senior Director	09-Aug-18		0.10	570	57.00	Trade-On	Respond to investor query email.	Investors
Renee Lobb	Senior Director	10-Aug-18		0.10	570	57.00	Trade-On	Respond to investor update details and fund update request.	Investors
Renee Lobb	Senior Director	10-Aug-18		0.10	570	57.00	Trade-On	Review email regarding BAS's and refund request. provide requested information for June Qtr BAS.	Tax
Renee Lobb	Senior Director	10-Aug-18		0.10	570	57.00	Trade-On	Payment to advanced share. update register for payment.	Fund Administration
Renee Lobb	Senior Director	13-Aug-18		0.20	570	114.00	Trade-On	Download CSV account statement files. Send to WMS.	Audit
Renee Lobb	Senior Director	14-Aug-18		0.10	570	57.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Senior Director	16-Aug-18		0.60	570	342.00	Administration	Review and mark up changes to FY 15 financials draft. Send back to WMS for review.	Audit
Renee Lobb	Senior Director	16-Aug-18		0.20	570	114.00	Administration	Call the atom and leave message to return call regarding AIF.	Tax
Renee Lobb	Senior Director	17-Aug-18		0.10	570	57.00	Trade-On	Review and respond to email from investor requesting update.	Investors
Renee Lobb	Senior Director	17-Aug-18		0.20	570	114.00	Administration	Call with ATO regarding refund request.	Tax
Renee Lobb	Senior Director	17-Aug-18		0.10	570	57.00	Trade-On	Send email with signed June Qtr bas to WMS.	Tax
Renee Lobb	Senior Director	21-Aug-18		0.10	570	57.00	Trade-On	Respond to investor update and transaction statement request.	Investors
Renee Lobb	Senior Director	21-Aug-18		0.20	570	114.00	Trade-On	Respond to investor query regarding AIF x 2	Investors
Renee Lobb	Senior Director	22-Aug-18		0.20	570	114.00	Trade-On	Respond to investor query. provide units held details.	Investors
Renee Lobb	Senior Director	23-Aug-18		0.20	570	114.00	Trade-On	Respond to request for unit holdings. request investor account numbers.	Investors
Renee Lobb	Senior Director	23-Aug-18		0.50	570	285.00	Trade-On	Call with WMS regarding queries about payments from AIF in line with First Mortgage Income Fund orders and fund reimbursements along with controllership fees. Review file and request assistance in locating supporting documents from Kelly-Anne Trenfield.	Audit
Renee Lobb	Senior Director	23-Aug-18		0.20	570	114.00	Administration	Discussion with Kelly-Anne Trenfield on same. Further call and email to WMS to clear up queries.	Administration
Renee Lobb	Senior Director	23-Aug-18		0.20	570	114.00	Administration	Filing all sent emails in email public folders.	Administration
Renee Lobb	Senior Director	24-Aug-18		0.60	570	342.00	Trade-On	Trade on - respond to investor email request for update. trade on - reviewing email re remuneration information needed for AIF. Locate all November and December 2017 documents relating to movement of funds from AIF to LM and for remuneration. trade on - further response to investor re audits.	Investors
Renee Lobb	Senior Director	24-Aug-18		0.10	570	57.00	Trade-On	Trade on - payment of perpetual custody fees. Update schedule of fund payables.	Fund Administration
Neil Dempster	Senior Consultant 1	27-Aug-18		0.10	380	38.00	Administration	Confirm unit holdings for Old mutual	Investors
Ashleigh Ubank	Administration 2	30-Aug-18		0.10	185	18.50	Administration	RTS. New addresses searched for. Results recorded in MYOB.	Administration
Renee Lobb	Senior Director	03-Sep-18		0.30	570	171.00	Trade-On	Respond in detail to distribution timing and percentages query.	Fund Administration

Renee Lobb	Senior Director	04-Sep-18	0.20	570	114.00	Trade-On	Respond to multiple queries regarding transfer process. Save down WMS invoice requiring payment. updating registers and arranging payment via Suncorp.	Fund Administration
Renee Lobb	Senior Director	04-Sep-18	0.10	570	57.00	Trade-On	Review enquiry to Advanced share regarding annual reports and other information on AIF. Respond.	Fund Administration
Renee Lobb	Senior Director	04-Sep-18	0.20	570	114.00	Trade-On	Review detailed queries about transfer process. Answer email including 6 questions contained therein.	Fund Administration
Renee Lobb	Senior Director	04-Sep-18	0.10	570	57.00	Trade-On	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Fund Administration
Ashleigh Ubank	Administration 2	06-Sep-18	0.10	185	18.50	Administration	Changing billing information on Xero to ensure separate fund invoice received.	Administration
Renee Lobb	Senior Director	06-Sep-18	0.10	570	57.00	Trade-On	Pay Advanced Share Registry invoice. Update registers for same.	Fund Administration
Renee Lobb	Senior Director	11-Sep-18	0.30	570	171.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Senior Director	14-Sep-18	0.10	570	57.00	Trade-On	Review email from WMS regarding requirement to lodge tax returns. Send back comments on same to determine if this is a required step before we can finalise the fund.	Tax
Renee Lobb	Senior Director	14-Sep-18	1.00	570	570.00	Trade-On	Drafting NAV, calculate AUD equivalent units as at 30 June 2018 using ATO rates. Complete draft update to investors to advise fund progress.	Investors
Renee Lobb	Senior Managing Director	17-Sep-18	0.10	625	62.50	Administration	Follow up advanced share after confirming investor enquiry is in regards to AIF.	Investors
Renee Lobb	Senior Director	18-Sep-18	0.20	570	114.00	Trade-On		Investors
Renee Lobb	Senior Director	18-Sep-18	0.10	570	57.00	Trade-On	Edits to fund updates in line with KATs comments. send revised version and request confirmation they are okay to finalise.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	18-Sep-18	0.10	625	62.50	Administration	Review, amend and sign RTC	Fund Administration
Renee Lobb	Senior Director	19-Sep-18	0.30	570	171.00	Trade-On	Call with investor regarding accounts held and failure by advanced share registry to action transfer.	Investors
Renee Lobb	Senior Director	19-Sep-18	0.40	570	228.00	Trade-On	Email to WMS following up on tax matter progress. Obtain same and update report to investors accordingly. Further updates throughout the day based on Kelly-Anne Trenfield and John Park feedback. Instructions to Ashleigh Ubank to finalise. Post to website.	Investors
Renee Lobb	Senior Director	20-Sep-18	0.80	570	456.00	Trade-On	Call with Advanced share Sydney and Perth to discuss significant service issues and specific transfer requests which have been forwarded to our office when they are AIF. discuss issues in detail to ascertain how to resolve. send follow on email to investors in question.	Investors
Kelly-Anne Trenfield	Senior Managing Director	20-Sep-18	0.10	625	62.50	Administration	RCL re update on register	Fund Administration
Renee Lobb	Senior Director	21-Sep-18	0.20	570	114.00	Trade-On	Responding to transfer information request.	Fund Administration
Renee Lobb	Senior Director	24-Sep-18	0.10	570	57.00	Trade-On	Attend to query regarding update posted last week.	Investors
Renee Lobb	Senior Director	25-Sep-18	0.10	570	57.00	Administration	Scan and send to WMS AIF paper bas received.	Tax
Neil Dempster	Senior Consultant 1	27-Sep-18	0.10	380	38.00	Creditors	Email Rosemary Yates direct to website re query	Investors

Renee Lobb	Senior Director	02-Oct-18	0.20	570	114.00	Trade-On	Respond to investor enquiry regarding fund contact and query.	Investors
Renee Lobb	Senior Director	02-Oct-18	0.10	570	57.00	Administration	Expense claim for zero access	Fund Administration
Renee Lobb	Senior Director	03-Oct-18	0.20	570	114.00	Trade-On	Respond to investor queries on distribution and audit timing. Direct to update.	Investors
Renee Lobb	Senior Director	04-Oct-18	0.20	570	114.00	Trade-On	Review fax received. look up investors and note they are for AIF and it was a transfer request. Respond requesting transfer be send to Advanced Share.	Investors
Neil Dempster	Senior Consultant 1	04-Oct-18	0.20	380	76.00	Creditors	Discuss remuneration hearing with RCL and how to respond to investor rep. return email to David Cherry we application - not yet finalised.	Investors
Renee Lobb	Senior Director	08-Oct-18	0.30	570	171.00	Trade-On	Respond to investor update request. provide registry details Other emails to investors regarding transfers. provide contact details.	Investors
Ashleigh Ubank	Administration 2	08-Oct-18	0.10	185	18.50	Creditors	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Kelly-Anne Trenfield	Senior Managing Director	09-Oct-18	0.10	625	62.50	Creditors	PODS - Adjudication and classification of PODS	PODS
Renee Lobb	Senior Director	10-Oct-18	0.10	570	57.00	Trade-On	PAY AIF invoices	Fund Administration
Renee Lobb	Senior Director	11-Oct-18	0.40	570	228.00	Trade-On	Call with Josh from WMS. Go through audit queries.	Audit
Renee Lobb	Senior Director	11-Oct-18	0.20	570	114.00	Trade-On	Review of FY 15 final draft audit. Review fee notes and raise query.	Audit
Neil Dempster	Senior Consultant 1	11-Oct-18	0.10	380	38.00	Creditors	Email to Francesca Sant direct to website for update	Investors
Renee Lobb	Senior Director	12-Oct-18	0.20	570	114.00	Trade-On	Call with Evan from TNR regarding audit process and queries.	Audit
Renee Lobb	Senior Director	15-Oct-18	0.50	570	285.00	Trade-On	Call with investor. Answer detailed queries, locate distribution 2016 amount with OMI and advise figures in AUD and calculation methodology. Answer questions about transfer into individual names.	Investors
Renee Lobb	Senior Director	18-Oct-18	0.20	570	114.00	Trade-On	Review, amend, save and print of letterhead management representation letter. Give to John Park to sign.	Audit
Renee Lobb	Senior Director	22-Oct-18	0.10	570	57.00	Trade-On	Reviewing financials. print and send to John Park for signing. send to WMS and TNR onc3e executed.	Audit
Renee Lobb	Senior Director	22-Oct-18	0.10	570	57.00	Trade-On	Can. save and email management representation letter to auditors.	Audit
Renee Lobb	Senior Director	24-Oct-18	0.10	570	57.00	Administration	Filing all sent funds related emails.	Administration
Ashleigh Ubank	Administration 2	24-Oct-18	0.10	185	18.50	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Administration
Renee Lobb	Senior Director	25-Oct-18	0.20	570	114.00	Trade-On	Review and respond to email requesting update and details on unit holding.	Investors
Renee Lobb	Senior Director	30-Oct-18	0.10	570	57.00	Trade-On	Respond to investor unit holding enquiry.	Investors
Renee Lobb	Senior Director	01-Nov-18	0.10	570	57.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Senior Director	05-Nov-18	0.20	570	114.00	Trade-On	Respond to email from investor regarding fund wind up progress.	Investors
Renee Lobb	Senior Director	06-Nov-18	0.20	570	114.00	Trade-On	Respond to investor update request.	Investors
Neil Dempster	Senior Consultant 1	06-Nov-18	0.10	380	38.00	Administration	Email to advanced request copy of register	Investors
Renee Lobb	Senior Director	07-Nov-18	0.10	570	57.00	Trade-On	Respond to investor request for update.	Investors

Neil Dempster	Senior Consultant 1	08-Nov-18	0.30	380	114.00	Creditors	Discuss with RCL re process for service to investors Follow up email to Advanced for up to provide up to date copy of the register	Investors
Neil Dempster	Senior Consultant 1	08-Nov-18	0.10	380	38.00	Creditors		Investors
Neil Dempster	Senior Consultant 1	09-Nov-18	0.80	380	304.00	Creditors	Time spent on preparing for an conducting email and mail out of notice to members re application for LMIM future conduct	Investors
Renee Lobb	Senior Director	12-Nov-18	0.20	570	114.00	Trade-On	Respond to investor query regarding return.	Investors
Neil Dempster	Senior Consultant 1	12-Nov-18	1.60	380	608.00	Creditors	Record bouncebacks from 9.11.2018 email blast x30 7x response to emails	Investors
Ashleigh Ubank	Administration 2	13-Nov-18	0.30	185	55.50	Administration	Follow up service affidavit circulars issued via post to returned emails.	Legal
Renee Lobb	Senior Director	13-Nov-18	0.20	570	114.00	Trade-On	Make payment to advanced share, send to CCs payments to release and update register.	Fund Administration
Renee Lobb	Senior Director	13-Nov-18	0.10	570	57.00	Trade-On	Follow up WMS for audit.	Audit
Kelly-Anne Trenfield	Senior Managing Director	16-Nov-18	0.10	625	62.50	Administration	Review audit report and request finalisation	Audit
Neil Dempster	Senior Consultant 1	16-Nov-18	0.10	380	38.00	Creditors	Direct Lisa Matijevic to advanced for register update	Investors
Neil Dempster	Senior Consultant 1	18-Nov-18	0.30	380	114.00	Creditors	Attend Russells office and work with Julian Walsh to finalise service affidavit	Legal
Kelly-Anne Trenfield	Senior Managing Director	20-Nov-18	0.10	625	62.50	Administration	Review lodgement criteria; instructions to AU; review asc requirements and email to WMS re lodgement as unable to lodge online/via paper lodgement	Audit
Neil Dempster	Senior Consultant 1	25-Nov-18	0.20	380	76.00	Administration	Do form 5137 draft note to KAT re appointment date	Audit
Neil Dempster	Senior Consultant 1	26-Nov-18	0.20	380	76.00	Administration	Scan copy of form 5137 to file note RCL has appeared to have drafted - was it submitted?	Audit
Kelly-Anne Trenfield	Senior Managing Director	26-Nov-18	0.10	625	62.50	Administration	Review and add appt date to F5137 re appointment of auditors;	Audit
Neil Dempster	Senior Consultant 1	28-Nov-18	0.10	380	38.00	Administration	Take form 5137 to ASIC get time stamped	Audit
Renee Lobb	Senior Director	30-Nov-18	0.10	570	57.00	Trade-On	Reviewing emails regarding audit lodgements and change of auditor forms. File same.	Audit
Renee Lobb	Senior Director	30-Nov-18	0.10	570	57.00	Trade-On	Respond to investor query. direct to fund update.	Investors
Renee Lobb	Senior Director	30-Nov-18	0.20	570	114.00	Trade-On	Reviewing email regarding audit ID documentation required for John Park. call and leave message for new audit contact also.	Audit
Renee Lobb	Senior Director	30-Nov-18	0.20	570	114.00	Trade-On	Responding to investor queries. provide contact details for Advanced.	Investors
Renee Lobb	Senior Director	03-Dec-18	0.10	570	57.00	Trade-On	Saving final 2015 audit.	Audit
Renee Lobb	Senior Director	05-Dec-18	0.20	570	114.00	Trade-On	Payment to perpetual. upload payment, email to release and update register. Reviewing email regarding FY 16 financials and respond to WMS.	Fund Administration
Ashleigh Ubank	Administration 2	05-Dec-18	0.10	185	18.50	Creditors	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Ashleigh Ubank	Administration 2	06-Dec-18	0.10	185	18.50	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Administration
Renee Lobb	Senior Director	06-Dec-18	0.20	570	114.00	Trade-On	Downloading CSV file for FY 18/19 audit. Send to WMS. Save FY 15 finalised financials also.	Audit
Renee Lobb	Senior Director	07-Dec-18	0.20	570	114.00	Trade-On	Call with WMS regarding tax lodgements and status of audit lodgements.	Audit

Renee Lobb	Senior Director	07-Dec-18	0.10	570	57.00	Trade-On	Review and give to John Park for signing Sept Qtr 18 BAS.	Tax
Renee Lobb	Senior Director	10-Dec-18	0.10	570	57.00	Trade-On	Issue BAS to WMS for lodgement.	Tax
Renee Lobb	Senior Director	11-Dec-18	0.10	570	57.00	Administration	Filing sent emails.	Administration
Renee Lobb	Senior Director	11-Dec-18	0.10	570	57.00	Trade-On	Following up bank confirmation ID requirements of CBA for audits.	Audit
Renee Lobb	Senior Director	12-Dec-18	0.10	570	57.00	Administration	Filing all sent emails for records.	Administration
Renee Lobb	Senior Director	12-Dec-18	0.20	570	114.00	Trade-On	Discuss audit lodgements with Kelly-Anne Trenfield. Follow up TNR and WMS for FY 16 edits and FY 17 and FY 18 lodgement time frames to be met going forward.	Audit
Renee Lobb	Senior Director	12-Dec-18	0.10	570	57.00	Trade-On	Updating funds payable register for invoices received and paid today. Load payment to Suncorp and send to CCS payments to release.	Fund Administration
Renee Lobb	Senior Director	13-Dec-18	1.40	570	798.00	Trade-On	Discussions with Kelly-Anne Trenfield regarding audit completion time frames and progress thus far. Emails regarding same. Review, make comments, additions, and amendments to draft FY 16 audit and return to WMS. Responses to TNR regarding timing for further audits and information required from FTI presently.	Audit
Renee Lobb	Senior Director	14-Dec-18	0.10	570	57.00	Trade-On	Review and respond to transfer request. Confirm it is to be actioned by Advanced share.	Fund Administration
Renee Lobb	Senior Director	14-Dec-18	0.40	570	228.00	Trade-On	Reviewing AIF audit query responses. Request confirmation audit is okay to be signed. Review email and take voicemail from Geoff Dwyer. Return call and leave message.	Audit
Renee Lobb	Senior Director	14-Dec-18	0.10	570	57.00	Trade-On	Review and respond to email from auditors regarding bank audit confirmation/certificate after receipt of CBA correspondence.	Audit
Kelly-Anne Trenfield	Senior Managing Director	14-Dec-18	0.10	625	62.50	Administration	Emails in/out with WMS and auditors re status of funds and tax obligations	Audit
Renee Lobb	Senior Director	17-Dec-18	0.20	570	114.00	Trade-On	Respond to transfer queries, provide relevant roles of FTI and Advanced Share.	Fund Administration
Renee Lobb	Senior Director	18-Dec-18	0.10	570	57.00	Trade-On	Review and respond to email regarding Suncorp audit confirmation. Advise of our contact.	Audit
Renee Lobb	Senior Director	19-Dec-18	0.20	570	114.00	Trade-On	Reviewing final audit documents and respond to auditors on timing. Send to John Park for authority to finalise to enable pre-Christmas lodgement.	Audit
Tanya Kratz	Administration 2	20-Dec-18	0.60	185	111.00	Administration	Amend and finalise documents as requested.	Administration
Renee Lobb	Senior Director	20-Dec-18	0.50	570	285.00	Trade-On	Review email from John Park with approvals. Send to TK with instructions to get audit finalised. Send documentation to auditors finalised for lodgement. save auditor final copies. Review email regarding 5137's and audit lodgements through the liquidator portal. Check options available. Request Neil Dempster to follow up tomorrow to find a solution.	Audit
Renee Lobb	Senior Director	21-Dec-18	0.20	570	114.00	Trade-On	Review fund invoice for audits. Email Kelly-Anne Trenfield regarding payment timing, discuss lodgement of 5137's with Neil and to send email to auditors with update.	Audit

Neil Dempster	Senior Consultant 1	21-Dec-18	0.20	380	76.00	Administration	Check scan save and email 5137 re TNR appointment - diarise	Audit
Neil Dempster	Senior Consultant 1	21-Dec-18	0.20	380	76.00	Administration	Email to CY request to follow up 5137 lodgements	Audit
Renee Lobb	Senior Director	02-Jan-19	0.50	570	285.00	Trade-On	Responding to investor queries and making payment to TNR for audit work completed.	Investors
Kelly-Anne Trenfield	Senior Managing Director	02-Jan-19	0.10	625	62.50	Administration	Review incoming documentation, consider and send to filing	Administration
Ashleigh Ubank	Administration 2	04-Jan-19	0.10	185	18.50	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Renee Lobb	Senior Director	04-Jan-19	0.20	570	114.00	Trade-On	Review and respond in detail to email regarding finalisation steps.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	04-Jan-19	0.10	625	62.50	Administration	Review incoming documentation, consider and send to filing; Review and update to do list; query outstanding items	Administration
Neil Dempster	Senior Consultant 1	04-Jan-19	0.10	380	38.00	Administration	Review and file email to advance from Greg Thomas re transfer	Investors
Renee Lobb	Senior Director	07-Jan-19	0.20	570	114.00	Trade-On	Investor query responses.	Investors
Renee Lobb	Senior Director	08-Jan-19	0.20	570	114.00	Trade-On	Review update request on transfer, respond and request Advanced attend to providing the investor with an update.	Investors
Renee Lobb	Senior Director	10-Jan-19	0.20	570	114.00	Trade-On	Make payments, update register of fund payables.	Fund Administration
Ashleigh Ubank	Administration 2	11-Jan-19	0.10	185	18.50	Creditors	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Neil Dempster	Senior Consultant 1	11-Jan-19	0.10	380	38.00	Creditors	Email to OMI confirm unit holdings remain the same	Investors
Renee Lobb	Senior Director	15-Jan-19	0.20	570	114.00	Investigations	Call with ASIC regarding letter rejecting notification of new auditor. try to troubleshoot way around.	Audit
Neil Dempster	Senior Consultant 1	15-Jan-19	0.10	380	38.00	Creditors	Email to Les Sposito re timing for future distributions	Investors
Neil Dempster	Senior Consultant 1	15-Jan-19	0.10	380	38.00	Creditors	Email to AMP re bulk updates to registry	Investors
Renee Lobb	Senior Consultant 1	15-Jan-19	0.10	380	38.00	Administration	Email to AU re audit lodgement	Audit
Renee Lobb	Senior Director	16-Jan-19	0.20	570	114.00	Trade-On	Email after voicemail received to investor with update on fund and barriers to closure.	Investors
Renee Lobb	Senior Director	17-Jan-19	0.20	570	114.00	Trade-On	Make WMS payment and seek update on tax lodgement advice. Update registers for payment.	Audit
Renee Lobb	Senior Director	22-Jan-19	0.20	570	114.00	Trade-On	Investor query email and reply.	Investors
Renee Lobb	Senior Director	25-Jan-19	0.30	570	171.00	Trade-On	Respond in detail to investor update request.	Investors
Renee Lobb	Senior Director	29-Jan-19	0.30	570	171.00	Trade-On	Reviewing ASIC correspondence. Locate ASIC enquiry form and lodge detailed request regarding notification of TNR as auditors and rejection of forms 5137. Discuss with Kelly-Anne Trenfield after lodgement.	Audit
Renee Lobb	Senior Director	30-Jan-19	0.20	570	114.00	Trade-On	Investor enquiry response.	Investors
Renee Lobb	Senior Director	31-Jan-19	0.10	570	57.00	Administration	Follow up advice timeframe for fund tax obligations. Call with ASIC to discuss issue with auditors not being able to lodge accounts electronically as ASIC will not recognise the new auditors. Come up with solution.	Tax
Renee Lobb	Senior Director	31-Jan-19	0.20	570	114.00	Trade-On		Audit

Renee Lobb	Senior Director	01-Feb-19	0.30	570	171.00	Trade-On	Email TNR regarding ASIC audit lodgement resolution. provide instructions to lodge. Prepare ASIC lodgement forms, print audits. give to John Park to sign and lodge thereafter.	Audit
Renee Lobb	Senior Director	04-Feb-19	0.10	570	57.00	Administration	Xero expense claim.	Fund Administration
Renee Lobb	Senior Director	04-Feb-19	0.20	570	114.00	Trade-On	Responding to investor update request.	Investors
Kelly-Anne Trenfield	Senior Managing Director	04-Feb-19	0.60	625	375.00	Administration	Review of WIP for future remuneration application; schedule to 31 Dec re future remuneration approval; Preparation of remuneration schedule including activity categorization	Remuneration
Neil Dempster	Senior Consultant 1	04-Feb-19	0.10	380	38.00	Creditors	Email to clearstream confirm we will not be confirming future unit holdings for their audit purposes	Investors
Renee Lobb	Senior Director	05-Feb-19	0.20	570	114.00	Trade-On	Respond to investor update request.	Investors
Neil Dempster	Senior Consultant 1	05-Feb-19	0.20	380	76.00	Creditors	Mail in - check against AIF register - locate scan transfer form and email to Advanced to deal with	Investors
Renee Lobb	Senior Director	07-Feb-19	0.10	570	57.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Senior Director	08-Feb-19	0.20	570	114.00	Trade-On	Payment. Update master payables sheet and send to CCS payments.	Fund Administration
Renee Lobb	Senior Director	11-Feb-19	0.20	570	114.00	Trade-On	Respond to investor enquiry regarding distribution. provide update and request further details on account to provide more information.	Investors
Renee Lobb	Senior Director	12-Feb-19	0.10	570	57.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Senior Director	13-Feb-19	0.20	570	114.00	Trade-On	Respond to investor enquiry.	Investors
Neil Dempster	Senior Consultant 1	14-Feb-19	0.10	380	38.00	Creditors	Email to Ray re cant locate original transfer form	Investors
Neil Dempster	Senior Consultant 1	14-Feb-19	0.10	380	38.00	Creditors	Email to BP re locating transfer doc original to post to Advanced	Investors
Renee Lobb	Senior Director	15-Feb-19	0.20	570	114.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Senior Director	15-Feb-19	0.20	570	114.00	Investigations	Call with CGW regarding information required for advice on tax returns. go through records, locate and send to CGW.	Tax
Renee Lobb	Senior Director	19-Feb-19	0.20	570	114.00	Trade-On	Payment to perpetual for custody fees. updating registers.	Fund Administration
Renee Lobb	Senior Director	20-Feb-19	0.20	570	114.00	Trade-On	Responding to two emails. 1 regarding update request and the other about the transfer process.	Investors
Renee Lobb	Senior Director	20-Feb-19	0.20	570	114.00	Trade-On	Respond to investor queries.	Investors
Neil Dempster	Senior Consultant 1	20-Feb-19	0.10	380	38.00	Creditors	Email to SEB international re transfer instructions	Investors
Ashleigh Ubank	Administration 2	21-Feb-19	0.10	185	18.50	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Renee Lobb	Senior Director	25-Feb-19	0.30	570	171.00	Trade-On	Go through correspondence for transfers received. on send one AIF one to Advanced Share. Email investor back regarding process.	Investors
Renee Lobb	Senior Director	26-Feb-19	0.10	570	57.00	Trade-On	Respond to investor enquiry.	Investors
Renee Lobb	Senior Director	28-Feb-19	0.10	570	57.00	Trade-On	Respond to audit confirmation request from Perpetual on account holdings.	Audit
Renee Lobb	Senior Director	28-Feb-19	0.10	570	57.00	Trade-On	Answer investor query email.	Investors
Renee Lobb	Senior Director	04-Mar-19	0.20	570	114.00	Trade-On	Download invoice and lodge expense claim for Xero monthly charges.	Fund Administration

Renee Lobb	Senior Director	07-Mar-19	0.20	570	114.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Senior Director	08-Mar-19	0.30	570	171.00	Trade-On	Respond to investor email query x 3	Investors
Renee Lobb	Senior Director	12-Mar-19	0.30	570	171.00	Investigations	Follow up CGW advice on fund tax returns and TNR on audit timeline. Follow up WMS also.	Audit
Renee Lobb	Senior Director	13-Mar-19	0.20	570	114.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Senior Director	18-Mar-19	0.10	570	57.00	Trade-On	Provide Neil Dempster with fund update to assist in investor replies.	Investors
Renee Lobb	Senior Director	20-Mar-19	0.10	570	57.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Senior Director	21-Mar-19	0.20	570	114.00	Trade-On	Look up investment and respond to email requesting unit holding.	Investors
Neil Dempster	Senior Consultant 1	21-Mar-19	0.10	380	38.00	Creditors	Email to OMI re transfers to other bond holding platform	Investors
Renee Lobb	Senior Director	22-Mar-19	0.10	570	57.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Senior Director	25-Mar-19	0.10	570	57.00	Trade-On	Follow up CGW for advice on tax lodgements.	Tax
Renee Lobb	Senior Director	25-Mar-19	0.20	570	114.00	Trade-On	Respond in detail to investor queries.	Investors
Renee Lobb	Senior Director	26-Mar-19	0.10	570	57.00	Trade-On	Investor query response.	Investors
Neil Dempster	Senior Consultant 2	03-Apr-19	0.20	440	88.00	Creditors	Email to Colin Cooke re AIF latest update	Investors
Neil Dempster	Senior Consultant 2	03-Apr-19	0.20	440	88.00	Creditors	Email to Zenith grp latest update	Investors
Neil Dempster	Senior Consultant 2	03-Apr-19	0.20	440	88.00	Creditors	Email to Sam at Corporate Actions re latest update	Investors
Renee Lobb	Managing Director	04-Apr-19	0.30	580	174.00	Trade-On	Respond to investor emails.	Investors
Renee Lobb	Managing Director	05-Apr-19	0.10	580	58.00	Trade-On	Review, save and expense zero monthly data access costs.	Fund Administration
Renee Lobb	Managing Director	05-Apr-19	0.10	580	58.00	Trade-On	Update register for fund payable.	Fund Administration
Renee Lobb	Managing Director	08-Apr-19	0.10	580	58.00	Trade-On	Review and submit payment for release by perpetual.	Fund Administration
Renee Lobb	Managing Director	09-Apr-19	0.70	580	406.00	Trade-On	Call with WMS contact regarding FY17 and FY18 returns. provide background and answer specific transaction queries. Call to CGW regarding advice receipt timing to ascertain if ITRs are also required for the funds.	Audit
Neil Dempster	Senior Consultant 2	09-Apr-19	0.10	440	44.00	Creditors	Email to Ronan at Citibank re our appointment and unit holdings	Investors
Neil Dempster	Senior Consultant 2	09-Apr-19	0.20	440	88.00	Creditors	Email to Ronan - Citibank - confirm re unit holding	Investors
Neil Dempster	Senior Consultant 2	11-Apr-19	0.10	440	44.00	Creditors	Email to Citibank confirm AIF will not trade again	Investors
Renee Lobb	Managing Director	15-Apr-19	0.20	580	116.00	Trade-On	Review and collate information to respond to WMS information request. provide relevant documents via return email.	Audit
Renee Lobb	Managing Director	18-Apr-19	0.10	580	58.00	Trade-On	Responding to email from auditors regarding FY17 and FY18 accounts.	Fund Administration
Renee Lobb	Managing Director	23-Apr-19	0.10	580	58.00	Trade-On	Call with Adam at WMS regarding audit queries.	Audit
Renee Lobb	Managing Director	26-Apr-19	1.20	580	696.00	Trade-On	Going through query list and compiling documents. return to WMS with my comments and attachments requested and to assist.	Audit
Renee Lobb	Managing Director	29-Apr-19	0.70	580	406.00	Trade-On	Call with WMS regarding audit queries. Call with auditor to discuss AIF queries. Go through distribution list, AUD and forex amounts. Go through subsequent events etc.	Audit

Neil Dempster	Senior Consultant 2	29-Apr-19	0.80	440	352.00	Creditors	Citibank queries - investment reconciliation - ~80 investments, reconcile to ISIN numbers and table for Citibank - some differences Review and return email from WMS with requested documentation.	Investors
Renee Lobb	Managing Director	01-May-19	0.20	625	125.00	Trade-On		Audit
Renee Lobb	Managing Director	02-May-19	0.10	625	62.50	Trade-On	Claiming and processing payment of Xero invoices	Fund Administration
Renee Lobb	Managing Director	08-May-19	0.10	625	62.50	Trade-On	Scan save and send to WMD Dec Qtr and Mar Qtr BAS's.	Fund Administration
Renee Lobb	Managing Director	10-May-19	0.10	625	62.50	Trade-On	Payment of WMS invoice, update schedule.	Audit
Renee Lobb	Managing Director	13-May-19	0.10	625	62.50	Trade-On	Updating registers for payments. Make payment from Suncorp account x 1	Fund Administration
Renee Lobb	Managing Director	20-May-19	0.10	625	62.50	Trade-On	Email to Kelly-Anne Trenfield on audit and advice progress.	Fund Administration
Renee Lobb	Managing Director	20-May-19	0.10	625	62.50	Trade-On	Respond to investor enquiry requesting update.	Investors
Kelly-Anne Trenfield	Senior Managing Director	21-May-19	0.20	695	139.00	Administration	Remuneration review and compilation from 1 Jan 2019 to 31 March 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	23-May-19	0.20	695	139.00	Administration	Review remuneration table and complete categorisation of time entries	Remuneration
Neil Dempster	Senior Consultant 2	24-May-19	0.10	465	46.50	Creditors	Email to Ronan Lee Citibank confirm not unit holding movement and confirm no contract note	Investors
Neil Dempster	Senior Consultant 2	27-May-19	0.10	465	46.50	Creditors	Email to Ronan at Citibank re difference between his records and register	Investors
Neil Dempster	Senior Consultant 2	30-May-19	0.10	465	46.50	Creditors	Email to citi bank confirm fund will not trade again	Investors
Neil Dempster	Senior Consultant 2	31-May-19	0.10	465	46.50	Creditors		Investors
Renee Lobb	Managing Director	03-Jun-19	0.10	625	62.50	Trade-On	Email to Citibank note registry unit holdings wont change Payment of fund expenses for Xero.	Fund Administration
Renee Lobb	Managing Director	03-Jun-19	0.40	625	250.00	Trade-On	Downloading all requested statements for WMS for accounting and audit purposes.	Audit
Renee Lobb	Managing Director	03-Jun-19	0.20	625	125.00	Trade-On	Email update to investor responding to queries.	Investors
Neil Dempster	Senior Consultant 2	03-Jun-19	0.10	465	46.50	Creditors	Latest update sam - Ulmost wealth	Investors
Renee Lobb	Managing Director	04-Jun-19	0.40	625	250.00	Trade-On	Reviewing email with queries about FTI remuneration. Call with Adam regarding same. Provide further details and documentation.	Fund Administration
Renee Lobb	Managing Director	06-Jun-19	0.30	625	187.50	Trade-On	Commence review of audit. in call with WMS discuss unit pricing and distributions payable etc noting similar issues with	Audit
Renee Lobb	Managing Director	07-Jun-19	3.50	625	2,187.50	Trade-On	Go through draft AIF audit. Track changes. Review summary of investor payments/payables/redemptions etc. Do a detailed review of investor distributions outstanding at each point and respond to action my notes.	Investors
Renee Lobb	Managing Director	07-Jun-19	0.10	625	62.50	Trade-On	Checking payment to be made, add to register and save.	Fund Administration
Renee Lobb	Managing Director	10-Jun-19	0.20	625	125.00	Trade-On	Reviewing email from investor requesting update. Provide detailed update on same.	Investors
Renee Lobb	Managing Director	11-Jun-19	0.20	625	125.00	Trade-On	Make payments for fund payables to WMS, advanced and perpetual.	Audit
Neil Dempster	Senior Consultant 2	12-Jun-19	0.10	465	46.50	Creditors	Forward 2x AIF register updates to Advanced	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.10	465	46.50	Creditors	Email to Advanced re 100351527 change of details	Investors

Renee Lobb	Managing Director	13-Jun-19	0.10	625	62.50	Trade-On	Check payments processed after receiving email from perpetual about processing issues and delays.	Fund Administration
Renee Lobb	Managing Director	17-Jun-19	0.10	625	62.50	Trade-On	Respond to query on holdings from OMI.	Fund Administration
Renee Lobb	Managing Director	18-Jun-19	0.70	625	437.50	Trade-On	Going through audit queries with WMS. Also discuss with WMS then Kelly-Anne Trenfield the findings on ITRs outstanding.	Audit
Kelly-Anne Trenfield	Senior Managing Director	18-Jun-19	0.20	695	139.00	Administration	Rcl re tax lodgements update including discussion with WMSRCL re review of legal advice re tax lodgements	Audit
Renee Lobb	Managing Director	19-Jun-19	0.10	625	62.50	Trade-On	Email queries with WMS, set up call for tomorrow to finalise audit queries and changes for FY17.	Audit
Renee Lobb	Managing Director	20-Jun-19	0.90	625	562.50	Trade-On	Call with WMS and TNR and post discussion with Kelly-Anne Trenfield regarding financials and audit queries and adjustments.	Audit
Renee Lobb	Managing Director	21-Jun-19	0.20	625	125.00	Trade-On	Summarising file note on ITR lodgement requirements.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	22-Jun-19	0.10	695	69.50	Administration	Review file note re obligations to file tax returns; Review and update to do list; query outstanding items	Fund Administration
Renee Lobb	Managing Director	24-Jun-19	0.20	625	125.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	25-Jun-19	0.30	625	187.50	Trade-On	Provide investor update reply to email x 2	Investors
Renee Lobb	Managing Director	27-Jun-19	0.30	625	187.50	Trade-On	Respond to 2 investor update requests.	Investors
Renee Lobb	Managing Director	01-Jul-19	0.20	625	125.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	09-Jul-19	0.50	625	312.50	Trade-On	Call with Adam to go through 2017-19 queries, provide background and notes for the notes to financials.	Audit
Renee Lobb	Managing Director	14-Jul-19	0.30	625	187.50	Trade-On	Review revised audit and new disclosures. Comments back to WMS and auditors.	Audit
Renee Lobb	Managing Director	14-Jul-19	0.30	625	187.50	Trade-On	Review AIF 2018 and send notes to WMS.	Audit
Renee Lobb	Managing Director	15-Jul-19	0.10	625	62.50	Trade-On	Respond to investor query on closure.	Investors
Renee Lobb	Managing Director	15-Jul-19	0.10	625	62.50	Trade-On	Respond to investor query on unit holdings.	Investors
Renee Lobb	Managing Director	16-Jul-19	0.10	625	62.50	Trade-On	Respond on audit query regarding remuneration.	Audit
Neil Dempster	Senior Consultant 2	16-Jul-19	0.10	465	46.50	Creditors	Email to Citibank confirm fund frozen no redemptions no contract notes	Investors
Renee Lobb	Managing Director	01-Aug-19	0.10	625	62.50	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	02-Aug-19	0.10	625	62.50	Trade-On	Paying fund costs.	Fund Administration
Renee Lobb	Managing Director	02-Aug-19	0.10	625	62.50	Trade-On	audit queries.	Audit
Renee Lobb	Managing Director	07-Aug-19	0.10	625	62.50	Trade-On	CALL WITH ADAM FROM WMS RE AUDITS AND TIME TO RESOLVE FINAL QUERIES.	Audit
Renee Lobb	Managing Director	08-Aug-19	0.50	625	312.50	Trade-On	Answer same and provide supplementary documentation. Deal with queries on tail trees and logon and request 406 settlement statement from KM.	Investors
Renee Lobb	Managing Director	09-Aug-19	0.10	625	62.50	Trade-On	Processing payment to advanced share.	Fund Administration
Renee Lobb	Managing Director	15-Aug-19	0.10	625	62.50	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	21-Aug-19	0.10	625	62.50	Trade-On	Email to investor responding to update request.	Investors
Renee Lobb	Managing Director	21-Aug-19	0.10	625	62.50	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	28-Aug-19	0.10	625	62.50	Trade-On	Make payment of perpetual fees.	Fund Administration
Renee Lobb	Managing Director	29-Aug-19	0.20	625	125.00	Trade-On	Respond to investor email.	Investors
Renee Lobb	Managing Director	02-Sep-19	0.10	625	62.50	Trade-On	Follow up audit progress and respond to Kelly-Anne Trenfield regarding same.	Audit
Renee Lobb	Managing Director	04-Sep-19	0.10	625	62.50	Trade-On	Fund payable claim.	Fund Administration

Renee Lobb	Managing Director	06-Sep-19	0.10	625	62.50	Trade-On	Processing Suncorp payments.	Fund Administration
Renee Lobb	Managing Director	10-Sep-19	0.30	625	187.50	Trade-On	Follow up audit timing with WMS. Respond to investor query also. Call with WMS regarding update on audit timing.	Audit
Kelly-Anne Trenfield	Senior Managing Director	10-Sep-19	0.20	695	139.00	Administration	Review wipe schedule for period 1 April to 30 June re prep for remuneration application/prepare remuneration schedule to 30 June 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	12-Sep-19	0.20	695	139.00	Administration	Prepare schedules for remuneration application	Remuneration
Renee Lobb	Managing Director	18-Sep-19	0.20	625	125.00	Trade-On	Lengthy reply to investor email.	Investors
Renee Lobb	Managing Director	23-Sep-19	0.10	625	62.50	Trade-On	Respond to investor email. Respond to further email query about share price and NAV.	Investors
Renee Lobb	Managing Director	24-Sep-19	0.30	625	187.50	Trade-On	Reviewing email from investor. Provide detailed update in reply.	Investors
Renee Lobb	Managing Director	25-Sep-19	0.10	625	62.50	Trade-On	Follow up Was query list.	Investors
Renee Lobb	Managing Director	26-Sep-19	0.80	625	500.00	Trade-On	Respond to investor update request.	Investors
Kelly-Anne Trenfield	Senior Managing Director	26-Sep-19	0.10	695	69.50	Creditors	Report to investors draft. Look up exchange rates and fund payables as well as NAV and include information. Send t Kelly-Anne Trenfield for review.	Investors
Renee Lobb	Managing Director	27-Sep-19	0.10	625	62.50	Trade-On	Review investor update	Investors
Renee Lobb	Managing Director	01-Oct-19	0.10	625	62.50	Trade-On	Follow up WMS for bas statement and lodgement progress for report to investors.	Investors
Renee Lobb	Managing Director	02-Oct-19	0.20	625	125.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	02-Oct-19	0.10	625	62.50	Trade-On	Changes to draft investor update based on discussion with WMS.	Investors
Renee Lobb	Managing Director	02-Oct-19	0.10	625	62.50	Trade-On	Payment and expensing of Xero monthly invoices.	Fund Administration
Renee Lobb	Managing Director	02-Oct-19	0.10	625	62.50	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	04-Oct-19	0.40	625	250.00	Trade-On	Obtaining information for WMS to complete accounts and BASs. Update report to investors based on lodgement timing feedback.	Investors
Renee Lobb	Managing Director	08-Oct-19	0.20	625	125.00	Trade-On	Respond to investor request for update.	Investors
Ashleigh Ubank	Administration 2	14-Oct-19	0.10	205	20.50	Administration	Signature/s inserted electronically into documents of report, documents collated and finalised in PDF format.	Investors
John Park	Senior Managing Director	14-Oct-19	0.30	695	208.50	Administration	Settle update to investors.	Investors
Renee Lobb	Managing Director	14-Oct-19	0.10	625	62.50	Trade-On	Request Ashleigh Ubank to finalise LM Investment Management Ltd fund update.	Investors
Renee Lobb	Managing Director	15-Oct-19	0.10	625	62.50	Trade-On	Upload update to website.	Investors
Renee Lobb	Managing Director	18-Oct-19	0.10	625	62.50	Trade-On	Pay advanced share invoice and update register.	Fund Administration
Renee Lobb	Managing Director	21-Oct-19	0.10	625	62.50	Trade-On	Review email from Adam at WMS regarding financials and HIFX. Advise closed out years ago and send email confirmation of same.	Audit
Kelly-Anne Trenfield	Senior Managing Director	22-Oct-19	1.60	695	1,112.00	Administration	Review approval/order and amend wipe re controller costs/adjustment to WIP re controllership costs; request remuneration invoice	Remuneration
Renee Lobb	Managing Director	23-Oct-19	0.10	625	62.50	Trade-On	Respond to investor NAV request.	Investors
Neil Dempster	Senior Consultant 2	23-Oct-19	0.10	465	46.50	Creditors	Email to Citi bank re fund suspension	Investors
Kelly-Anne Trenfield	Senior Managing Director	23-Oct-19	0.20	695	139.00	Administration	Review and approve request for proforma invoice/draft bill/finalised billing and payment	Remuneration
Renee Lobb	Managing Director	25-Oct-19	0.10	625	62.50	Administration	Review invoices to be paid from the fund. Save invoices.	Fund Administration

Renee Lobb	Managing Director	04-Nov-19	0.10	625	62.50	Trade-On	Payments for fund	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	04-Nov-19	0.30	695	208.50	Administration	Preparation of remuneration schedules re application for fee approval to 31 October 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	06-Nov-19	0.10	695	69.50	Administration	Review and approve payment of outstanding administration liabilities including review of supporting documentation and signing of transfer or cheque	Fund Administration
Renee Lobb	Managing Director	07-Nov-19	0.10	625	62.50	Trade-On	Saving and scanning documents for receipts and payments made.	Fund Administration
Renee Lobb	Managing Director	08-Nov-19	0.10	625	62.50	Trade-On	Fund payable processing.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	11-Nov-19	0.20	695	139.00	Administration	Review and finalisation remuneration schedules to 31 October 2019	Remuneration
Renee Lobb	Managing Director	12-Nov-19	0.20	625	125.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Managing Director	19-Nov-19	0.10	625	62.50	Trade-On	Reviewing email on audit timing from Adam. Respond.	Audit
Renee Lobb	Managing Director	25-Nov-19	0.40	625	250.00	Trade-On	Call with OMI regarding transfers: respond to queries and follow up Neil Dempster on outstanding requests.	Investors
Kelly-Anne Trenfield	Senior Managing Director	28-Nov-19	0.20	695	139.00	Administration	Finalise fee schedules/prepare task/activity summary	Remuneration
Renee Lobb	Managing Director	29-Nov-19	0.20	625	125.00	Trade-On	Payments for WMS, perpetual.	Fund Administration
Renee Lobb	Managing Director	02-Dec-19	0.20	625	125.00	Trade-On	Review, amend and request Ashleigh Ubank to finalise representation letter to go with audit. save final draft.	Audit
Ashleigh Ubank	Administration 2	02-Dec-19	0.10	205	20.50	Administration	Representation letters formatted and printed for signing.	Administration
Renee Lobb	Managing Director	02-Dec-19	0.10	625	62.50	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	05-Dec-19	0.20	625	125.00	Trade-On	Scan, save and sent to TNR audit and representation letter with lodgement instructions. Payments also and update register.	Audit
Neil Dempster	Senior Consultant II	05-Dec-19	0.10	465	46.50	Creditors	Email to basil chamberlain re change of bank details	Investors
Neil Dempster	Senior Consultant II	05-Dec-19	0.10	465	46.50	Creditors	Email to OMI direct to advanced share for registry queries	Investors
Renee Lobb	Managing Director	09-Dec-19	0.20	625	125.00	Trade-On	Completing audit forms for lodgement.	Audit
Neil Dempster	Senior Consultant II	09-Dec-19	0.10	465	46.50	Creditors	Email to Citibank direct to website latest update	Investors
Renee Lobb	Managing Director	10-Dec-19	0.20	625	125.00	Trade-On	Responding to fund update request. Send audit third party authorisation letter as requested.	Audit
Renee Lobb	Managing Director	10-Dec-19	0.10	625	62.50	Trade-On	Pay TNR. Updating register of fund payables.	Fund Administration
Renee Lobb	Managing Director	11-Dec-19	0.10	625	62.50	Trade-On	Respond to investor update request.	Investors
Neil Dempster	Senior Consultant II	13-Dec-19	0.10	465	46.50	Creditors	Email to utmost with copy of report	Investors
Neil Dempster	Senior Consultant II	13-Dec-19	0.10	465	46.50	Creditors	Email to OMI confirm postal address for advanced share	Investors
Renee Lobb	Managing Director	16-Dec-19	0.20	625	125.00	Trade-On	Email to Manuel Gomez with fund update.	Investors
Renee Lobb	Managing Director	20-Dec-19	0.20	625	125.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	13-Jan-20	0.60	625	375.00	Trade-On	Reviewing email about account signatory and respond. Review email regarding unit holdings and update. look update units held and respond in email. note some numbers provided could not be located. Respond to transfer query received by London office.	Audit
Renee Lobb	Managing Director	13-Jan-20	0.40	695	278.00	Administration	Prepare remuneration approval schedules to 31 December 2019	Remuneration

Renee Lobb	Managing Director	14-Jan-20	0.20	625	125.00	Trade-On	Respond to 3 investor update enquiries.	Investors
Renee Lobb	Managing Director	15-Jan-20	0.10	625	62.50	Trade-On	Respond to investor query email.	Investors
Renee Lobb	Managing Director	15-Jan-20	0.10	625	62.50	Trade-On	Respond to investor request for update. Make payment and update payment schedule.	Investors
Renee Lobb	Managing Director	15-Jan-20	0.10	625	62.50	Trade-On	Provide lengthy explanation of distribution process to investor.	Investors
Renee Lobb	Managing Director	16-Jan-20	0.20	625	125.00	Trade-On	Provide wind up progress update to investor.	Investors
Renee Lobb	Managing Director	16-Jan-20	0.10	625	62.50	Trade-On	Payments to WMS and Advanced Share Registry.	Investors
Renee Lobb	Managing Director	16-Jan-20	0.20	625	125.00	Trade-On	Review/amend affidavit re remuneration approval	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	17-Jan-20	0.20	695	139.00	Administration	Follow up CBA response on signatory issue.	Remuneration
Renee Lobb	Managing Director	20-Jan-20	0.10	625	62.50	Trade-On	Reviewing expenses information. do invoice for same and send to Kelly-Anne Trenfield to review. Make payment for perpetual fees too.	Audit
Renee Lobb	Managing Director	20-Jan-20	0.30	625	187.50	Trade-On	Review and respond to investor update email.	Fund Administration
Renee Lobb	Managing Director	20-Jan-20	0.20	625	125.00	Trade-On	Call with Adam regarding audit queries and meeting to discuss. Review and forward on to auditors the CBA response on signatories. Request new CBA authority letters to be signed by John. Reviewing email from Adam at WMS with pre call queries. Review file, locate documentation and send via email. Call to Adam to establish same and any further queries. Request approval for audit certificate letters to be finalised. issue same. review op cost and legals invoices and resend to Kelly-Anne Trenfield.	Investors
Renee Lobb	Managing Director	21-Jan-20	1.60	625	1,000.00	Trade-On	Review invoices re recoupment of ops and legal costs/review and approve invoicing re costs; Review and update to do list; query outstanding items RCL update re accounts/audit	Audit
Kelly-Anne Trenfield	Senior Managing Director	21-Jan-20	0.20	695	139.00	Administration	Payments to LM Investment Management Ltd for expenses. Call with accountant and auditors regarding queries. Respond to further information request from auditors.	Fund Administration
Renee Lobb	Managing Director	22-Jan-20	1.20	625	750.00	Trade-On	Review constitution re management fees; calculate management fees	Audit
Kelly-Anne Trenfield	Senior Managing Director	22-Jan-20	0.40	695	278.00	Administration	Russells payments and update register.	Fund Administration
Renee Lobb	Managing Director	23-Jan-20	0.20	625	125.00	Trade-On	Payment t Russells. Update registers and advise Russells.	Fund Administration
Renee Lobb	Managing Director	24-Jan-20	0.10	625	62.50	Trade-On	Documentation to TMR in response to audit queries.	Fund Administration
Renee Lobb	Managing Director	24-Jan-20	0.30	625	187.50	Trade-On	Respond to investor email with update. Fwd to Ashleigh Ubank audit confirmation request to action. Answer audit queries.	Audit
Renee Lobb	Managing Director	28-Jan-20	0.40	625	250.00	Trade-On	RCL re audit queries/review RCL rec re reimbursements	Audit
Kelly-Anne Trenfield	Senior Managing Director	28-Jan-20	0.20	695	139.00	Administration	Respond to investor email regarding transfer.	Audit
Renee Lobb	Managing Director	29-Jan-20	0.10	625	62.50	Creditors		Investors

Renee Lobb	Managing Director	29-Jan-20	1.30	625	812.50	Trade-On	Call with auditor after receipt of email. query actual query and drill into supporting documentation. reconcile previous 2018 reimbursement by fund to LMIM with 2020 reimbursement and calculate double up. Send information to Kelly-Anne Trenfield to authorise reimbursement. Response to auditor regarding same. Process reimbursement. call with Adam about financials review.	Audit
Kelly-Anne Trenfield	Senior Managing Director	30-Jan-20	0.10	695	69.50	Administration	Respond to Russells queries re inclusion of disbursements	Remuneration
Neil Dempster	Senior Consultant II	30-Jan-20	0.10	465	46.50	Creditors	Email to utmost wealth re converting unit pricing at 30 June 2019 to usd/euro	Investors
Renee Lobb	Managing Director	31-Jan-20	0.80	625	500.00	Trade-On	Reviewing draft financials. add comments and mark up changes. return to WMS with queries.	Audit
Renee Lobb	Managing Director	04-Feb-20	0.30	625	187.50	Trade-On	Going through financials queries with Adam at WMS. Settle final queries and note additional docs required for Dec Qtr 19.	Audit
Renee Lobb	Managing Director	04-Feb-20	0.10	625	62.50	Creditors	Respond to email from investor requesting distribution timing.	Investors
Kelly-Anne Trenfield	Senior Managing Director	04-Feb-20	0.10	695	69.50	Administration	Review and approve client engagement; instructions to RCL re calls re feeder funds	Fund Administration
Renee Lobb	Managing Director	05-Feb-20	0.10	625	62.50	Administration	Filing audit emails.	Audit
Renee Lobb	Managing Director	05-Feb-20	0.10	625	62.50	Trade-On	Make WMS payment. update register.	Fund Administration
Renee Lobb	Managing Director	06-Feb-20	0.30	625	187.50	Trade-On	Auditor email and call queries. respond to same.	Audit
Neil Dempster	Senior Consultant II	06-Feb-20	0.20	465	93.00	Creditors	Check records for transfer request from OMI - don't have - they have used wrong address - email to Advanced to advise same	Investors
Neil Dempster	Senior Consultant II	07-Feb-20	0.10	465	46.50	Creditors	Email to six financial information confirm fund frozen and effective date	Investors
Renee Lobb	Managing Director	11-Feb-20	0.20	625	125.00	Creditors	Review and respond to investor update request.	Investors
Renee Lobb	Managing Director	11-Feb-20	0.10	625	62.50	Trade-On	Reviewing audit representation letter. send to Kelly-Anne Trenfield for approval. Return email to TNR on finalisation of same.	Audit
Renee Lobb	Managing Director	12-Feb-20	0.10	625	62.50	Trade-On	Provide quarter Dec 19 information to WMS.	Audit
Renee Lobb	Managing Director	12-Feb-20	0.10	625	62.50	Trade-On	Call with Adam from WMS regarding info for financials.	Audit
Renee Lobb	Managing Director	18-Feb-20	0.30	625	187.50	Trade-On	Call with Adam. Subsequent email regarding changes to notes etc after discussion. Reply.	Audit
Renee Lobb	Managing Director	18-Feb-20	0.50	625	312.50	Trade-On	Management fee information gathering. Send to Kelly-Anne Trenfield when complete in draft.	Fund Administration
Renee Lobb	Managing Director	19-Feb-20	0.30	625	187.50	Investigations	Reviewing FY11/12 accounts and sent to Kelly-Anne Trenfield with notes on fee %'s.	Fund Administration
Renee Lobb	Managing Director	19-Feb-20	0.10	625	62.50	Trade-On	Process resells invoice and update register.	Fund Administration
Renee Lobb	Managing Director	19-Feb-20	0.30	625	187.50	Trade-On	Respond to investor update request. Call CBA regarding audit certificate information request after speaking with TNR.	Audit
Renee Lobb	Managing Director	20-Feb-20	0.20	625	125.00	Trade-On	Dealing with CBA on audit certificate requirements.	Audit

Renee Lobb	Managing Director	21-Feb-20	0.30	625	187.50	Trade-On	Send required information to CBA for audit certificate. Send TNR audit representation letters. Prepare lodgements forms to go to ASIC Monday.	Audit
Kelly-Anne Trenfield	Senior Managing Director	21-Feb-20	0.20	695	139.00	Administration	Review RCL info re management fees/financials - request PDS	Fund Administration
Renee Lobb	Managing Director	24-Feb-20	0.10	625	62.50	Trade-On	Call with TNR regarding audits and minor lodgement amendments. instructions to Ashleigh Ubank to rectify before sending in mail.	Audit
Renee Lobb	Managing Director	25-Feb-20	0.20	625	125.00	Creditors	Respond to investor request for update.	Investors
Kelly-Anne Trenfield	Senior Managing Director	26-Feb-20	0.10	695	69.50	Administration	Review PDS re AIF management fee	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	26-Feb-20	0.10	695	69.50	Administration	Review revised management fee calculation, discuss with rill	Fund Administration
Renee Lobb	Managing Director	27-Feb-20	0.10	625	62.50	Trade-On	Payment of tar invoice. Update register.	Fund Administration
Renee Lobb	Managing Director	02-Mar-20	0.10	625	62.50	Trade-On	Review and respond to financials draft query and removal of loans note.	Audit
Renee Lobb	Managing Director	03-Mar-20	0.10	625	62.50	Trade-On	WMS Chartered Accountants payment and update of register.	Administration
Renee Lobb	Managing Director	06-Mar-20	0.10	625	62.50	Trade-On	Respond to accountant queries.	Audit
Renee Lobb	Managing Director	09-Mar-20	0.10	625	62.50	Trade-On	Review and respond to queries on notes to the financials, provide update information.	Audit
Renee Lobb	Managing Director	10-Mar-20	0.10	625	62.50	Trade-On	Review email and arrange call with auditors to discuss queries. request queries pre call.	Audit
Renee Lobb	Managing Director	11-Mar-20	0.50	625	312.50	Trade-On	Reviewing agenda for teleconference. Review file for audit queries and add notes. attend teleconference and send emails with additional information required.	Audit
Neil Dempster	Director	12-Mar-20	0.20	465	93.00	Creditors	Email to Paul Shepard unit confirmation and unit pricing	Investors
Neil Dempster	Director	12-Mar-20	0.10	465	46.50	Creditors	Email to Paul Shepard re August 2016 distribution value	Investors
Neil Dempster	Director	12-Mar-20	0.20	465	93.00	Creditors	Confirm estimated value remaining investment 30/6/19 Paul shepherd 30	Investors
Renee Lobb	Managing Director	13-Mar-20	0.10	625	62.50	Trade-On	Review Neil Dempster Query on distribution in 2013x locate details.	Audit
Renee Lobb	Managing Director	13-Mar-20	0.20	625	125.00	Trade-On	Review and respond to investors enquiry.	Investors
Renee Lobb	Managing Director	17-Mar-20	0.60	625	375.00	Trade-On	Email to TNR and WMS with ASIC AFSL extension documentation. Review and mark up changes to draft financials.	Audit
Kelly-Anne Trenfield	Senior Managing Director	18-Mar-20	0.40	695	278.00	Administration	Prepare updated remuneration schedules to 29 February 2020	Remuneration
Renee Lobb	Managing Director	23-Mar-20	0.20	625	125.00	Trade-On	Respond to Kat request for audit update. Request timing from auditors.	Audit
Kelly-Anne Trenfield	Senior Managing Director	23-Mar-20	0.20	695	139.00	Administration	Update remuneration application schedules to 29 February 2020	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	24-Mar-20	0.10	695	69.50	Administration	Update remuneration application schedules to 29 February 2020	Remuneration

Renee Lobb	Managing Director	30-Mar-20	0.40	625	250.00	Trade-On	Request TK to finalise audited accounts for FY 19. Reviewing request for compliance information from perpetual. Respond and provide same. 19. Reviewing request for compliance information from perpetual. Respond and provide same. Check and print letters and do lodgement forms with instructions for signing by John Park.	Audit
Tanya Kratz	Administration 2	30-Mar-20	0.30	205	61.50	Administration	Format final audit document as requested.	Audit
Renee Lobb	Managing Director	01-Apr-20	0.40	625	250.00	Trade-On	Scan and sent then follow up financials completed to enable mailing today. Compile returned documents and delete blank pages from pdf as well and change 388 address details. frank and mail out. drop in post box. Send final pdf version to WMS and TNR.	Audit
Renee Lobb	Managing Director	14-Apr-20	0.20	660	132.00	Trade-On	Make payments and update master register. Send to perpetual.	Fund Administration
Renee Lobb	Managing Director	14-Apr-20	0.10	660	66.00	Trade-On	Update reply to investor email.	Investors
Renee Lobb	Managing Director	24-Apr-20	0.20	660	132.00	Trade-On	Review and respond to two investor enquiry emails.	Investors
Renee Lobb	Managing Director	27-Apr-20	0.10	660	66.00	Trade-On	Review and save ASIC notice to file.	Audit
Renee Lobb	Managing Director	28-Apr-20	0.20	660	132.00	Trade-On	Review investor email to Russells. Confirm i will respond and send reply.	Investors
Renee Lobb	Managing Director	29-Apr-20	0.20	660	132.00	Trade-On	Save invoices to file, pay through SunCorp, send to perpetual for release and update register.	Fund Administration
Renee Lobb	Managing Director	30-Apr-20	0.10	660	66.00	Trade-On	Review email from Advanced share on transfers and respond.	Fund Administration
Renee Lobb	Managing Director	30-Apr-20	0.20	660	132.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	05-May-20	0.10	660	66.00	Trade-On	Claim for Xero costs.	Administration
Renee Lobb	Managing Director	06-May-20	0.20	660	132.00	Trade-On	Review invoice, update register, make payment and send to perpetual for release.	Fund Administration
Renee Lobb	Managing Director	21-May-20	0.20	660	132.00	Trade-On	Respond to investor update request. email Kelly-Anne Trenfield regarding timing for fund closure.	Investors
Renee Lobb	Managing Director	22-May-20	0.10	660	66.00	Investigations	Email to Millie regarding closure of AIF and inclusion of future fees in present or future application to be capped to enable closure.	Fund Administration
Renee Lobb	Managing Director	28-May-20	0.10	660	66.00	Trade-On	Email to TNR and WMS to arrange catch up to go through closure requirements for final accounts and audit.	Audit
Renee Lobb	Managing Director	29-May-20	0.10	660	66.00	Trade-On	Setup TNR teams meeting.	Audit
Renee Lobb	Managing Director	01-Jun-20	0.20	660	132.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	02-Jun-20	0.10	660	66.00	Trade-On	Payment and claim of Xero costs.	Fund Administration
Renee Lobb	Managing Director	02-Jun-20	0.10	660	66.00	Trade-On	Respond to investor update query.	Investors
Renee Lobb	Managing Director	02-Jun-20	0.30	660	198.00	Trade-On	Email to Adam at WMS regarding providing every invoice in the quarter. request specific query list. send relevant invoices off the back of that query list.	Audit
Renee Lobb	Managing Director	04-Jun-20	0.10	660	66.00	Trade-On	Review and issue BAS statements to	Administration
Renee Lobb	Managing Director	04-Jun-20	0.10	660	66.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	04-Jun-20	0.40	660	264.00	Trade-On	Call with TNR and WMS regarding closure strategy and timing for funds. Email to Kelly-Anne Trenfield on same.	Audit
Tanya Kratz	Administration 2	04-Jun-20	0.30	220	66.00	Administration	Finalise Business Activity Statement x2 as requested.	Administration

Tanya Kratz	Administration 2	04-Jun-20	0.10	220	22.00	Administration	Source Excel WIP Proforma as requested.	Remuneration
Renee Lobb	Managing Director	05-Jun-20	0.40	660	264.00	Trade-On	Commence closure ESOP.	Fund Administration
Renee Lobb	Managing Director	08-Jun-20	0.10	660	66.00	Trade-On	Make advanced share payment. send to Perpetual.	Fund Administration
Renee Lobb	Managing Director	10-Jun-20	0.20	660	132.00	Trade-On	Provide update to investor in reply to email request.	Investors
Renee Lobb	Managing Director	11-Jun-20	0.20	660	132.00	Trade-On	Respond to AIF investor closure update request.	Investors
Renee Lobb	Managing Director	12-Jun-20	0.20	660	132.00	Trade-On	Respond to investor update on closure and distribution email.	Investors
Kelly-Anne Trenfield	Senior Managing Director	15-Jun-20	0.30	720	216.00	Administration	Update remuneration schedules from 1 March to 31 May 2020	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	22-Jun-20	0.20	720	144.00	Administration	Amend remuneration tables to isolate wipe data from 1 March to 31 May 2020	Remuneration
Renee Lobb	Managing Director	23-Jun-20	0.20	660	132.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Managing Director	24-Jun-20	0.10	660	66.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	02-Jul-20	0.20	660	132.00	Trade-On	Make Payments. Update register.	Fund Administration
Renee Lobb	Managing Director	03-Jul-20	0.20	660	132.00	Trade-On	Respond to investor update request. Call and leave message for Geoff Dwyer at TNR.	Investors
Neil Dempster	Director	08-Jul-20	0.10	520	52.00	Creditors	Email to OMI direct for advanced for unit confirmation	Investors
Renee Lobb	Managing Director	09-Jul-20	0.10	660	66.00	Trade-On	Process payment to advanced share and update register.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	16-Jul-20	0.30	720	216.00	Administration	Update remuneration schedules to 30 June 2020	Remuneration
Renee Lobb	Managing Director	16-Jul-20	0.20	660	132.00	Trade-On	Call with Geoff from TNR regarding final audit. communicate progress to Kelly-Anne Trenfield.	Audit
Renee Lobb	Managing Director	19-Jul-20	0.10	660	66.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	20-Jul-20	0.30	660	198.00	Trade-On	Respond to investor email request for update. Respond to two further follow up queries from same investor.	Investors
Renee Lobb	Managing Director	25-Jul-20	0.10	660	66.00	Trade-On	Review and respond to investor request. forward to advanced share.	Investors
Renee Lobb	Managing Director	29-Jul-20	0.10	660	66.00	Trade-On	Respond to investor update request	Investors
Renee Lobb	Managing Director	29-Jul-20	0.10	660	66.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Managing Director	07-Aug-20	0.10	660	66.00	Trade-On	Pay invoice for advanced share. update register.	Fund Administration
Renee Lobb	Managing Director	07-Aug-20	0.80	660	528.00	Trade-On	Compile, save and send email with accounting information to WMS.	Audit
Renee Lobb	Managing Director	10-Aug-20	0.10	660	66.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	13-Aug-20	0.10	660	66.00	Trade-On	Respond to investor email	Investors
Renee Lobb	Managing Director	20-Aug-20	0.10	660	66.00	Trade-On	Respond to request for unit holding information.	Investors
Renee Lobb	Managing Director	24-Aug-20	0.10	660	66.00	Trade-On	Review BAS. Scan, save and return to WMS executed June Qtr 2020 BAS.	Fund Administration
Renee Lobb	Managing Director	25-Aug-20	0.60	660	396.00	Trade-On	Drafting report to investors. Respond to investor request for update via advisor. Send update to Kelly-Anne Trenfield for review.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	26-Aug-20	0.10	720	72.00	Creditors	Review draft fund update	Fund Administration
Renee Lobb	Managing Director	28-Aug-20	0.10	660	66.00	Trade-On	Review KAT's changes to update. Send to John Park for approval to finalise investor update.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	28-Aug-20	0.10	720	72.00	Creditors	Review and amend members update	Fund Administration
Ashleigh Ubank	Administration 2	31-Aug-20	0.10	220	22.00	Administration	Signature/s inserted electronically into documents of report, documents collated and finalised in PDF format.	Administration

Renee Lobb	Managing Director	31-Aug-20	0.20	660	132.00	Trade-On	Review final update. Load to website. Confirm to Kelly-Anne Trenfield and John Park now live.	Fund Administration	
Renee Lobb	Managing Director	01-Sep-20	0.20	660	132.00	Trade-On	Respond to investor transfer enquiry.	Investors	
Renee Lobb	Managing Director	01-Sep-20	0.20	660	132.00	Trade-On	Call with Francis from Financial partners. give detailed background and information on their client holdings in fund.	Investors	
Renee Lobb	Managing Director	02-Sep-20	0.10	660	66.00	Trade-On	Download and pay zero expense claim same.	Fund Administration	
Renee Lobb	Managing Director	03-Sep-20	0.10	660	66.00	Trade-On	Providing information to ASIC.	Fund Administration	
Renee Lobb	Managing Director	03-Sep-20	0.10	660	66.00	Trade-On	Make payment from fund to WMS. Update register.	Fund Administration	
Renee Lobb	Managing Director	07-Sep-20	0.40	660	264.00	Trade-On	Reviewing required account details and complete spreadsheet for audit confirmations. Return to TNR. Review and send off audit confirmation letter.	Audit	
Ashleigh Ubank	Administration 2	07-Sep-20	0.20	220	44.00	Administration	Letter formatted. Electronic signature/s inserted into letter, letter collated with attachments and finalised in PDF and/or hard copy format.	Administration	
Renee Lobb	Managing Director	09-Sep-20	0.10	660	66.00	Trade-On	Fund payment. Send to Perpetual and updater register.	Fund Administration	
Renee Lobb	Managing Director	10-Sep-20	0.20	660	132.00	Trade-On	Call with Graham Forbes. Provide fund update.	Investors	
Renee Lobb	Managing Director	10-Sep-20	0.10	660	66.00	Trade-On	Review transfer request. respond advising only to direct to Advanced Share Registry	Investors	
Renee Lobb	Managing Director	21-Sep-20	0.20	660	132.00	Trade-On	Respond to investor update request.	Investors	
Kelly-Anne Trenfield	Senior Managing Director	01-Oct-20	0.30	720	216.00	Administration	Update remuneration approval schedules to 30 September 2020	Remuneration	
Renee Lobb	Managing Director	01-Oct-20	0.30	660	198.00	Trade-On	Reviewing financials draft for FY20. Return email to Adam regarding timing for fund wind up and request what is needed on remuneration to finalise drafts. Send to Kelly-Anne Trenfield as FYI.	Audit	
Renee Lobb	Managing Director	02-Oct-20	0.10	660	66.00	Trade-On	Email to TNR in response to Suncorp request for further information to verify bank account balances.	Audit	
Renee Lobb	Managing Director	02-Oct-20	0.10	660	66.00	Trade-On	Dealing with audit confirmation issue with TNR and Suncorp.	Audit	
Renee Lobb	Managing Director	02-Oct-20	0.10	660	66.00	Trade-On	Pay costs of winding up via expense claim - Xero costs.	Fund Administration	
Renee Lobb	Managing Director	08-Oct-20	0.20	660	132.00	Trade-On	Making fund payments and updating register.	Fund Administration	
Renee Lobb	Managing Director	13-Oct-20	0.10	660	66.00	Trade-On	Send email to Donna with authorisation to try and resolve balance check issue with Suncorp.	Fund Administration	
Renee Lobb	Managing Director	23-Oct-20	0.10	660	66.00	Trade-On	Review and reply to email from investor. direct to website update.	Investors	
Renee Lobb	Managing Director	28-Oct-20	0.10	660	66.00	Trade-On	Call with Scott from TNR with audit update. Provide update from our end also.	Audit	
					\$ 59,921.00				

LMI Australian Income Fund: Calculation of Category 1 July 2018 to 31 October 2020

Employee	Position	Rate/hour excl GST	Total actual Hours	Total (excl GST)	Administration	Assets	Creditors	Dividend	Investigations	Trade Dis
Ashleigh Ubank	Administration 2		1.60	403.00	347.50		55.50			
		205	0.20							
		220	0.30							
John Park	Senior Managing Director	695	0.30	208.50	208.50					
Kelly-Anne Trenfield	Senior Managing Director	625	2.00	6,703.50	6,427.50		276.00			
		695	6.50							
		720	1.30							
Neil Dempster	Senior Consultant 1	380	6.10	4,231.50	494.00		3,737.50			
	Senior Consultant 2	440	1.80							
	Director	465	2.30							
		520	0.10							
Renee Lobb	Senior Director Managing Director	570	30.80	48,098.50	1,208.00		375.00		823.50	45,692.00
		580	3.60							
		625	33.70							
		660	11.20							
Talia Glover	Administration 1	155	0.10	15.50	15.5					
Tanya Kratz	Administration 2	185	0.60	260.50	260.50					
		205	0.30							
		220	0.40							
Subtotal			103.20	59,921.00	8,961.50	0.00	4,444.00	0.00	823.50	45,692.00
GST				5,992.10						
Total (including GST)				65,913.10						
Hours			103.20		16.30	0.00	10.30	0.00	1.40	75.20

BPS Financial Services Limited Remuneration Register							
Period: 1 July 2017 to 31 October 2020							
Name	Position	Date	Mr	Charge out rate	Charge	Tax Desc	
						Narrative	
Talia Glover	Administration 1	09-Jul-18	0.10	155	15.50	Administration Produced excel WIP report from 011015 to 300618 - emailed to KAT	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	09-Jul-18	0.30	625	187.50	Administration update schedules for fee application; update remuneration schedules re approval application; prepare ARITA schedules	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	10-Jul-18	0.10	625	62.50	Administration finalise updated schedule to 30 June 2018 re remuneration approval	Remuneration
Renee Lobb	Senior Director	12-Jul-18	0.20	570	114.00	Trade-On Reviewing invoices, saving to file and adding to schedule.	Fund Administration
Renee Lobb	Senior Director	16-Jul-18	0.50	570	285.00	Trade-On Finding invoices to register. Make payments for same. Send to CCS payments for processing. Do apportionment from ASPF fund series.	Fund Administration
Renee Lobb	Senior Director	18-Jul-18	0.20	570	114.00	Trade-On Processing payments and send to CCS payments to release.	Fund Administration
Renee Lobb	Senior Director	19-Jul-18	0.20	570	114.00	Trade-On Respond to investor enquiry.	Investors
Renee Lobb	Senior Director	25-Jul-18	0.30	570	171.00	Trade-On Reviewing register for the fund to send notice of remuneration application. removing email duplicates and postage duplicates after separating email and post only investors.	Remuneration
Ashleigh Ubank	Administration 2	26-Jul-18	0.20	185	37.00	Administration Mailing labels generated from investor list. Notice formatted on letterhead.	Investors
Renee Lobb	Senior Director	26-Jul-18	0.70	570	399.00	Trade-On Do notice to members for remuneration application. send to Russells for review and discuss notice issuing with Kelly-Anne Trenfield and Ashleigh Ubank. Finalising notice. emailing to members and assisting Ashleigh Ubank with mail out.	Remuneration
Renee Lobb	Senior Director	30-Jul-18	0.40	570	228.00	Trade-On Respond to investor query. Further investor query and response.	Investors
Renee Lobb	Senior Director	30-Jul-18	0.70	570	399.00	Trade-On Registering returned emails from remuneration application notice sent. Mark up to be sent via post.	Remuneration
Ashleigh Ubank	Administration 2	31-Jul-18	0.20	185	37.00	Administration Mailing labels generated from investor list.	Investors
Renee Lobb	Senior Director	10-Aug-18	0.10	570	57.00	Trade-On Respond to investor query regarding Newcrest Mining Shares.	Investors
Renee Lobb	Senior Director	10-Aug-18	0.10	570	57.00	Trade-On Review email regarding BAS's and refund request. provide requested information for June Qtr BAS.	Tax
Renee Lobb	Senior Director	10-Aug-18	0.10	570	57.00	Trade-On Payment to advanced share. update register for payment.	Fund Administration
Renee Lobb	Senior Director	16-Aug-18	0.60	570	342.00	Administration Review and mark up changes to FY 15 financials draft. Send back to WMS for review.	Audit
Renee Lobb	Senior Director	23-Aug-18	0.50	570	285.00	Trade-On Call with WMS regarding queries about payments from ASPF in line with First Mortgage Income Fund orders and fund reimbursements along with controllership fees. Review file and request assistance in locating supporting documents from Kelly-Anne Trenfield. Discussion with Kelly-Anne Trenfield on same. Further call and email to WMS to clear up queries.	Audit

Renee Lobb	Senior Director	24-Aug-18	0.10	570	57.00	Trade-On	Trade-On	Fund Administration
Neil Dempster	Senior Consultant 1	27-Aug-18	0.10	380	38.00	Administration	Administration	Investors
Renee Lobb	Senior Director	03-Sep-18	0.70	570	399.00	Trade-On	Trade-On	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	03-Sep-18	0.10	625	62.50	Administration	Administration	Audit
Renee Lobb	Senior Director	04-Sep-18	0.10	570	57.00	Trade-On	Trade-On	Audit
Renee Lobb	Senior Director	04-Sep-18	0.10	570	57.00	Trade-On	Trade-On	Fund Administration
Renee Lobb	Senior Director	06-Sep-18	0.10	570	57.00	Trade-On	Trade-On	Fund Administration
Renee Lobb	Senior Director	11-Sep-18	0.10	570	57.00	Trade-On	Trade-On	Fund Administration
Renee Lobb	Senior Director	14-Sep-18	0.10	570	57.00	Trade-On	Trade-On	Tax
Renee Lobb	Senior Director	14-Sep-18	1.00	570	570.00	Trade-On	Trade-On	Investors
Kelly-Anne Trenfield	Senior Managing Director	17-Sep-18	0.10	625	62.50	Administration	Administration	Investors
Renee Lobb	Senior Director	18-Sep-18	0.10	570	57.00	Trade-On	Trade-On	Investors
Kelly-Anne Trenfield	Senior Managing Director	18-Sep-18	0.10	625	62.50	Administration	Administration	Fund Administration
Renee Lobb	Senior Director	19-Sep-18	0.40	570	228.00	Trade-On	Trade-On	Tax
Renee Lobb	Senior Director	20-Sep-18	0.80	570	456.00	Trade-On	Trade-On	Investors
Renee Lobb	Senior Director	24-Sep-18	0.10	570	57.00	Trade-On	Trade-On	Investors
Renee Lobb	Senior Director	02-Oct-18	0.10	570	57.00	Administration	Administration	Fund Administration
Renee Lobb	Senior Director	10-Oct-18	0.10	570	57.00	Trade-On	Trade-On	Fund Administration
Renee Lobb	Senior Director	11-Oct-18	0.30	570	171.00	Trade-On	Trade-On	Audit
Renee Lobb	Senior Director	11-Oct-18	0.40	570	228.00	Trade-On	Trade-On	Audit
Renee Lobb	Senior Director	12-Oct-18	0.20	570	114.00	Trade-On	Trade-On	Audit

Renee Lobb	Senior Director	18-Oct-18	0.20	570	114.00	Trade-On	Review, amend, save and print of letterhead management representation letter. Give to John Park to sign.	Fund Administration
Renee Lobb	Senior Director	22-Oct-18	0.10	570	57.00	Trade-On	Can, save and email management representation letter to auditors.	Audit
Renee Lobb	Senior Director	22-Oct-18	0.10	570	57.00	Trade-On	Reviewing financials, print and send to John Park for signing, send to WMS and TMR once executed.	Audit
Renee Lobb	Senior Director	24-Oct-18	0.10	570	57.00	Administration	Filing all sent funds related emails.	Administration
Renee Lobb	Senior Director	25-Oct-18	0.10	570	57.00	Trade-On	Respond to update request. Direct to ASPF update.	Investors
Renee Lobb	Senior Director	26-Oct-18	0.10	570	57.00	Trade-On	Answer investor queries.	Investors
Neil Dempster	Senior Consultant 1	06-Nov-18	0.10	380	38.00	Administration	Email to advanced request copy of register	Fund Administration
Neil Dempster	Senior Consultant 1	08-Nov-18	0.30	380	114.00	Administration	Discuss with RCL re process for service to investors	Investors
Neil Dempster	Senior Consultant 1	08-Nov-18	0.10	380	38.00	Creditors	Follow up email to Advanced Share for copy of ASPF register	Fund Administration
Neil Dempster	Senior Consultant 1	09-Nov-18	0.80	380	304.00	Creditors	Time spent on preparing for an conducting email and mail out of notice to members re application for LMIM future conduct	Legal
Caroline Halcoop	Administration 2	09-Nov-18	0.30	185	55.50	Administration	Finalise report/mail out etc	Fund Administration
Neil Dempster	Senior Consultant 1	12-Nov-18	0.60	380	228.00	Creditors	Records bounces and responses re ASPF mailout	Legal
Neil Dempster	Senior Consultant 1	12-Nov-18	0.10	380	38.00	Creditors	Save 1x bounceback	Legal
Ashleigh Ubank	Administration 2	13-Nov-18	0.30	185	55.50	Administration	Follow up service affidavit circulars issued via post to returned emails.	Fund Administration
Renee Lobb	Senior Director	13-Nov-18	0.20	570	114.00	Trade-On	Make payment to advanced share, send to CCs payments to release and update register.	Fund Administration
Renee Lobb	Senior Director	13-Nov-18	0.10	570	57.00	Trade-On	Follow up WMS for audit.	Audit
Kelly-Anne Trenfield	Senior Managing Director	16-Nov-18	0.10	625	62.50	Administration	Review audit report and request finalisation	Audit
Neil Dempster	Senior Consultant 1	17-Nov-18	0.30	380	114.00	Administration	Review and notate draft service affidavit per marked up comments for Julian Walsh review	Administration
Neil Dempster	Senior Consultant 1	18-Nov-18	0.30	380	114.00	Creditors	Attend Russells office and work with Julian Walsh to finalise service affidavit	Legal
Kelly-Anne Trenfield	Senior Managing Director	20-Nov-18	0.10	625	62.50	Administration	Review lodgement criteria: instructions to AU; review asci requirements and email to WMS re lodgement as unable to lodge online/via paper lodgement	Audit
Neil Dempster	Senior Consultant 1	25-Nov-18	0.20	380	76.00	Administration	Do form 5137 draft note to KAT re appointment date	Audit
Neil Dempster	Senior Consultant 1	26-Nov-18	0.20	380	76.00	Administration	Scan copy of form 5137 to file note RCL has appeared to have drafted - was it submitted?	Audit
Kelly-Anne Trenfield	Senior Managing Director	26-Nov-18	0.10	625	62.50	Administration	Review and add appt date to F5137 re appointment of auditors; emails in re audit lodgement	Audit
Neil Dempster	Senior Consultant 1	28-Nov-18	0.10	380	38.00	Administration	Take form 5137 to ASIC get time stamped	Audit
Renee Lobb	Senior Director	30-Nov-18	0.10	570	57.00	Trade-On	Reviewing emails regarding audit lodgements and change of auditor forms. File same.	Audit
Renee Lobb	Senior Director	03-Dec-18	0.10	570	57.00	Trade-On	Saving final 2015 audit.	Audit
Renee Lobb	Senior Director	05-Dec-18	0.20	570	114.00	Trade-On	Payment to perpetual, upload payment, email to release and update register. Reviewing email regarding FY 16 financials and respond to WMS.	Fund Administration
Renee Lobb	Senior Director	07-Dec-18	0.10	570	57.00	Trade-On	Review and give to John Park for signing Sept 18 BAS.	Tax
Renee Lobb	Senior Director	07-Dec-18	0.20	570	114.00	Trade-On	Call with WMS regarding tax lodgements and status of audit lodgements.	Audit
Renee Lobb	Senior Director	10-Dec-18	0.10	570	57.00	Trade-On	Issue BAS to WMS for lodgement.	Tax

Renee Lobb	Senior Director	11-Dec-18	0.10	570	57.00	Trade-On	Following up bank confirmation ID requirements of CBA for audits.	Audit
Renee Lobb	Senior Director	12-Dec-18	0.20	570	114.00	Administration	Filing all sent emails for records.	Administration
Renee Lobb	Senior Director	12-Dec-18	0.10	570	57.00	Trade-On	Updating funds payable register for invoices received and paid today. Load payment to SunCorp and send to CCS payments to release.	Fund Administration
Renee Lobb	Senior Director	12-Dec-18	0.20	570	114.00	Trade-On	Discuss audit lodgements with Kelly-Anne Trenfield. Follow up TNR and WMS for FY 16 edits and FY 17 and FY 18 lodgement time frames to be met going forward.	Audit
Renee Lobb	Senior Director	13-Dec-18	1.40	570	798.00	Trade-On	Discussions with Kelly-Anne Trenfield regarding audit completion time frames and progress thus far. Emails regarding same. Review, make comments, additions, and amendments to draft FY 16 audit and return to WMS. Responses to TNR regarding timing for further audits and information required from FTI presently.	Audit
Renee Lobb	Senior Director	14-Dec-18	0.40	570	228.00	Trade-On	Review amendments an explanation to queries on FY 16 audit. Respond to confirm John Park can now sign the relevant pages. Review email and take voicemail from Geoff Dwyer. Return call and leave message.	Audit
Renee Lobb	Senior Director	14-Dec-18	0.10	570	57.00	Trade-On	Review and respond to email from auditors regarding bank audit confirmation/certificate after receipt of CBA correspondence.	Audit
Kelly-Anne Trenfield	Senior Managing Director	14-Dec-18	0.10	625	62.50	Administration	Emails in/out with WMS and auditors re status of funds and tax obligations.	Audit
Renee Lobb	Senior Director	18-Dec-18	0.20	570	114.00	Trade-On	Responding to investor query for discrepancies in unit holdings. request they identify what the discrepancies are so we can only respond to the specific unit holdings.	Investors
Renee Lobb	Senior Director	19-Dec-18	0.20	570	114.00	Trade-On	Reviewing final audit documents and respond to auditors on timing. Send to John Park for authority to finalise to enable pre-Christmas lodgement.	Audit
Tanya Kratz	Administration 2	20-Dec-18	0.70	185	129.50	Administration	Amend and finalise documents as requested.	Administration
Renee Lobb	Senior Director	20-Dec-18	0.50	570	285.00	Trade-On	Review email from John Park with approvals. Send to TK with instructions to get audit finalised. Send documentation to auditors finalised for lodgement. save auditor final copies. Review email regarding 5137's and audit lodgements through the liquidator portal. Check options available. Request Neil Dempster to follow up tomorrow to find a solution.	Audit
Renee Lobb	Senior Director	21-Dec-18	0.20	570	114.00	Trade-On	Review fund invoice for audits. Email Kelly-Anne Trenfield regarding payment timing. discuss lodgement of 5137's with Neil and to send email to auditors with update.	Audit
Neil Dempster	Senior Consultant 1	21-Dec-18	0.20	380	76.00	Administration	Check scan save and email 5137 re TNR appointment - diarise	Audit
Neil Dempster	Senior Consultant 1	21-Dec-18	0.20	380	76.00	Administration	Email to CV request to follow up 5137 lodgements	Audit
Renee Lobb	Senior Director	02-Jan-19	0.20	570	114.00	Trade-On	Making payment to TNR for audit work completed.	Fund Administration
Renee Lobb	Senior Director	02-Jan-19	0.10	570	57.00	Trade-On	Pay monthly subscription for Xero and claim through chrome river.	Fund Administration

Kelly-Anne Trenfield	Senior Managing Director	02-Jan-19	0.10	625	62.50	Administration	Review incoming documentation, consider and send to filing RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Administration
Ashleigh Ubank	Administration 2	03-Jan-19	0.10	185	18.50	Administration		Investors
Kelly-Anne Trenfield	Senior Managing Director	04-Jan-19	0.10	625	62.50	Administration	Review incoming documentation, consider and send to filing; Review and update to do list; query outstanding items	Administration
Renee Lobb	Senior Director	07-Jan-19	0.20	570	114.00	Trade-On	Responding to investor query on return timing.	Investors
Renee Lobb	Senior Director	10-Jan-19	0.20	570	114.00	Trade-On	Make payments, update register for fund payables.	Fund Administration
Ashleigh Ubank	Administration 2	11-Jan-19	0.10	185	18.50	Creditors	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Neil Dempster	Senior Consultant 1	11-Jan-19	0.10	380	38.00	Creditors	Email to OMI confirm unit holdings remain the same	Investors
Renee Lobb	Senior Director	15-Jan-19	0.20	570	114.00	Investigations	Call with ASIC regarding letter rejecting notification of new auditor. try to troubleshoot way around.	Audit
Neil Dempster	Senior Consultant 1	15-Jan-19	0.10	380	38.00	Creditors	Email to AMP re bulk updates to registry	Investors
Renee Lobb	Senior Director	17-Jan-19	0.20	570	114.00	Trade-On	Make WMS payment and seek update on tax lodgement advice.	Fund Administration
Renee Lobb	Senior Director	25-Jan-19	0.20	570	114.00	Trade-On	Update registers for payment.	Investors
Renee Lobb	Senior Director	29-Jan-19	0.30	570	171.00	Trade-On	Investor query response.	
Renee Lobb	Senior Director	31-Jan-19	0.10	570	57.00	Administration	Reviewing ASIC correspondence. Locate ASIC enquiry form and lodge detailed request regarding notification of TNR as auditors and rejection of forms 5137. Discuss with Kelly-Anne Trenfield after lodgement.	Audit
Renee Lobb	Senior Director	31-Jan-19	0.20	570	114.00	Trade-On	Follow up advice timeframe for fund tax obligations.	Tax
Renee Lobb	Senior Director	01-Feb-19	0.30	570	171.00	Trade-On	Call with ASIC to discuss issue with auditors not being able to lodge accounts electronically as ASIC will not recognise the new auditors. Come up with solution.	Audit
Renee Lobb	Senior Director	04-Feb-19	0.10	570	57.00	Trade-On	Email TNR regarding ASIC audit lodgement resolution. provide instructions to lodge. Prepare ASIC lodgement forms, print audits. give to John Park to sign and lodge thereafter.	Audit
Kelly-Anne Trenfield	Senior Managing Director	04-Feb-19	0.60	625	375.00	Administration	Xero expense claim.	Fund Administration
Renee Lobb	Senior Director	05-Feb-19	0.20	570	114.00	Trade-On	Review of WIP for future remuneration application; schedule to 31 Dec re future remuneration approval; Preparation of remuneration schedule including activity categorization	Remuneration
Renee Lobb	Senior Director	07-Feb-19	0.10	570	57.00	Trade-On	Provide update to investor via email.	Investors
Renee Lobb	Senior Director	08-Feb-19	0.20	570	114.00	Trade-On	Responding to investor query.	Investors
Renee Lobb	Senior Director	08-Feb-19	0.10	570	57.00	Trade-On	Payment. Update master payables sheet and send to CCS payments.	Fund Administration
Renee Lobb	Senior Director	14-Feb-19	0.20	570	114.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Senior Director	15-Feb-19	0.20	570	114.00	Investigations	Answer investor query.	Investors
Renee Lobb	Senior Director	19-Feb-19	0.20	570	114.00	Trade-On	Call with CGW regarding information required for advice on tax returns. go through records, locate and send to CGW.	Tax
Renee Lobb	Senior Director	19-Feb-19	0.20	570	114.00	Trade-On	Respond to two investor queries.	Investors
Renee Lobb	Senior Director	25-Feb-19	0.10	570	57.00	Trade-On	Payment to perpetual for custody fees, updating registers. Respond to investor registry query, provide Advanced Share Registry details.	Fund Administration
Renee Lobb	Senior Director	25-Feb-19	0.10	570	57.00	Trade-On		Investors

Renee Lobb	Senior Director	28-Feb-19	0.10	570	57.00	Trade-On	Respond to audit confirmation request from Perpetual on account holdings.	Audit
Renee Lobb	Senior Director	04-Mar-19	0.20	570	114.00	Trade-On	Download invoice and lodge expense claim for Xero monthly charges.	Fund Administration
Renee Lobb	Senior Director	08-Mar-19	0.20	570	114.00	Trade-On	Responding to 2 queries requesting updates.	Investors
Renee Lobb	Senior Director	12-Mar-19	0.30	570	171.00	Investigations	Follow up CGW advice on fund tax returns and TNR on audit timeline. Follow up WMS also.	Tax
Renee Lobb	Senior Director	18-Mar-19	0.10	570	57.00	Trade-On	Provide Neil Dempster with fund update to assist in investor replies.	Investors
Renee Lobb	Senior Director	20-Mar-19	0.10	570	57.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Senior Director	25-Mar-19	0.10	570	57.00	Trade-On	Follow up CGW for advice on tax lodgements.	Tax
Renee Lobb	Senior Director	25-Mar-19	0.10	570	57.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	05-Apr-19	0.10	580	58.00	Trade-On	Review, save and expense xero monthly data access costs.	Fund Administration
Renee Lobb	Managing Director	05-Apr-19	0.10	580	58.00	Trade-On	Update register for fund payable.	Fund Administration
Renee Lobb	Managing Director	08-Apr-19	0.10	580	58.00	Trade-On	Review and submit payment for release by perpetual.	Fund Administration
Renee Lobb	Managing Director	09-Apr-19	0.70	580	406.00	Trade-On	Call with WMS contact regarding FY 17 and FY 18 returns. provide background and answer specific transaction queries. Call to CGW regarding advice receipt timing to ascertain if ITRs are also required for the funds.	Audit
Renee Lobb	Managing Director	10-Apr-19	0.10	580	58.00	Trade-On	Sending invoices to WMS to assist in completion of accounts.	Audit
Neil Dempster	Senior Consultant 2	11-Apr-19	0.20	440	88.00	Creditors	Roy Davies query re court application and budget approval - judgement withheld - update email	Investors
Renee Lobb	Managing Director	12-Apr-19	0.50	580	290.00	Investigations	Reviewing LM Investment Management Ltd shareholding in response to query. return call and send email. Discuss with Kelly-Anne Trenfield also.	Investors
Renee Lobb	Managing Director	15-Apr-19	0.30	580	174.00	Trade-On	Review and collate information to respond to WMS information request. provide relevant documents via return email.	Audit
Renee Lobb	Managing Director	16-Apr-19	0.20	580	116.00	Trade-On	Assisting Neil Dempster to reconcile payments for ASIC unclaimed monies from 2016 distribution.	Fund Administration
Neil Dempster	Senior Consultant 2	16-Apr-19	0.30	440	132.00	Administration	Discuss with RCL re unclaimed monies	Investors
Renee Lobb	Managing Director	18-Apr-19	0.10	580	58.00	Trade-On	Responding to email from auditors regarding FY 17 and FY 18 accounts.	Audit
Renee Lobb	Managing Director	23-Apr-19	0.10	580	58.00	Trade-On	Call with Adam at WMS regarding audit queries.	Audit
Renee Lobb	Managing Director	26-Apr-19	1.30	580	754.00	Trade-On	Go through audit queries, download FY 17 and FY 18 EUR and GBP CBA statements. Go through list of investor distributions to verify and check sample. Return email to WMS with all responses and attachments.	Audit
Renee Lobb	Managing Director	29-Apr-19	0.30	580	174.00	Trade-On	Call with WMS regarding audit queries. Call with Auditor. Go through background in detail.	Audit
Neil Dempster	Senior Consultant 2	29-Apr-19	0.20	440	88.00	Creditors	Citibank queries - investment reconciliation - ~10 investments, reconcile to ISIN numbers and table for Citibank - some differences	Investors
Renee Lobb	Managing Director	02-May-19	0.10	625	62.50	Trade-On	Payments and claiming of Xero access.	Fund Administration
Renee Lobb	Managing Director	08-May-19	0.10	625	62.50	Trade-On	Scan save and send to WMD Dec Qtr and Mar Qtr BAS's.	Audit
Renee Lobb	Managing Director	10-May-19	0.30	625	187.50	Trade-On	Making payments from series account to pay WMS invoice.	Audit

Renee Lobb	Managing Director	13-May-19	0.20	625	125.00	Trade-On	Updating registers for payments. Make payment from Suncorp account x 2	Fund Administration
Renee Lobb	Managing Director	20-May-19	0.10	625	62.50	Trade-On	Email to Kelly-Anne Trenfield on audit and advice progress.	Audit
Kelly-Anne Trenfield	Senior Managing Director	21-May-19	0.20	695	139.00	Administration	Remuneration review and compilation from 1 Jan 2019 to 31 March 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	23-May-19	0.20	695	139.00	Administration	Review remuneration table and complete categorisation of time entries	Remuneration
Renee Lobb	Managing Director	30-May-19	0.30	625	187.50	Trade-On	Call with WMS regarding audit progress. answer investor forex funds and process.	Audit
Renee Lobb	Managing Director	03-Jun-19	0.80	625	500.00	Trade-On	Downloading all requested statements for WMS for accounting and audit purposes.	Audit
Renee Lobb	Managing Director	03-Jun-19	0.10	625	62.50	Trade-On	Payment of fund expenses for Xero.	Fund Administration
Renee Lobb	Managing Director	04-Jun-19	0.40	625	250.00	Trade-On	Reviewing email with queries about FTI remuneration. Call with Adam regarding same. Provide further details and documentation.	Audit
Renee Lobb	Managing Director	06-Jun-19	6.30	625	3,937.50	Trade-On	Commence review of ASPF FY 17 audit. check against our updates. Notice issues with unit pricing. Call auditor. Then call WMS for 1.5 hours to canvas issues. Review working papers sent through being distributions payable, funds to be invested, coupon income etc. Discussion with Kelly-Anne Trenfield regarding same and distributions if any made pre 2016. Find all source documents and do action plan. Mark up traced changes to draft financials based on review of all.	Audit
Kelly-Anne Trenfield	Senior Managing Director	06-Jun-19	0.30	695	208.50	Administration	RCL re review of audit file/financial; review records re recorded accrual for distribution	Audit
Kelly-Anne Trenfield	Senior Managing Director	06-Jun-19	0.10	695	69.50	Administration	RCL update re audit/accounts	Audit
Renee Lobb	Managing Director	07-Jun-19	0.10	625	62.50	Trade-On	Checking payment to be made, add to register and save.	Fund Administration
Renee Lobb	Managing Director	11-Jun-19	0.30	625	187.50	Trade-On	Moving funds from series account to pay invoices. updating schedule for payments also.	Fund Administration
Renee Lobb	Managing Director	13-Jun-19	0.20	625	125.00	Trade-On	Check payments processed after receiving email from perpetual about processing issues and delays. Make further payment.	Fund Administration
Renee Lobb	Managing Director	18-Jun-19	0.70	625	437.50	Trade-On	Going through audit queries with WMS. Also discuss with WMS then Kelly-Anne Trenfield the findings on ITRs outstanding.	Audit
Kelly-Anne Trenfield	Senior Managing Director	18-Jun-19	0.20	695	139.00	Administration	Rocla re tax lodgements update including discussion with WMSRCL re review of legal advice re tax lodgements	Audit
Renee Lobb	Managing Director	19-Jun-19	0.10	625	62.50	Trade-On	Email queries with WMS; set up call for tomorrow to finalise audit queries and changes for FY17.	Audit
Renee Lobb	Managing Director	20-Jun-19	0.90	625	562.50	Trade-On	Call with WMS and TNR and post discussion with Kelly-Anne Trenfield regarding financials and audit queries and adjustments.	Audit
Kelly-Anne Trenfield	Senior Managing Director	20-Jun-19	0.10	695	69.50	Administration	RCL re audit update	Audit
Renee Lobb	Managing Director	21-Jun-19	0.20	625	125.00	Trade-On	Summarising file note on ITR lodgement requirements.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	22-Jun-19	0.10	695	69.50	Administration	Review file note re obligations to file tax returns; Review and update to do list; query outstanding items	Fund Administration
Renee Lobb	Managing Director	25-Jun-19	0.10	625	62.50	Trade-On	Provide investor update reply to email.	Investors

Renee Lobb	Managing Director	14-Jul-19	0.30	625	187.50	Trade-On	Review 2017 audit changes and new disclosures. Send comments to WMS and auditors.	Audit
Renee Lobb	Managing Director	15-Jul-19	0.10	625	62.50	Trade-On	Respond to WMS financials queries.	Audit
Renee Lobb	Managing Director	26-Jul-19	0.20	625	125.00	Trade-On	Request Neil Dempster do transfers from series accounts to pay Was. Make payment to advanced share.	Audit
Neil Dempster	Senior Consultant 2	26-Jul-19	0.50	465	232.50	Creditors	Allocate payments WMS inv 86372 from various series accounts to main	Audit
Neil Dempster	Senior Consultant 2	29-Jul-19	0.20	465	93.00	Administration	Payment WMS invoice 86372 via SunCorp - scan to CCS payments and save to file	Audit
Renee Lobb	Managing Director	02-Aug-19	0.10	625	62.50	Administration	Organising catch up with WMS regarding fund audit queries.	Audit
Renee Lobb	Managing Director	02-Aug-19	0.10	625	62.50	Trade-On	Paying fund costs.	Fund Administration
Renee Lobb	Managing Director	07-Aug-19	0.10	625	62.50	Trade-On	CALL WITH ADAM FROM WMS RE AUDITS AND TIME TO RESOLVE FINAL QUERIES.	Audit
Renee Lobb	Managing Director	08-Aug-19	0.40	625	250.00	Trade-On	Call with WMS to go through audit queries. Answer same and provide supplementary documentation.	Audit
Renee Lobb	Managing Director	09-Aug-19	0.10	625	62.50	Trade-On	Processing payment to advanced share.	Fund Administration
Renee Lobb	Managing Director	15-Aug-19	0.10	625	62.50	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	23-Aug-19	0.10	625	62.50	Trade-On	Payment to WMS.	Audit
Renee Lobb	Managing Director	28-Aug-19	0.30	625	187.50	Trade-On	Do payment transfers from series to main account for payment of perpetual invoice. updating schedules.	Fund Administration
Renee Lobb	Managing Director	02-Sep-19	0.10	625	62.50	Trade-On	Follow up audit progress and respond to Kelly-Anne Trenfield regarding same.	Audit
Renee Lobb	Managing Director	04-Sep-19	0.20	625	125.00	Trade-On	Respond to request for update on funds from investor representative.	Investors
Renee Lobb	Managing Director	04-Sep-19	0.10	625	62.50	Trade-On	Fund payable claim.	Fund Administration
Renee Lobb	Managing Director	06-Sep-19	0.30	625	187.50	Trade-On	Processing payments pro rated from series accounts.	Fund Administration
Renee Lobb	Managing Director	10-Sep-19	0.20	625	125.00	Trade-On	Follow up audit timing with WMS. Call with WMS regarding update on audit timing.	Audit
Kelly-Anne Trenfield	Senior Managing Director	10-Sep-19	0.20	695	139.00	Administration	Prepare remuneration schedule to 30 June 2019 review wipe schedule for period 1 April to 30 June re prep for remuneration application	Remuneration
Renee Lobb	Managing Director	12-Sep-19	0.10	625	62.50	Trade-On	Payment processing.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	12-Sep-19	0.20	695	139.00	Administration	Prepare schedules for remuneration application	Remuneration
Renee Lobb	Managing Director	24-Sep-19	0.10	625	62.50	Trade-On	Following up query list and respond to email on same regarding follow up time frame.	Audit
Renee Lobb	Managing Director	27-Sep-19	0.30	625	187.50	Trade-On	Commence report for investors.	Investors
Renee Lobb	Managing Director	30-Sep-19	0.40	625	250.00	Trade-On	Complete fund update.	Investors
Renee Lobb	Managing Director	02-Oct-19	0.10	625	62.50	Trade-On	Payment and expensing of Xero monthly invoices.	Fund Administration
Renee Lobb	Managing Director	02-Oct-19	0.20	625	125.00	Trade-On	Changes to draft investor update based on discussion with WMS.	Audit
Renee Lobb	Managing Director	04-Oct-19	0.40	625	250.00	Trade-On	Obtaining information for WMS to complete accounts and BASs. Update report to investors based on lodgement timing feedback.	Audit
Kelly-Anne Trenfield	Senior Managing Director	10-Oct-19	0.10	695	69.50	Creditors	Review member update	Investors
Ashleigh Ubank	Administration 2	14-Oct-19	0.10	205	20.50	Administration	Signature/s inserted electronically into documents of report, documents collated and finalised in PDF format.	Investors
John Park	Senior Managing Director	14-Oct-19	0.20	695	139.00	Administration	Settle update to investors.	Investors
Renee Lobb	Managing Director	14-Oct-19	0.10	625	62.50	Trade-On	Request Ashleigh Ubank to finalise LM Investment Management Ltd fund update.	Investors

Renee Lobb	Managing Director	15-Oct-19	0.10	625	62.50	Trade-On	Upload update to website.	Investors
Renee Lobb	Managing Director	18-Oct-19	0.10	625	62.50	Trade-On	Pay advanced share invoice and update register.	Fund Administration
Renee Lobb	Managing Director	21-Oct-19	0.10	625	62.50	Trade-On	Review email from Adam at WMS regarding financials and HIFX. Advise closed out years ago and send email confirmation of same.	Audit
Kelly-Anne Trenfield	Senior Managing Director	22-Oct-19	0.30	695	208.50	Administration	Prepare wipe scheduled, request draft invoice	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	23-Oct-19	0.10	695	69.50	Administration	Review and approve request for proforma invoice/draft bill/finalised billing and payment	Remuneration
Renee Lobb	Managing Director	25-Oct-19	0.20	625	125.00	Administration	Review invoices to be paid from the fund. Do apportionment spread sheet. Save invoices.	Fund Administration
Renee Lobb	Managing Director	04-Nov-19	0.10	625	62.50	Trade-On	Payments for fund	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	04-Nov-19	0.20	695	139.00	Administration	Preparation of remuneration schedules re application for fee approval to 31 October 2019	Remuneration
Renee Lobb	Managing Director	05-Nov-19	0.20	625	125.00	Trade-On	Entering in receipts to LM Investment Management Ltd for payment by ASPF of fee invoices.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	06-Nov-19	0.10	695	69.50	Administration	Review and approve payment of outstanding administration liabilities including review of supporting documentation and signing of transfer or cheque	Fund Administration
Renee Lobb	Managing Director	07-Nov-19	0.10	625	62.50	Trade-On	Saving and scanning documents for receipts and payments made.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	11-Nov-19	0.30	695	208.50	Administration	Review and finalisation remuneration schedules to 31 October 2019	Remuneration
Renee Lobb	Managing Director	19-Nov-19	0.10	625	62.50	Trade-On	Reviewing email on audit timing from Adam. Respond.	Audit
Kelly-Anne Trenfield	Senior Managing Director	28-Nov-19	0.20	695	139.00	Administration	Finalise fee schedules/Prepare task/activity summary	Remuneration
Renee Lobb	Managing Director	29-Nov-19	0.40	625	250.00	Trade-On	Payments for WMS, perpetual and Advanced from series to main account.	Audit
Renee Lobb	Managing Director	02-Dec-19	0.20	625	125.00	Trade-On	Review, amend and request Ashleigh Ubank to finalise representation letter to go with audit. save final draft.	Audit
Ashleigh Ubank	Administration 2	02-Dec-19	0.10	205	20.50	Administration	Representation letters formatted and printed for signing.	Audit
Renee Lobb	Managing Director	05-Dec-19	0.20	625	125.00	Trade-On	Scan, save and sent to TNR audit and representation letter with lodgement instructions. Payments also and update register.	Audit
Renee Lobb	Managing Director	09-Dec-19	0.20	625	125.00	Trade-On	Completing audit forms for lodgement.	Audit
Renee Lobb	Managing Director	10-Dec-19	1.00	625	625.00	Trade-On	Attending to audit query email for financials from WMS.	Audit
Renee Lobb	Managing Director	10-Dec-19	0.20	625	125.00	Trade-On	Responding to fund update request. Send audit third party authorisation letter as requested.	Investors
Renee Lobb	Managing Director	10-Dec-19	0.20	625	125.00	Trade-On	Payments from series to general account to pay TNR. Updating register of fund payables.	Fund Administration
Ashleigh Ubank	Administration 2	10-Dec-19	0.10	205	20.50	Administration	Electronic signature/s inserted into the payment authorisation forms and finalised in PDF format.	Administration
Renee Lobb	Managing Director	13-Jan-20	0.10	625	62.50	Trade-On	Reviewing email about account signatory and respond.	Audit
Kelly-Anne Trenfield	Senior Managing Director	13-Jan-20	0.40	695	278.00	Administration	Prepare remuneration approval schedules to 31 December 2019	Remuneration
Renee Lobb	Managing Director	15-Jan-20	0.20	625	125.00	Trade-On	Respond to investor update request. Apportion fund payments owed and generate payments for perpetual to process. update register.	Investors
Renee Lobb	Managing Director	16-Jan-20	0.20	625	125.00	Trade-On	Payments to main account for Advanced Share Registry and WMS.	Fund Administration

Kelly-Anne Trenfield	Senior Managing Director	17-Jan-20	0.20	695	139.00	Administration	Review/amend affidavit re remuneration approval Reviewing expenses information. do invoice for same and send to Kelly-Anne Trenfield to review.	Remuneration
Renee Lobb	Managing Director	20-Jan-20	0.20	625	125.00	Trade-On	Call with Adam regarding audit queries and meeting to discuss. Review and forward on to auditors the CBA response on signatories. Request new CBA authority letters to be signed by John. Reviewing email from Adam at WMS with pre call queries. Review file, locate documentation and send via email. Call to Adam to establish same and any further queries. Request approval for audit certificate letters to be finalised, issue same. review op cost and legal invoices and resend to Kelly-Anne Trenfield. Do ASPF apportionment for payments tomorrow.	Fund Administration
Renee Lobb	Managing Director	21-Jan-20	1.80	625	1,125.00	Trade-On	Electronic signature/s inserted into third party authorisation form and finalised in PDF format.	Audit
Ashleigh Ubank	Administration 2	21-Jan-20	0.10	205	20.50	Administration	Review invoices re recoupment of ops and legal costs/review and approve invoicing re costs; Review and update to do list; query outstanding items RCL update re accounts/audit	Audit
Kelly-Anne Trenfield	Senior Managing Director	21-Jan-20	0.20	695	139.00	Administration	Payments from series to LM Investment Management Ltd and to main account for expenses. Call with accountant and auditors about queries. Respond to further information request from auditors.	Fund Administration
Renee Lobb	Managing Director	22-Jan-20	1.70	625	1,062.50	Trade-On	Review constitution re management fees, calculate management fees	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	22-Jan-20	0.40	695	278.00	Administration	Do series payments for ASPF rem application russells invoice. update register.	Fund Administration
Renee Lobb	Managing Director	23-Jan-20	0.30	625	187.50	Trade-On	Make payment to perpetual.	Fund Administration
Renee Lobb	Managing Director	23-Jan-20	0.10	625	62.50	Trade-On	Attending to audit queries: send required documentation to TNR.	Fund Administration
Renee Lobb	Managing Director	24-Jan-20	0.30	625	187.50	Trade-On	Check account to move funds to russells. note payments not processed by perpetual and follow up same.	Audit
Renee Lobb	Managing Director	24-Jan-20	0.10	625	62.50	Trade-On	Payments to russells. updating registers.	Fund Administration
Renee Lobb	Managing Director	24-Jan-20	0.30	625	187.50	Trade-On	Fwd to Ashleigh Ubank audit confirmation request to action. answer audit queries.	Fund Administration
Renee Lobb	Managing Director	28-Jan-20	0.30	625	187.50	Trade-On	Payment to russells. update register.	Audit
Renee Lobb	Managing Director	28-Jan-20	0.10	625	62.50	Trade-On	Letter of authority arranged for signing. signed copy scanned, saved to file and issued to requesting party.	Fund Administration
Ashleigh Ubank	Administration 2	28-Jan-20	0.10	205	20.50	Administration	Call with auditor after receipt of email. query actual query and drill into supporting documentation. reconcile previous 2018 reimbursement by fund to LMIM with 2020 reimbursement and calculate double up. Send information to Kelly-Anne Trenfield to authorise reimbursement. Response to auditor regarding same. Process reimbursement. call with Adam about financials review.	Audit
Renee Lobb	Managing Director	29-Jan-20	1.30	625	812.50	Trade-On	Respond to Russells queries re inclusion of disbursements	Audit
Kelly-Anne Trenfield	Senior Managing Director	30-Jan-20	0.10	695	69.50	Administration	Email to Citibank with contact details advanced share	Remuneration
Neil Dempster	Senior Consultant II	30-Jan-20	0.10	465	46.50	Creditors		Investors

Renee Lobb	Managing Director	31-Jan-20	0.80	625	500.00	Trade-On	Reviewing draft financials, add comments and mark up changes. return to WMS with queries.	Audit
Renee Lobb	Managing Director	04-Feb-20	0.10	625	62.50	Trade-On	Expense claim and payment checking.	Fund Administration
Renee Lobb	Managing Director	04-Feb-20	0.30	625	187.50	Trade-On	Going through financials queries with Adam at WMS. Settle final queries and note additional docs required for dec Qtr 19.	Audit
Renee Lobb	Managing Director	04-Feb-20	0.10	625	62.50	Trade-On	Expense claim and payment checking.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	04-Feb-20	0.10	695	69.50	Administration	Review and approve client engagement; instructions to RCL re calcs re feeder funds	Fund Administration
Ashleigh Ubank	Administration 2	05-Feb-20	0.10	205	20.50	Administration	Electronic signature/s inserted into letter, letter collated with attachments and finalised in PDF & hard copy format.	
Renee Lobb	Managing Director	05-Feb-20	0.10	625	62.50	Administration	Filing audit emails.	Audit
Renee Lobb	Managing Director	05-Feb-20	0.10	625	62.50	Trade-On	Make WMS payment. update register.	Audit
Renee Lobb	Managing Director	06-Feb-20	0.30	625	187.50	Trade-On	Auditor email and call queries. respond to same.	Audit
Neil Dempster	Senior Consultant II	06-Feb-20	0.10	465	46.50	Creditors	Scan transfer request - mail to Advanced	Investors
Renee Lobb	Managing Director	11-Feb-20	0.10	625	62.50	Trade-On	Reviewing audit representation letter. send to Kelly-Anne Trenfield for approval. Return email to TNR on finalisation of same.	Audit
Renee Lobb	Managing Director	12-Feb-20	0.10	625	62.50	Trade-On	Call with Adam from WMS regarding info for financials.	Audit
Renee Lobb	Managing Director	12-Feb-20	0.10	625	62.50	Trade-On	Going through request for information from WMS. extract all bank statements and check investor statement. send quarterly information and other relevant supporting documentation.	Audit
Renee Lobb	Managing Director	12-Feb-20	1.30	625	812.50	Trade-On	Management fee information gathering. Send to Kelly-Anne Trenfield when complete in draft.	Audit
Renee Lobb	Managing Director	18-Feb-20	0.50	625	312.50	Trade-On	Call with Adam. Subsequent email regarding changes to notes etc after discussion. Reply.	Fund Administration
Renee Lobb	Managing Director	18-Feb-20	0.30	625	187.50	Trade-On	Process russells invoice and update register. Call CBA regarding audit certificate information request after speaking with TNR.	Audit
Renee Lobb	Managing Director	19-Feb-20	0.30	625	187.50	Trade-On	Dealing with CBA on audit certificate information requirements.	Fund Administration
Renee Lobb	Managing Director	20-Feb-20	0.20	625	125.00	Trade-On	Authority formatted. Electronic signature/s inserted into letter, letter collated with attachments and finalised in PDF format.	Audit
Ashleigh Ubank	Administration 2	20-Feb-20	0.20	205	41.00	Administration	Send required information to CBA for audit certificate. Send TNR audit representation letters. Prepare lodgements forms to go to ASIC Monday.	Audit
Renee Lobb	Managing Director	21-Feb-20	0.30	625	187.50	Trade-On	Call with TNR regarding audits and minor lodgement amendments. instructions to Ashleigh Ubank to rectify before sending in mail.	Audit
Renee Lobb	Managing Director	24-Feb-20	0.10	625	62.50	Trade-On	Payment of tax invoice. Update register.	Audit
Renee Lobb	Managing Director	27-Feb-20	0.10	625	62.50	Trade-On	Make payment to WMS. Update register.	Fund Administration
Renee Lobb	Managing Director	03-Mar-20	0.10	625	62.50	Trade-On	Respond to accountant queries.	Administration
Renee Lobb	Managing Director	06-Mar-20	0.10	625	62.50	Trade-On	Review and respond to queries on notes to the financials, provide update information.	Audit
Renee Lobb	Managing Director	09-Mar-20	0.10	625	62.50	Trade-On	Review email and arrange call with auditors to discuss queries. request queries pre call.	Audit
Renee Lobb	Managing Director	10-Mar-20	0.10	625	62.50	Trade-On		Audit

Renee Lobb	Managing Director	11-Mar-20	0.50	625	312.50	Trade-On	Reviewing agenda for teleconference. Review file for audit queries and add notes. attend teleconference and send emails with additional information required.	Audit
Renee Lobb	Managing Director	17-Mar-20	0.10	625	62.50	Trade-On	Email to TNR and WMS with ASIC AFSL extension documentation	Audit
Kelly-Anne Trenfield	Senior Managing Director	18-Mar-20	0.40	695	278.00	Administration	Prepare updated remuneration schedules to 29 February 2020	Remuneration
Renee Lobb	Managing Director	23-Mar-20	0.20	625	125.00	Trade-On	Respond to Kat request for audit update. Request timing from auditors.	Audit
Kelly-Anne Trenfield	Senior Managing Director	24-Mar-20	0.10	695	69.50	Administration	Update remuneration application schedules to 29 February 2020	Remuneration
Renee Lobb	Managing Director	26-Mar-20	0.40	625	250.00	Trade-On	Reviewing financials and ensure changes made to AIF are reflected in ASPF financial notes. Respond to auditors confirming draft in order and save draft to file.	Audit
Renee Lobb	Managing Director	30-Mar-20	0.40	625	250.00	Trade-On	Request TK to finalise audited accounts for FY 19. Reviewing request for compliance information from perpetual. Respond and provide same. Check and print letters and do lodgement forms with instructions for signing by John Park.	Audit
Tanya Kratz	Administration 2	30-Mar-20	0.30	205	61.50	Administration	Format final audit document as requested.	Audit
Renee Lobb	Managing Director	01-Apr-20	0.10	625	62.50	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Managing Director	01-Apr-20	0.40	625	250.00	Trade-On	Scan and sent then follow up financials completed to enable mailing today. Compile returned documents and delete blank pages from pdf as well and change 388 address details. frank and mail out. drop in post box. Send final pdf version to WMS and TNR.	Audit
Renee Lobb	Managing Director	14-Apr-20	0.40	660	264.00	Trade-On	Make series payments to main account to pay TNR. make payment to advanced and update register.	Audit
Renee Lobb	Managing Director	16-Apr-20	0.30	660	198.00	Trade-On	Series payments to main account to pay perpetual fees. Payment to TNR.	Audit
Renee Lobb	Managing Director	16-Apr-20	0.10	660	66.00	Trade-On	Payment to perpetual. update registers.	Fund Administration
Renee Lobb	Managing Director	21-Apr-20	0.30	660	198.00	Trade-On	Go into Suncorp and note payments from 15 April not processed. Follow up Perpetual. Make further payment once processed.	Fund Administration
Renee Lobb	Managing Director	29-Apr-20	0.30	660	198.00	Trade-On	Save invoices to file, pay through Suncorp, send to perpetual for release and update register. Series to main account calculations and 10 transfers.	Fund Administration
Renee Lobb	Managing Director	05-May-20	0.10	660	66.00	Trade-On	Claim for Xero costs.	Administration
Renee Lobb	Managing Director	05-May-20	0.10	660	66.00	Trade-On	Payment to WMS. update register and send to perpetual.	Fund Administration
Renee Lobb	Managing Director	06-May-20	0.20	660	132.00	Trade-On	Review invoice, update register, make payment and send to perpetual for release.	Fund Administration
Renee Lobb	Managing Director	22-May-20	0.10	660	66.00	Investigations	Email to Millie regarding closure of ASPF and inclusion of future fees in present or future application to be capped to enable closure.	Fund Administration
Renee Lobb	Managing Director	28-May-20	0.10	660	66.00	Trade-On	Email to TNR and WMS to arrange catch up to go through closure requirements for final accounts and audit.	Audit
Renee Lobb	Managing Director	02-Jun-20	0.10	660	66.00	Trade-On	Payment and claim of Xero costs.	Fund Administration

Renee Lobb	Managing Director	02-Jun-20	0.30	660	198.00	Trade-On	Email to Adam at WMS regarding providing every invoice in the quarter. request specific query list. send relevant invoices off the back of that query list.	Audit
Renee Lobb	Managing Director	04-Jun-20	0.40	660	264.00	Trade-On	Call with TNR and WMS regarding closure strategy and timing for funds.	Audit
Renee Lobb	Managing Director	04-Jun-20	0.10	660	66.00	Trade-On	Review and issue BAS statements to WMS.	Fund Administration
Tanya Kratz	Administration 2	04-Jun-20	0.10	220	22.00	Administration	Source Excel WIP Proforma as requested.	Remuneration
Tanya Kratz	Administration 2	04-Jun-20	0.30	220	66.00	Administration	Finalise Business Activity Statement x2 as requested.	Fund Administration
Renee Lobb	Managing Director	05-Jun-20	0.40	660	264.00	Trade-On	Starting closure ESOP. Email to Kelly-Anne Trenfield on same.	Fund Administration
Renee Lobb	Managing Director	12-Jun-20	0.20	660	132.00	Trade-On	Make advanced share payment. update register.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	15-Jun-20	0.40	720	288.00	Administration	Update remuneration schedules from 1 March to 31 May 2020	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	22-Jun-20	0.20	720	144.00	Administration	Amend remuneration tables to isolate wipe data from 1 March to 31 May 2020	Remuneration
Renee Lobb	Managing Director	24-Jun-20	0.10	660	66.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Managing Director	02-Jul-20	0.20	660	132.00	Trade-On	Make Payments. Update register.	Fund Administration
Renee Lobb	Managing Director	09-Jul-20	0.10	660	66.00	Trade-On	Process payment for Advanced Share and update register.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	16-Jul-20	0.30	720	216.00	Administration	Update remuneration schedules to 30 June 2020	Remuneration
Renee Lobb	Managing Director	16-Jul-20	0.20	660	132.00	Trade-On	Call with Geoff from TNR regarding final audit. communicate progress to Kelly-Anne Trenfield.	Audit
Renee Lobb	Managing Director	31-Jul-20	0.10	660	66.00	Trade-On	Check for payment of advanced invoice. respond to enquiry.	Fund Administration
Renee Lobb	Managing Director	03-Aug-20	0.10	660	66.00	Trade-On	Make payment	Fund Administration
Renee Lobb	Managing Director	05-Aug-20	0.10	660	66.00	Trade-On	Follow up perpetual for payment regarding lease.	Fund Administration
Renee Lobb	Managing Director	07-Aug-20	0.10	660	66.00	Trade-On	Pay invoice for advanced share. update register.	Fund Administration
Renee Lobb	Managing Director	07-Aug-20	0.80	660	528.00	Trade-On	Compile, save and send email with accounting information to WMS.	Audit
Renee Lobb	Managing Director	24-Aug-20	0.10	660	66.00	Trade-On	Review BAS. Scan, save and return to WMS executed June Qtr 2020 BAS.	Fund Administration
Renee Lobb	Managing Director	25-Aug-20	0.20	660	132.00	Trade-On	Do NAV calc for FY 20 update.	Fund Administration
Renee Lobb	Managing Director	28-Aug-20	0.30	660	198.00	Trade-On	Review KATs changes to update. Send to John Park for approval to finalise investor update after reflecting Kelly-Anne Trenfield's changes in the AIF update in the ASPF update.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	28-Aug-20	0.10	720	72.00	Administration	Review and amend members update	Fund Administration
Ashleigh Ubank	Administration 2	31-Aug-20	0.10	220	22.00	Administration	Signature/s inserted electronically into documents of report, documents collated and finalised in PDF format.	Administration
Renee Lobb	Managing Director	31-Aug-20	0.20	660	132.00	Trade-On	Review final update. Load to website. Confirm to Kelly-Anne Trenfield and John Park now live.	Fund Administration
Renee Lobb	Managing Director	01-Sep-20	0.20	660	132.00	Trade-On	Call with Francis from Financial partners. give detailed background and information on their client holdings in fund.	Investors
Renee Lobb	Managing Director	02-Sep-20	0.10	660	66.00	Trade-On	Download and pay zero expense claim same.	Fund Administration
Renee Lobb	Managing Director	03-Sep-20	0.10	660	66.00	Trade-On	Make payment from fund to WMS. Update register.	Fund Administration
Renee Lobb	Managing Director	03-Sep-20	0.30	660	198.00	Trade-On	Reviewing email with alleged holding. No LM Investment Management Ltd ID number so search all registers and reply with information i have for that adviser and investor.	Investors

Renee Lobb	Managing Director	03-Sep-20	0.10	660	66.00	Trade-On	Providing information to ASIC. Reviewing required account details and complete spreadsheet for audit confirmations. Return to TNR. Review and send off audit confirmation letter.	Fund Administration
Renee Lobb	Managing Director	07-Sep-20	0.40	660	264.00	Trade-On	Letter formatted. Electronic signature/s inserted into letter, letter collated with attachments and finalised in PDF and/or hard copy format.	Audit
Ashleigh Ubank	Administration 2	07-Sep-20	0.20	220	44.00	Administration	Fund payment. Send to Perpetual and update register.	Administration
Renee Lobb	Managing Director	09-Sep-20	0.10	660	66.00	Trade-On	Update remuneration approval schedules to 30 September 2020	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	01-Oct-20	0.30	720	216.00	Administration	Reviewing financials draft for FY20. Return email to Adam regarding timing for fund wind up and request what is needed on remuneration to finalise drafts. Send to Kelly-Anne Trenfield as FYI.	Remuneration
Renee Lobb	Managing Director	01-Oct-20	0.30	660	198.00	Trade-On	Dealing with audit confirmation issue with TNR and Suncorp.	Audit
Renee Lobb	Managing Director	02-Oct-20	0.10	660	66.00	Trade-On	Pay costs of winding up via expense claim - Xero costs.	Audit
Renee Lobb	Managing Director	02-Oct-20	0.10	660	66.00	Trade-On	Making fund payments and updating register.	Fund Administration
Renee Lobb	Managing Director	08-Oct-20	0.20	660	132.00	Trade-On	Send email to Donna with authorisation to try and resolve balance check issue with Suncorp.	Fund Administration
Renee Lobb	Managing Director	13-Oct-20	0.10	660	66.00	Trade-On	Call with Scott from TNR with audit update. Provide update from our end also.	Fund Administration
Renee Lobb	Managing Director	28-Oct-20	0.10	660	66.00	Trade-On		Audit
					2,448.00			

LM Australian Structured Product Fund: Calculation of Category 1 July 2018 to 31 October 2020

Employee	Position	Rate/hour excl GST	Total actual hours	Total (excl GST)	Administration	Assets	Creditors	Dividend	Investigations	Trade (In)
Ashleigh Ubank	Administration 2	185	0.90	396.50	378.00		18.50			
		205	0.80							
		220	0.30							
Caroline Halcoop	Administration 2	185	0.30	55.50	55.50					
John Park	Senior Managing Director	695	0.20	139.00	139.00					
Kelly-Anne Trenfield	Senior Managing Director	625	1.90	5,459.50	5,390.00		69.50			
		695	4.80							
		720	1.30							
Neil Dempster	Senior Consultant 1	380	3.80	2,170.50	871.00		1,299.50			
	Senior Consultant 2	440	0.70							
		465	0.90							
Renee Lobb	Senior Director	570	19.80	40,151.00	877.00			755.00		38,519.00
	Managing Director	580	3.90							
	Managing Director	625	33.80							
		660	8.30							
Talia Glover	Administration 1	155	0.10	15.50	15.5					
Tanya Kratz	Administration 2	185	0.70	279.00	279.00					
		205	0.30							
		220	0.40							
Subtotal			83.20	48,666.50	8,005.00	0.00	1,387.50	0.00	755.00	38,519.00
GST				4,866.65						
Total (including GST)				53,533.15						
Hours				83.20	15.50	0.00	3.40	0.00	1.30	63.00

<p>Investment Company Bestmatch Funds Fund Performance Information: October 2015 to 11 October 2020</p>									
Employee	Date	Hrs	Charge out rate	Charge	Task Descriptive Narrative	Activity			
Benjamin Robson	06-Oct-15	0.20	300	60.00	Respond to investor enquiry	Investor			
Benjamin Robson	07-Oct-15	0.50	300	150.00	Preparation of September 2015 NAV	Fund Administration			
Benjamin Robson	09-Oct-15	0.30	300	90.00	Investor enquiry responses - various	Investor			
Glenn O'Kearney	19-Oct-15	0.20	560	112.00	Review 30 September NAV calculations and task list manager.	Fund Administration			
Benjamin Robson	20-Oct-15	0.40	300	120.00	September Qtr data retrieval and summary	Remuneration			
Glenn O'Kearney	02-Nov-15	0.10	560	56.00	Review asic statement, note to BIR regarding payables.	ASIC			
Glenn O'Kearney	04-Nov-15	0.20	560	112.00	Review 11th report to investors FMIF, note BIR regarding NAV's	Fund Administration			
Benjamin Robson	05-Nov-15	0.30	300	90.00	Pre appointment payables position reconciliation	Fund Administration			
Benjamin Robson	09-Nov-15	0.30	300	90.00	Perpetual - update from John Newby on outstanding invoices. Enter into Fund payables register and regarding	Fund Administration			
Benjamin Robson	09-Nov-15	0.60	300	180.00	Preparation of October 2015 NAV	Fund Administration			
Glenn O'Kearney	10-Nov-15	0.20	560	112.00	Review monthly NAV and comments BIR task summary, notes for discussions.	Fund Administration			
Glenn O'Kearney	12-Nov-15	0.20	560	112.00	Review updated schedule regarding advisor commissions, review reconciliation from SH regarding WU funds/reconciliation etc. meet with Steve to discuss further. note to peter Schmidt regarding advice/meet wit discuss advisor commissions, discussions with	Fund Administration			
Benjamin Robson	23-Nov-15	0.50	300	150.00	Collate from the Fund invoices issued, all time into one central spread sheet for entire VA/CVL period as per Russell request	Fund Administration			
Benjamin Robson	23-Nov-15	0.50	300	150.00	Collate from the Fund invoices issued, all time into one central spread sheet for entire VA/CVL period as per Russell request	Fund Administration			
Benjamin Robson	24-Nov-15	1.00	300	300.00	LM ICPAIF - Collating and formatting WIP data from 19 March 2013 to 30 September 2015, spell checking data and preparation of ARITA tables by financial year for fee application court hearing at request of Russells	Remuneration			
Benjamin Robson	25-Nov-15	0.20	300	60.00	Address change request for Ronal Smith ID 100261494	Investor			
Renee Lobb	25-Nov-15	0.20	510	102.00	Review correspondence from Grant Thornton regarding receivership of western union, emails with John Park and GOK regarding potential website blurb to reflect happenings.	Receiver			
Glenn O'Kearney	25-Nov-15	0.20	560	112.00	Review fee data and Arita schedules for court application, discuss with BIR regarding amendments required	Remuneration			
Glenn O'Kearney	25-Nov-15	0.10	560	56.00	Review correspondence regarding receivership appointment - note to JP.	Receiver			
Glenn O'Kearney	26-Nov-15	0.50	560	280.00	Review correspondence receivers, drafting update for website for investors, review and finalise ARITA and documents for court approval remuneration, call from GT receivers and file note.	Fund Administration			

Brittany Newman	Administration 1		27-Nov-15	0.10	135	13.50	Administration	Mail in from ATO - BAS (1 - 30 November 2015) DOC ID 32 294 257 077	Administration
Glenn O'Kearney	Senior Director		30-Nov-15	0.10	560	56.00	Administration	Call from ecard regarding Grant Thornton correspondence.	Receiver
Brittany Newman	Administration 1		02-Dec-15	0.10	135	13.50	Administration	Mail in from Grant Thornton - Notice of Receivers & Managers Appointed	Administration
Glenn O'Kearney	Senior Director		02-Dec-15	0.10	560	56.00	Creditors	Correspondence from eghard regarding queries on feeder funds.	Fund Administration
Glenn O'Kearney	Senior Director		03-Dec-15	0.20	560	112.00	Trade-On	Review current NAV and meet with Eghard to discuss.	Fund Administration
Renee Lobb	Director		04-Dec-15	0.10	510	51.00	Trade-On	Updating website with approved update on fund after JRP approval.	Fund Administration
Renee Lobb	Director		11-Dec-15	0.20	510	102.00	Trade-On	Respond to investor query regarding value of units and dependence on First Mortgage Income Fund distributions for receipt of funds.	Investor
Brittany Newman	Administration 1		16-Dec-15	0.10	135	13.50	Administration	Mail in from ATO - BAS (1 - 31 December 2015) DOC ID 33 264 661 539	Administration
Benjamin Robson	Consultant 1		16-Dec-15	0.90	300	270.00	Trade-On	Inclusion of OOP's data into financial year ARITA tables from 19/03/13 to 30/09/2015	Remuneration
Glenn O'Kearney	Senior Director		22-Dec-15	0.10	560	56.00	Creditors	Review note in from investor group . correspondence with kelly regarding nav's on feeders/.	Fund Administration
Benjamin Robson	Consultant 1		23-Dec-15	0.20	300	60.00	Trade-On	Provide fund update to investor - Inma	Investor
Benjamin Robson	Consultant 1		23-Dec-15	0.40	300	120.00	Trade-On	Transfer requests and responses to various fund update requests	Investor
Glenn O'Kearney	Senior Director		23-Dec-15	0.10	560	56.00	Trade-On	Correspondence KAT/BIR regarding report and NAV's, updates in WMS regarding tax/management accounts.	Fund Administration
Benjamin Robson	Consultant 1		04-Jan-16	0.90	305	274.50	Trade-On	Preparation of December 2015 NAV model	Fund Administration
Glenn O'Kearney	Senior Director		04-Jan-16	0.10	565	56.50	Trade-On	Update in regarding NAV's WIP data etc. TDL regarding reporting etc.	Fund Administration
Benjamin Robson	Consultant 1		05-Jan-16	0.40	305	122.00	Trade-On	Obtain and format for review December Qtr 2015 WIP and OOPs data. Reconcile ICPAIF Accounts and LM registers (Fees, Fund Payables, Consultants Fees) for inclusion in NAV	Fund Administration
Glenn O'Kearney	Senior Director		06-Jan-16	0.60	565	339.00	Creditors	Review 31 December 2015 NAV. update NAV for GST treatment and 31 Dec fee/oops. drafting of circular to investors. reference various materials to finalize circular. note to Kelly.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director		08-Jan-16	0.50	625	312.50	Administration	review NAV and investor update review and amend revised draft report	Fund Administration
Glenn O'Kearney	Senior Director		08-Jan-16	0.20	565	113.00	Creditors	Review changes to reports KAT. prepare updated report including estimated unit price. note to KAT/Renee/SH regarding units.	Fund Administration
Glenn O'Kearney	Senior Director		11-Jan-16	0.20	565	113.00	Trade-On	Amend circular investors. review correspondence SH regarding NAV/units. c correspondence robb re registers. review registers/ note BIR.	Fund Administration
Glenn O'Kearney	Senior Director		12-Jan-16	0.30	565	169.50	Creditors	Review reports and update regarding units holdings. review reconciliation of holding and note SH further info.	Fund Administration
Benjamin Robson	Consultant 1		12-Jan-16	0.20	305	61.00	Trade-On	Review and confirm december qtr numbers correct	Remuneration

Glenn O'Kearney	Senior Director	15-Jan-16	0.30	565	169.50	Creditors	Review reconciliation from SH regarding AUD units. perform reconciliation/calc/. update NAV and reports to investors. update KAT. finalize email draft to investor enquiry. call form SH regarding same.	Fund Administration
Renee Lobb	Director	15-Jan-16	0.30	510	153.00	Trade-On	Review and upload fund update to website individual tab and homepage. Send update to Simon Luster.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	15-Jan-16	0.10	625	62.50	Administration	review finalised report; JRP approval re signature on report; arrange distribution	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	15-Jan-16	0.10	625	62.50	Administration	Review monthly NAV update	Fund Administration
Brittany Newnman	Administration 1	22-Jan-16	0.10	145	14.50	Administration	Mail in from ATO - Correspondence; Your PAYG withholding registration is cancelled	Administration
Renee Lobb	Director	27-Jan-16	0.10	510	51.00	Investigations	Request registry updated data from GOK and BIR to send notice tomorrow.	Fund Administration
Glenn O'Kearney	Senior Director	27-Jan-16	0.10	565	56.50	Creditors	Review correspondence in ATO.	Tax
Brittany Newnman	Administration 1	29-Jan-16	0.10	145	14.50	Administration	Filing	Administration
Renee Lobb	Director	29-Jan-16	0.80	510	408.00	Trade-On	Sending out to all creditors via email and seeking AMU assistance to send out via post the circular to creditors and investors regarding our remuneration application. Upload legal documents to LM Investment Management Ltd website and confirm with Russells	Investor
Brittany Newnman	Administration 1	02-Feb-16	0.10	145	14.50	Administration	Mail in from ATO - BAS (1 - 31 January 2016) DOC ID 33 304 470 112	Administration
Benjamin Robson	Consultant 1	03-Feb-16	0.10	305	30.50	Trade-On	Record WMS invoice for Jan	Fund Administration
Benjamin Robson	Consultant 1	04-Feb-16	0.70	305	213.50	Trade-On	Preparation of December half 2015 invoices and supporting documents	Remuneration
Glenn O'Kearney	Senior Director	05-Feb-16	0.10	565	56.50	Creditors	Review and approve category 1 ICPAIF invoice.	Remuneration
Renee Lobb	Director	07-Feb-16	0.10	510	51.00	Trade-On	Respond to creditor query on value. direct to circular on website with indicative value.	Fund Administration
Ashleigh Ubank	Administration 2	09-Feb-16	0.10	180	18.00	Administration	Notice to member issued to returned email recipient via post.	Fund Administration
Renee Lobb	Director	09-Feb-16	0.20	510	102.00	Investigations	Go through all emails returned from creditors.. record those not sent and resend to either adviser emails or in the post as required. liaise with AMU on postal circulars. Send to Sean Russell account of those received and returned and by what method.	Remuneration
Renee Lobb	Director	09-Feb-16	0.30	510	153.00	Investigations	Go through all emails returned from creditors.. record those not sent and resend to either adviser emails or in the post as required. liaise with AMU on postal circulars. Send to Sean Russell account of those received and returned and by what method.	Remuneration
Brittany Newnman	Administration 1	10-Feb-16	0.10	145	14.50	Administration	Filing	Administration
Glenn O'Kearney	Senior Director	16-Feb-16	0.10	565	56.50	Trade-On	Review and approve letter to ptal regarding terminate custody	Fund Administration
Renee Lobb	Director	25-Feb-16	0.10	510	51.00	Trade-On	Respond to investor pricing query.	Investor
Benjamin Robson	Consultant 1	26-Feb-16	0.60	305	183.00	Trade-On	File notes and updates to handovers regarding Registers, NAV preparations, Payment procedures, Various fund progressions	Fund Administration

Benjamin Robson	Consultant 1	01-Mar-16	1.00	305	305.00	Trade-On	Preparation of February NAV model with JHC. Preparation of Dec - Feb Op costs with JHC. Preparation of Category 2 invoices with JHC. Walk JHC through Member registers, AX and investor management process. Walk JHC through LM Payment process	Fund Administration
James Cook	Associate 2	01-Mar-16	0.30	275	82.50	Administration	Handover with BJR on procedures in respect of management of funds.	Administration
Renee Lobb	Director	15-Mar-16	0.20	510	102.00	Trade-On	Respond to investor query on NAV and audits.	Fund Administration
Glenn O'Kearney	Senior Director	15-Mar-16	0.30	565	169.50	Creditors	Review investor query. Renee. source info and reply.	Investor
Glenn O'Kearney	Senior Director	16-Mar-16	0.30	565	169.50	Creditors	Prepare update note to NR regarding audits/review files regarding any correspondence on Audit relief etc. prepare note to Peter Schmidt regarding advisor liability queries.	Audit
Glenn O'Kearney	Senior Director	18-Mar-16	0.10	565	56.50	Creditors	Respond investor enquiry.	Investor
Glenn O'Kearney	Senior Director	22-Mar-16	0.10	565	56.50	Creditors	Review various transfer request etc. note JC.	Investor
Glenn O'Kearney	Senior Director	31-Mar-16	0.20	565	113.00	Trade-On	Note and discussions JC regarding transfer of account from custodian.	Fund Administration
Glenn O'Kearney	Senior Director	04-Apr-16	0.10	565	56.50	Trade-On	General discussion regarding fund management JC/NAV updates etc.	Fund Administration
Glenn O'Kearney	Senior Director	05-Apr-16	0.20	565	113.00	Creditors	Review correspondence to investor and draft JC and approve. response directly to various investors myself.	Investor
Glenn O'Kearney	Senior Director	06-Apr-16	0.10	565	56.50	Trade-On	Review various transfer/investor correspondence.	Investor
Renee Lobb	Senior Director	08-Apr-16	0.10	565	56.50	Trade-On	Respond to JC's query on see through data.	Fund Administration
Ashleigh Ubank	Administration 2	11-Apr-16	0.10	180	18.00	Administration	WIP profirma generated for code.	Remuneration
Glenn O'Kearney	Senior Director	11-Apr-16	0.20	565	113.00	Trade-On	Brief discussions with Kelly regarding audits/other fund strategy etc. discussions with JC regarding registers/management accounts etc review month end bank statements in.	Fund Administration
Renee Lobb	Senior Director	13-Apr-16	0.10	565	56.50	Trade-On	Respond to investor query and direct to website with indicative NAV.	Fund Administration
James Cook	Consultant 1	13-Apr-16	0.20	305	61.00	Administration	Review correspondence with ICPAIF investors requesting update on unit holdings and distribution update from FMIF.	Investor
Glenn O'Kearney	Senior Director	14-Apr-16	0.10	565	56.50	Trade-On	Review query investor and reply Riobb with update .note to Renee and Kelly regarding audit issues/advice NR reports etc. correspondence WMS regarding FMIF reports for feeders. note JC regarding further action WMS	Fund Administration
James Cook	Consultant 1	18-Apr-16	0.70	305	213.50	Administration	Attending to NAV position, details with GOK and SunCorp accounts. Reviewing bank statements, exporting CSV files and circulating to WMS for updating of management accounts. Reviewing books and records on file to locate bank statements from 2014 and have WM Reviewing books and records, file for bank statements as requested by WMS. Email to GOK, BJR.	Fund Administration
James Cook	Consultant 1	19-Apr-16	0.50	305	152.50	Administration	Review query JC regarding statements and reply. note Geoff regarding IT options fund/AX. review server records bank statements and reply JC.	Fund Administration
Glenn O'Kearney	Senior Director	19-Apr-16	0.20	565	113.00	Trade-On		Fund Administration

Glenn O'Kearney	Senior Director	22-Apr-16	0.20	565	113.00	Trade-On	Updates to NR/Kelly regarding audits/ASIC and other matters. review correspondence in from KW regarding settlement with WU. review settlement deed etc. review updates IT regarding shutdown options. note NR regarding commission issues.	ASIC
Glenn O'Kearney	Senior Director	28-Apr-16	0.20	565	113.00	Administration	Review status and discuss with KAT .discuss audit matters, tax, other fund issues. discuss with WMS regarding way forward on man accounts.	Fund Administration
Glenn O'Kearney	Senior Director	04-May-16	0.10	565	56.50	Trade-On	Correspondence from Singapore advisor - reply .	Advisor
Glenn O'Kearney	Senior Director	11-May-16	0.50	565	282.50	Creditors	Work through fund payable registers, consultants registers. review available cash holdings - meet with JC to discuss payments.	Fund Administration
James Cook	Consultant 1	13-May-16	0.50	305	152.50	Administration	Attending to investor queries.	Investor
Glenn O'Kearney	Senior Director	16-May-16	0.10	565	56.50	Creditors	Discussions with JC regarding general responses and updates to investors and TDL. review same.	Investor
Brittany Newnman	Administration 1	20-May-16	0.10	145	14.50	Administration	Filing	Administration
James Cook	Consultant 1	20-May-16	0.20	305	61.00	Administration	Liaising with JetConvert and Xero re: account and managing subscription.	Fund Administration
James Cook	Consultant 1	20-May-16	0.40	305	122.00	Administration	Updating Xero profile and account setup, liaising with Jetconvert, setting up authorities and access for WMS.	Fund Administration
James Cook	Consultant 1	23-May-16	1.60	305	488.00	Administration	Liaising with CH re: CBA account manager and the novation of accounts from Perpetual to FTI representatives (incl liquidators) of LMIM as re: for fund. Liaising with Glenn re: same. Liaising with Trent Franklin of Perpetual re: same. Preparing paperwork.	Fund Administration
Glenn O'Kearney	Senior Director	23-May-16	0.50	565	282.50	Trade-On	Correspondence ASIC regarding FOI. review correspondence JC regarding transfer of accounts PTAL to RE. discuss with JC regarding same. Correspondence SH/Schmidt regarding advisor call. correspondence investor queries/discuss with JC. review mail in regard	ASIC
Glenn O'Kearney	Senior Director	24-May-16	0.20	565	113.00	Trade-On	Correspondence SH/Surety regarding IT issues. correspondence with Madhu regarding IT option moving forward for funds .correspondence with Scott McMurtrie regarding taxation issues etc. instructions to WMS regarding send ethical letter .note JC regarding X	Fund Administration
Glenn O'Kearney	Senior Director	24-May-16	0.20	565	113.00	Creditors	Finalize letter to BDO regarding claims against funds for operational costs/LMA. note to JRP with draft.	FMIF
James Cook	Consultant 1	24-May-16	0.90	305	274.50	Administration	Updating Xero profile and account setup, setting up authorities and access for WMS. Liaising with WMS. Setting up bank feeds.	Fund Administration
Glenn O'Kearney	Senior Director	25-May-16	0.10	565	56.50	Trade-On	Finalize note to ASIC regarding FOI request.	ASIC
James Cook	Consultant 1	25-May-16	0.60	305	183.00	Administration	Attending to NAVs, updating payables register, fee register, consultants register. Discussing with GOK. Reviewing and updating with GOK, bank statements.	Fund Administration
Brittany Newnman	Administration 1	26-May-16	0.10	145	14.50	Administration	Filing	Administration
Glenn O'Kearney	Senior Director	26-May-16	0.20	565	113.00	Creditors	Review and finalise response to whyte on LMA funding. note JRP regarding approval to finalise. review NAV meet with Kelly to discuss.	Fund Administration

James Cook	Consultant 1		26-May-16	0.30	305	91.50	Administration	Meeting with GOK and KAT to discuss progress and outstanding tasks on file. Distribution to investors, provisions.	Administration
Glenn O'Kearney	Senior Director		27-May-16	0.10	565	56.50	Trade-On	Review update in WMS regarding tax/other work. note JC regarding info requests etc. note to PKF regarding 2012 returns.	Fund Administration
Brittany Newman	Administration 1		06-Jun-16	0.10	145	14.50	Administration	Mail in from Suncorp Bank - Account Statement for Acct 0311139886 (Working Account) for period 1/12/2015 - 30/05/2016	Administration
James Cook	Consultant 1		09-Jun-16	0.20	305	61.00	Administration	Correspondence with Caroline re: transferring CBA accounts from PTAL to Suncorp.	Fund Administration
Glenn O'Kearney	Senior Director		09-Jun-16	0.10	565	56.50	Trade-On	Prepare note to PTAL regarding fees.	Fund Administration
James Cook	Consultant 1		15-Jun-16	0.30	305	91.50	Administration	Reviewing information on audit fees, preparing update on funds in preparation for meeting with ASIC.	ASIC
Glenn O'Kearney	Senior Director		15-Jun-16	0.30	565	169.50	Trade-On	Review previous updates to ASIC, review advice regarding audit relief. correspondence from FMIF regarding audit relief for guidance. prepare agenda and memo for KAT/RCL for meeting ASIC.	ASIC
Brittany Newman	Administration 1		16-Jun-16	0.10	145	14.50	Administration	Filing	Administration
Glenn O'Kearney	Senior Director		21-Jun-16	0.20	565	113.00	Trade-On	Review forms CBA ptal bank account transfers. instructions to CH. drafting of memo to ASIC regarding holdings/constitution and updates auditor. review ASIC website regarding updates on fund etc. review s 331AD. correspondence Renée and Kelly. review const	ASIC
James Cook	Consultant 1		22-Jun-16	0.30	305	91.50	Administration	Reviewing letter and changes to authorities on the account to Perpetual. Forwarding through to Perpetual with instructions. Liaising with CH.	Fund Administration
Glenn O'Kearney	Senior Director		23-Jun-16	0.10	565	56.50	Trade-On	Finalize note to ASIC regarding constitutions/holdings/auditor/deregistration fund.	ASIC
James Cook	Consultant 1		23-Jun-16	0.20	305	61.00	Administration	Reviewing correspondence from Perpetual re: novation of accounts. Circulating to Suncorp and CBA to action.	Fund Administration
James Cook	Consultant 1		27-Jun-16	0.50	305	152.50	Administration	Reviewing bank statements and workpapers for meeting with Donna. Update across the files, including novation of bank accounts. Providing additional information with instructions to novate accounts. Locating formal correspondence with PTAL and circulating through.	Fund Administration
James Cook	Consultant 1		27-Jun-16	0.20	305	61.00	Administration	Working through management accounts, setting up final bank feeds. Correspondence with Brendon re: queries, comments.	Fund Administration
James Cook	Consultant 1		28-Jun-16	0.20	305	61.00	Administration	Correspondence with CH re: transferring CBA accounts over from PTAL to FTI.	Fund Administration
Renee Lobb	Senior Director		30-Jun-16	0.20	565	113.00	Administration	Going through site to amend format and delete old content. Liaising with EY re: removal as auditors. Arranging meeting time. Liaising with Caroline re: authorities on CBA account. PTAL.	Fund Administration
James Cook	Consultant 1		30-Jun-16	0.40	305	122.00	Administration	Correspondence with PTAL, preparing email to forward removing authorities.	Fund Administration

James Cook	Consultant 1	11-Jul-16	0.70	305	213.50	Administration	Reviewing outstanding invoices, reviewing payables registers. Reviewing additional invoices received and updating register. Updating NAVs.	Fund Administration
James Cook	Consultant 1	12-Jul-16	0.70	305	213.50	Administration	Attending to NAVs. Meeting with Steve re: outstanding matters.	Fund Administration
Glenn O'Kearney	Senior Director	13-Jul-16	0.20	565	113.00	Trade-On	Review latest BDO report for discussion in feeder funds reports.	FMIF
James Cook	Consultant 1	14-Jul-16	0.20	305	61.00	Administration	Attending to fees and on costing for 1 Jan 16 to 30 June 16. Drafting investor report. reviewing NAV's, Registers etc. correspondence with JC/WMS regarding accounting/audit info requests etc.	Remuneration
Glenn O'Kearney	Senior Director	14-Jul-16	1.00	565	565.00	Trade-On	Finalize review of NAV's registers a. finalizing report to unit holders. note to SH regarding review. note to Kelly and Renee regarding reports. reconcile Fund WIP to Jun 16. prepare allocations of same. note to Kelly regarding final allocations.	Fund Administration
Glenn O'Kearney	Senior Director	15-Jul-16	0.50	565	282.50	Trade-On	Review report for investors. respond to GOK and Kelly-Anne Trenfield with my comments on amendments and additions.	Fund Administration
Renee Lobb	Senior Director	18-Jul-16	0.20	565	113.00	Trade-On	Review and accept links for access to Xero accounts. create new logins. Also leave message with Suncorp to make time to ID myself for account access.	Fund Administration
Renee Lobb	Senior Director	19-Jul-16	0.10	565	56.50	Investigations	Note considerable backlog of registry changes and investor responses to JC and GOK. Request they send all unactioned emails to myself and Neil Dempster to get registry up to date.	Fund Administration
Renee Lobb	Senior Director	19-Jul-16	0.30	305	91.50	Administration	Coordinating handover and setting up of authorities with Renee and Neil.	Administration
Renee Lobb	Senior Director	20-Jul-16	0.20	565	113.00	Administration	Travel to and from gold coast for meetings with gold coast staff and accountants.	Administration
Renee Lobb	Senior Director	20-Jul-16	0.60	565	339.00	Investigations	Meeting with WMS then TMR regarding completion of financials, additional information required, audits completed and to be completed and strategies and timing for completion of same. provide fund background.	Fund Administration
Glenn O'Kearney	Senior Director	20-Jul-16	0.30	565	169.50	Trade-On	Travel to WMS/. meet with WMS regarding status/handover to tax/man account matters. meet with auditors to discuss proposed way forward.	Administration
Glenn O'Kearney	Senior Director	21-Jul-16	0.50	565	282.50	Trade-On	Draft reply to ASIC regarding update audits. correspondence Steve Haman regarding western union reconciliation. collate info regarding returned distributions. update report to investors with NR and other changes. re-circulate with comments. correspondence	ASIC
Renee Lobb	Senior Director	22-Jul-16	0.30	565	169.50	Trade-On	Send emails to ICPAIF investors where funds were returned. save schedule to update bank details.	Investor
Kelly-Anne Trenfield	Senior Managing Director	22-Jul-16	0.20	625	125.00	Creditors	Review, amend and sign RTC	Fund Administration
Ashleigh Ubank	Administration 2	25-Jul-16	0.30	180	54.00	Administration	Report amended and finalised. Mailing labels generated.	Fund Administration

Renee Lobb	Senior Director	25-Jul-16	0.40	565	226.00	Trade-On	Get latest registry data and separate out advisor and investor emails and postal only investors. Draft email ready to send update to investors and advisors. Remove duplicate data and seek confirmation from Kelly-Anne Trenfield to finalise updates and send Report prepared for send out, issued to mail and faxed to relevant parties.	Fund Administration
Ashleigh Ubank	Administration 2	26-Jul-16	0.20	180	36.00	Creditors		Fund Administration
Renee Lobb	Senior Director	26-Jul-16	0.80	565	452.00	Trade-On	Travel to and from Gold Coast office for file handover. go through outstanding issues on each fund and LM Investment Management Ltd corporate, go through file handover memos, discuss critical issues, distributions, calculations of NAV's and whereabouts of	Fund Administration
Brittany Newman	Administration 1	26-Jul-16	0.10	145	14.50	Administration	Filing	Administration
Renee Lobb	Senior Director	27-Jul-16	0.50	565	282.50	Trade-On	Reviewing circa 15 emails with fund queries and transfer or change of contact requests. make 6 changes to registry, request additional information on some where bulk changes required, search for investors to identify funds and respond to queries.	Investor
Renee Lobb	Senior Director	28-Jul-16	0.10	565	56.50	Trade-On	NAV.	Fund Administration
Neil Dempster	Consultant 1	01-Aug-16	0.10	305	30.50	Trade-On	Suncorp - add self to transactional accounts for LMICPIF	Fund Administration
Renee Lobb	Senior Director	02-Aug-16	0.20	565	113.00	Administration	Review david whytes report to investors.	FMIF
Ashleigh Ubank	Administration 2	03-Aug-16	0.30	180	54.00	Administration	Report prepared for send out to investors with incorrect emails, issued to mail and faxed to relevant parties.	Fund Administration
Renee Lobb	Senior Director	03-Aug-16	0.20	565	113.00	Trade-On	Respond to investor request for update.	Investor
Renee Lobb	Senior Director	03-Aug-16	0.10	565	56.50	Investigations	Review and file all documentation from GOK on various matters retrieved from GC office. Directions to Ellie Dopking to open files to track transfer requests and fund payables.	Fund Administration
Renee Lobb	Senior Director	05-Aug-16	0.40	565	226.00	Investigations	Sorting through records from gold coast office. create and file registry maintenance forms including completed transfers and those requiring further information. Arrange records to be sent to storage which are not required.	Administration
Renee Lobb	Senior Director	11-Aug-16	0.20	565	113.00	Trade-On	Assist NGD in responding to investor queries and registry change requests.	Investor
Neil Dempster	Consultant 1	11-Aug-16	0.20	305	61.00	Trade-On	Review investor listing for Thelma Victoria Harris, located but change of details form submitted by son has different ID. email to RCL with proposed action	Investor
Neil Dempster	Consultant 1	11-Aug-16	0.10	305	30.50	Trade-On	Email to Mark Ferris - advise we can't confirm the investor ID provided to change details. Request he confirm correct investor ID	Investor
Renee Lobb	Senior Director	12-Aug-16	0.10	565	56.50	Investigations	Go through accounts online and provide full account to WMS of those still being used across each fund.	Fund Administration
Neil Dempster	Consultant 1	12-Aug-16	0.20	305	61.00	Trade-On	Email from Kimberley Forsythe-Ferris with changed details for investor ID 126922 - involves bank account change. Form previously completed but incorrect, email suggestion to RCL	Investor
Neil Dempster	Consultant 1	12-Aug-16	0.20	305	61.00	Trade-On	Update register for Thelma Ferris, email to confirm change in details, print and file.	Investor

Neil Dempster	Consultant 1	16-Aug-16	0.20	305	61.00	Creditors	Discuss with RCL what is required for invoicing on the fund with respect to remuneration tables and time data	Remuneration
Renee Lobb	Senior Director	29-Aug-16	0.60	565	339.00	Administration	Fees and OOPs reporting for 01/01/16 to 30/06/16. finalise all attachments, ARITA tables, pivot tables and supporting documents and send to Kelly-Anne Trenfield for sign off.	Remuneration
Renee Lobb	Senior Director	06-Sep-16	0.20	565	113.00	Administration	Finalise invoicing, update master and other payment registers. print for file and withhold those for payment.	Fund Administration
Renee Lobb	Senior Director	21-Sep-16	0.30	565	169.50	Investigations	Going through handover memo. note unpaid distributions previously returned and make master register of same.	Administration
Renee Lobb	Senior Director	30-Sep-16	0.30	565	169.50	Investigations	locate documentation setting out funds returned from old distributions. check CBA and suncorp accounts for fund holdings. Send email to Kelly-Anne Trenfield on these monies and redistribution of same.	Fund Administration
Renee Lobb	Senior Director	10-Oct-16	0.20	565	113.00	Trade-On	Entering payables in fee registers and fund payables registers. saving invoices down for future reference.	Fund Administration
Renee Lobb	Senior Director	11-Oct-16	0.10	565	56.50	Trade-On	Check register to ensure no investments held under adviser Evan Bailey per request for changes under this name or Bailey Capital Management.	Fund Administration
Renee Lobb	Senior Director	17-Oct-16	0.20	565	113.00	Administration	Review email from WMS with invoices. send email to Kelly-Anne Trenfield with fund cash position. Note discussion needs to occur on funding.	Fund Administration
Talia Glover	Administration 1	17-Oct-16	0.30	145	43.50	Administration	Payment to King & Wood Mallesons for inv 10068811 for \$3988.05 - circulated to RCL with deposit paying in slip posted and printed for funds received from LM Currency protected Australian income fund \$719.95	Fund Administration
Renee Lobb	Senior Director	19-Oct-16	0.10	565	56.50	Trade-On	Email WMS regarding no monies to pay invoices and to confirm okay to withhold till funds received,	Fund Administration
Renee Lobb	Senior Director	31-Oct-16	0.10	565	56.50	Administration	Draft email to ASIC requesting relief and removal and replacement of EY.	ASIC
Renee Lobb	Senior Director	09-Nov-16	0.10	565	56.50	Administration	Go through with Neil Dempster distributions to be resent to investors, tasks to be completed before being able to send funds to unclaimed monies and quarantining of investor funds to be resent. Set tasks and process for distributions coming up.	Fund Administration
Renee Lobb	Senior Director	10-Nov-16	0.30	565	169.50	Trade-On	Review ICPAIF forex requirements for returned distributions due to be resent. summarise current ICPAIF currency holdings and estimate additional funds required to be put into the CBA accounts for distribution.	Fund Administration
Renee Lobb	Senior Director	18-Nov-16	0.30	565	169.50	Trade-On	Review returned distributions and account balances. send through suncorp transfer request to resent and advise investor.	Fund Administration
Renee Lobb	Senior Director	24-Nov-16	0.20	565	113.00	Investigations	Call with ASIC to discuss audit relief; how a final audit would look in the absence of interim year audits and possible avenues for relief. provide background to assist in discussion also as well as update on where the funds are at and timing for completion	ASIC

Neil Dempster	Consultant 1					0.30	305	91.50	Trade-On	Review correspondence from LCF securities - advise their current holding in the fund and confirm registry updated. provide email previously sent to confirm	Investor
Ashleigh Ubank	Administration 2	28-Nov-16				0.10	180	18.00	Administration	WIP proforma generated for code.	Remuneration
Renee Lobb	Senior Director	02-Dec-16				0.40	565	226.00	Administration	Collation of work in progress for John Park.	Remuneration
Renee Lobb	Senior Director	05-Dec-16				0.10	565	56.50	Administration	Finalise fee working for John Park.	Remuneration
Caroline Halcoop	Administration 2	09-Dec-16				0.20	180	36.00	Administration	CommBiz ICPAIF upload, approval from RCL and release	Fund Administration
Renee Lobb	Senior Director	19-Dec-16				0.50	565	282.50	Investigations	Review and draft details response to ASIC regarding audit relief sought, Answer 8 queries from ASIC on qualifications which would be made in the absence of annual audits, realisations which would occur in non-audit years, work the auditors would undertake	ASIC
Neil Dempster	Consultant 1	22-Dec-16				0.30	305	91.50	Trade-On	Email to Tracy Ansley with required docs for transfer for deceased estate after reviewing investor spreadsheet to locate other accounts she may hold	Investor
Renee Lobb	Senior Director	09-Jan-17				0.20	565	113.00	Trade-On	Reviewing First Mortgage Income Fund update, respond to investor email on pricing and confer with Kelly-Anne Trenfield on revision timing for NAV.	Fund Administration
Renee Lobb	Senior Director	12-Jan-17				0.10	565	56.50	Investigations	Review and send to Kelly-Anne Trenfield action plan following ASIC relief response. save response and print for file.	ASIC
Renee Lobb	Senior Director	23-Feb-17				0.20	565	113.00	Trade-On	Discussion with Ken at WMS regarding audit update and requirement for fund to be registered for GST.	Audit
Renee Lobb	Senior Director	29-Mar-17				0.10	570	57.00	Trade-On	Respond to pricing query.	Investor
Renee Lobb	Senior Director	03-Apr-17				0.30	570	171.00	Investigations	Review Z6 page receiver report. send to Kelly-Anne Trenfield, John Park and Neil Dempster as FYI.	FMIF
Neil Dempster	Consultant 2	12-May-17				0.20	360	72.00	Trade-On	Provide transfer instructions re 100263383	Investor
Renee Lobb	Senior Director	22-May-17				0.10	570	57.00	Trade-On	Create spreadsheet of current assets for the fund for perpetual reconciliation request. send via email.	Fund Administration
Renee Lobb	Senior Director	30-May-17				0.10	570	57.00	Trade-On	Review email from perpetual regarding outstanding custody fees. check with Kelly-Anne Trenfield okay to pay from LM Investment Management Ltd.	Fund Administration
Renee Lobb	Senior Director	30-May-17				0.20	570	114.00	Administration	Call with Joshua at WMS after call with Kelly-Anne Trenfield regarding stopping work on ICPAIF and CPAIF, advise background and no funds at this stage.	Investor
Neil Dempster	Consultant 2	30-May-17				0.10	360	36.00	Trade-On	Email to Vanessa Jia Qi WAN re transfer times once forms provided	Investor
Neil Dempster	Consultant 2	31-May-17				0.20	360	72.00	Trade-On	Email to GT re ICPAIF audit	Audit
Neil Dempster	Consultant 2	05-Jun-17				0.20	360	72.00	Trade-On	Email to Michael Woods with update on ICPAIF - update register for email address link to BDO and FTI websites	Investor
Renee Lobb	Senior Director	13-Jun-17				0.20	570	114.00	Trade-On	Email suncorp regarding email from perpetual wanting to be taken off accounts. ask most efficient way to complete task. look up unit holdings and respond to ANZ query on same.	Fund Administration
Renee Lobb	Senior Director	16-Jun-17				0.20	570	114.00	Trade-On	Follow up suncorp regarding account changes to remove perpetual on their request. Call with Donna at suncorp regarding required documents to enable changes to account.	Fund Administration

Renee Lobb	Senior Director	20-Jun-17	0.10	570	57.00	Administration	Speak with Suncorp on custody release documents to make changes to account. request releases from perpetual to enable suncorp to action requests.	Fund Administration
Renee Lobb	Senior Director	04-Jul-17	0.30	570	171.00	Investigations	Reviewing First Mortgage Income Fund update by david Whyte an impact on feeders.	FMIF
Renee Lobb	Senior Director	07-Jul-17	0.10	570	57.00	Trade-On	Review, save, print and update fund payable register for WMS invoices received.	Fund Administration
Renee Lobb	Senior Director	11-Jul-17	0.10	570	57.00	Trade-On	Discussion with Kelly-Anne Trenfield regarding unpaid WMS fees. send account of same for consideration.	Fund Administration
Renee Lobb	Senior Director	13-Jul-17	0.30	570	171.00	Trade-On	Look for copies of WMS unpaid invoices in fil. Request ones not to hand from WMS to enable payment. arrange payment of those where invoice copies are held via LM Investment Management Ltd and update fund payable register. obtain additional invoices, save and review correspondence requested by resels for the fund and ensure Neil Dempster responded.	Fund Administration
Renee Lobb	Senior Director	19-Jul-17	0.10	570	57.00	Trade-On	WIP proforma/s generated for code.	Legal
Ashleigh Ubank	Administration 2	03-Aug-17	0.10	185	18.50	Administration	Obtain WIP proforma for disbursements, review account for available funds. check with Kelly-Anne Trenfield okay to close account to disbursements. draft proforma for disbursements to 31/07/17 in readiness of closure.	Remuneration
Renee Lobb	Senior Director	03-Aug-17	0.20	570	114.00	Administration	Call from ANZ Nilasari to discuss their position and transfer of investment requirements. Locate SGD investment 484527 and provide instructions on how to transfer this holding	Remuneration
Neil Dempster	Consultant 2	04-Aug-17	0.20	360	72.00	Trade-On	Pre-fill change of details form for DBS bank to make transfer from ANZ - note i have pre-filled. email ask them to sign off and return form	Investor
Neil Dempster	Consultant 2	07-Aug-17	0.20	360	72.00	Trade-On	Email to Barry from clearstream global securities - provide brief update for ICPAIF - link info to Receivers and FTI website	Investor
Renee Lobb	Senior Director	10-Aug-17	0.20	570	114.00	Administration	Do letter for ICPAIF account close to LM Investment Management Ltd holding account. Send to Kelly-Anne Trenfield to sign. scan and send off correspondence.	Fund Administration
Neil Dempster	Consultant 2	11-Aug-17	0.10	360	36.00	Trade-On	Email to Nilasari Mohammed regarding outstanding transfers - DBS needs to sign off	Investor
Renee Lobb	Senior Director	14-Aug-17	0.20	570	114.00	Trade-On	Call with perpetual regarding account closure. send email to perpetual and suncorp with alternate options after found accounts cannot be closed with an EFT to a non-suncorp account.	Fund Administration
Renee Lobb	Senior Director	15-Aug-17	0.20	570	114.00	Trade-On	Do transfer request and send to perpetual to process pre account closure.	Fund Administration
Renee Lobb	Senior Director	15-Aug-17	0.20	570	114.00	Trade-On	Draft disbursements invoice request.	Remuneration
Neil Dempster	Consultant 2	15-Aug-17	0.20	360	72.00	Trade-On	Transfer ANZ to DBS Bank Limited - update register email to DBS confirming it has been done and units transferred	Fund Administration
Renee Lobb	Senior Director	17-Aug-17	0.30	570	171.00	Trade-On	Enter receipt in LM Investment Management Ltd account for account closure. send draft bill request to finance.	Fund Administration

Kelly-Anne Trenfield	Senior Managing Director	17-Aug-17	0.10	625	62.50	Administration	Email in from Gadens and quick review of statement of claim; email from and response to Russells re service and response to Gadens	Legal
Renee Lobb	Senior Director	18-Aug-17	0.20	570	114.00	Trade-On	Review email with draft invoice from finance. note rounding issue and correct invoice amount. review and print and send to Kelly-Anne Trenfield for signing amended Invoice.	Remuneration
Renee Lobb	Senior Director	18-Aug-17	0.10	570	57.00	Trade-On	Respond to investor query.	Investor
Renee Lobb	Senior Director	21-Aug-17	0.20	570	114.00	Trade-On	Do entries for ICPAIF disbursement payment and save invoice to file.	Fund Administration
Renee Lobb	Senior Director	22-Aug-17	0.10	570	57.00	Trade-On	Check payment from LM Investment Management Ltd for ICPAIF disbursements closed to holding account. send to kat with supporting docs.	Fund Administration
Renee Lobb	Senior Director	25-Aug-17	0.10	570	57.00	Administration	Save account closing statements to file.	Fund Administration
Renee Lobb	Senior Director	25-Aug-17	0.30	570	171.00	Trade-On	Call with Grant Thornton as receivers of fund for Western Union. discuss recent amended Whyte application and request times to have a call to discuss same at GT's request. email Kelly-Anne Trenfield regarding available times after reading email	Legal
Renee Lobb	Senior Director	30-Aug-17	0.20	570	114.00	Investigations	Discuss with Kelly-Anne Trenfield requirement to review estimated return from First Mortgage Income Fund for feeder funds. look up unit holdings and calculate same before sending to Kelly-Anne Trenfield for Russells.	Legal
Kelly-Anne Trenfield	Senior Managing Director	30-Aug-17	0.10	625	62.50	Administration	Review RCL calcs re feeder fund distributions; forward to Russells	Legal
Renee Lobb	Senior Director	31-Aug-17	0.10	570	57.00	Investigations	Review Kelly-Anne Trenfield email to Russells regarding return and fund position for First Mortgage Income Fund feeder fund action.	Legal
Renee Lobb	Senior Director	31-Aug-17	0.30	570	171.00	Investigations	Teleconference with Kelly-Anne Trenfield and GT regarding feeder fund action. Discuss thereafter adding POD calling into Annual RTC and estimating costs of same.	Legal
Kelly-Anne Trenfield	Senior Managing Director	31-Aug-17	0.20	625	125.00	Administration	Teleconference with GT's re who is to take control of proceedings call to Ashley to confirm	Legal
Renee Lobb	Senior Director	01-Sep-17	0.10	570	57.00	Investigations	Review correspondence issued to Gadens regarding receivers GT taking carriage of feeder fund action	Legal
Renee Lobb	Senior Director	06-Sep-17	0.10	570	57.00	Investigations	Review email from GT regarding Receivers running ICPAIF/CPAIF feeder action in defence to First Mortgage Income Fund proceedings. email Kelly-Anne Trenfield confirming she is happy to confirm correspondence issued to Gadens advising of position.	Legal
Renee Lobb	Senior Director	20-Sep-17	0.10	570	57.00	Trade-On	Respond to investor query.	Investor
Carly Young	Associate 1	20-Sep-17	2.20	260	572.00	Creditors	Review of WI entries. Preparation of remuneration report	Remuneration
Renee Lobb	Senior Director	22-Sep-17	0.10	570	57.00	Investigations	Reviewing letter from resells to HWL as lawyers for western union receivers. print and save to file.	Legal
Carly Young	Associate 1	26-Sep-17	1.80	260	468.00	Creditors	Remuneration report	Remuneration
Carly Young	Associate 1	27-Sep-17	0.60	260	156.00	Creditors	Updated disbursements and narrations in remuneration report.	Remuneration

Renee Lobb	Senior Director	09-Oct-17	0.30	570	171.00	Trade-On	Reviewing First Mortgage Income Fund report as to impact on feeder fund.	FMIF
Kelly-Anne Trenfield	Senior Managing Director	19-Oct-17	0.40	625	250.00	Administration	Reconciliation of remuneration approval	Remuneration
Renee Lobb	Senior Director	27-Oct-17	0.10	570	57.00	Trade-On	Review resells advice on HWL correspondence received by Receivers of feeder funds seeking court approval for access to records.	Legal
Kelly-Anne Trenfield	Senior Managing Director	27-Oct-17	0.10	625	62.50	Administration	Email in from GT to RCL; respond to RCL query re information to GT and BDO review correspondence in from resells including letter from HWL re feeder fund receivers access to books and records; provide instructions pays to wms email in from russell re advice	Legal
Kelly-Anne Trenfield	Senior Managing Director	03-Nov-17	0.10	625	62.50	Administration	Remuneration approval reconciliation to court order	Remuneration
Renee Lobb	Senior Director	09-Nov-17	0.10	570	57.00	Investigations	Look through files for emails and instructions on removal of perpetual as custodian for ICPAIF and CPAIF accounts.	Fund Administration
Renee Lobb	Senior Director	13-Nov-17	0.20	570	114.00	Trade-On	Call with perpetual regarding custodian removal from accounts. clarify a few points in the email. seek Neil Dempster assistance to draft required documents and compile documents for return.	Fund Administration
Neil Dempster	Consultant 2	14-Nov-17	0.20	360	72.00	Creditors	Email to RCL to confirm ICPAIF in similar situation to CPAIF - review email to Mike Woods and send	Investor
Neil Dempster	Consultant 2	15-Nov-17	0.10	360	36.00	Creditors	Email to Sabrina Guo confirm unit holdings remain the same for DBS Bank	Investor
Neil Dempster	Consultant 2	16-Nov-17	0.30	360	108.00	Assets	Draft letter to CBA with request to transfer accounts after PTAL custodian removed	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	20-Nov-17	0.10	625	62.50	Administration	Formulation of 2017 claim (not progressed) and reconciliation of 2015 claim	Remuneration
Talia Glover	Administration 1	24-Nov-17	0.20	155	31.00	Administration	8974 LM INVESTMENT Payment to FTI Consulting for inv 7462761 \$99867.35 - circulated to RCL	Remuneration
Renee Lobb	Senior Director	29-Nov-17	0.30	570	171.00	Investigations	Review Grant Thornton Submissions for First Mortgage Income Fund/Feeder Fund action defense. suggest website blurb regarding same.	Legal
Kelly-Anne Trenfield	Senior Managing Director	29-Nov-17	0.30	625	187.50	Administration	Email in from Russells providing response to HWL re GT R&M request for books and records email in from Russells re correspondence from HWL re provision of books and records re FMIF proceedings; draft response email in and response to Russells re correspondence	Legal
Renee Lobb	Senior Director	01-Dec-17	0.10	570	57.00	Investigations	Discussion with Julian at Russells regarding HWLE and receiver feeder fund action notices. discuss also remuneration application NAV's required. debrief with Kelly-Anne Trenfield.	Fund Administration
Renee Lobb	Senior Director	08-Dec-17	0.20	570	114.00	Trade-On	Complete NAV and send to resells.	Fund Administration
Renee Lobb	Senior Director	11-Dec-17	0.10	570	57.00	Investigations	Locate no of investors in fund. Send to Russells.	Legal
Renee Lobb	Senior Director	09-Jan-18	0.50	570	285.00	Trade-On	Calls with SunCorp and discussions with Neil Dempster regarding removal of custodian requirements for SunCorp and CBA. Letter to SunCorp and PTAL regarding removal of custodian and account novation.	Fund Administration
Neil Dempster	Consultant 2	09-Jan-18	0.20	360	72.00	Administration	Review letter to CBA and associated transfer docs - email to RCL ask for fund constitution location	Fund Administration

Renee Lobb	Senior Director	10-Jan-18	0.20	570	114.00	Administration	Scan, save and send with information to Perpetual the letter to remove them as custodians on fund accounts. Call with perpetual. answer their queries on same.	Fund Administration
Renee Lobb	Senior Director	11-Jan-18	0.10	570	57.00	Trade-On	Follow up Neil Dempster regarding completion of CBA custodian removal forms.	Fund Administration
Neil Dempster	Consultant 2	22-Jan-18	0.30	360	108.00	Administration	CBA novation forms and cover letter draft.	Fund Administration
Renee Lobb	Senior Director	24-Jan-18	0.10	570	57.00	Administration	Assisting Neil Dempster with queries on CBA custodian removal forms for accounts.	Fund Administration
Neil Dempster	Consultant 2	24-Jan-18	0.10	360	36.00	Administration	Email to the CBA with draft application forms for PTAL custodian termination - ask them to review.	Fund Administration
Neil Dempster	Consultant 2	24-Jan-18	0.10	360	36.00	Administration	Call from Michael Hargreave at CBA - discuss changes to be made to forms to transfer acct from custodian. Make changes to forms as required.	Investor
Renee Lobb	Senior Director	25-Jan-18	0.10	570	57.00	Administration	Discussion with Neil Dempster regarding novation of accounts away from custodian.	Fund Administration
Neil Dempster	Consultant 2	25-Jan-18	0.10	360	36.00	Administration	Finalise letter instructing PTAL to novate - send to JRP	Fund Administration
Neil Dempster	Consultant 2	29-Jan-18	0.10	360	36.00	Creditors	Update details ronald llew/in	Investor
Neil Dempster	Consultant 2	29-Jan-18	0.10	360	36.00	Creditors	Email to PTAL with proper instructions for novation	Fund Administration
Renee Lobb	Senior Director	29-Jan-18	0.10	570	57.00	Trade-On	Review and discuss custodian removal process from CBA accounts with Neil Dempster.	Fund Administration
Renee Lobb	Senior Director	31-Jan-18	0.40	570	228.00	Trade-On	Detailed letter in reply to audit request for information for PWC client and investor Commerzbank Singapore.	Investor
Neil Dempster	Consultant 2	31-Jan-18	0.10	360	36.00	Creditors	Email to Andrew Wong - ask for investment no to update email	Investor
Neil Dempster	Consultant 2	31-Jan-18	0.20	360	72.00	Creditors	Scan CBA transfer forms for novation to file - make file copy.	Fund Administration
Renee Lobb	Senior Director	01-Feb-18	0.30	570	171.00	Trade-On	Respond to query regarding ICPAIF update request, provide details of First Mortgage Income Fund action and defence. Further response to same investor later in the day.	Investor
Neil Dempster	Consultant 2	01-Feb-18	0.20	360	72.00	Creditors	Export holdings for Investment Custodial Services Limited - send for reconciliation	Investor
Neil Dempster	Consultant 2	02-Feb-18	0.10	360	36.00	Creditors	Scan signed CBA transfer forms for PTAL resignation to file	Fund Administration
Renee Lobb	Senior Director	05-Feb-18	0.10	570	57.00	Trade-On	Locate constitution to have certified and send to CBA to remove custodian from account.	Fund Administration
Neil Dempster	Consultant 2	08-Feb-18	0.20	360	72.00	Assets	Deliver CBA account novation forms to 180 Ann St CBA branch	Fund Administration
Renee Lobb	Senior Director	14-Feb-18	0.10	570	57.00	Trade-On	Discussion with Neil Dempster regarding amendments to CBA forms required to remove custodians.	Fund Administration
Neil Dempster	Consultant 2	21-Feb-18	0.10	360	36.00	Creditors	Email to Jo Norris - confirm we manage ICPAIF	Investor
Renee Lobb	Senior Director	23-Feb-18	0.10	570	57.00	Trade-On	Locating all suncorp and CBA account balances. Summarise in email to Perpetual after assets requested to be confirmed for their ASIC obligations.	Fund Administration
Renee Lobb	Senior Director	01-Mar-18	0.10	570	57.00	Trade-On	Respond to query regarding unit holdings and transactions.	Investor
Ashleigh Ubank	Administration 2	07-Mar-18	0.10	185	18.50	Administration	WIP proforma/excel report/s generated for code.	Remuneration
Renee Lobb	Senior Director	08-Mar-18	0.20	570	114.00	Trade-On	Respond to investor email.	Investor
Renee Lobb	Senior Director	09-Mar-18	0.20	570	114.00	Trade-On	Review investor enquiry seeking confirmation of unit holding and wind up status. Reply to same.	Investor

Neil Dempster	Consultant 2	13-Mar-18	0.10	360	36.00	Administration	File review with RCL	Administration
Neil Dempster	Consultant 2	14-Mar-18	0.10	360	36.00	Administration	Email to AU with assistance request for CBA transfer forms	Fund Administration
Neil Dempster	Consultant 2	20-Mar-18	0.20	360	72.00	Administration	Edits to change of account ownership form for PTAL novation as per CBA directions - email to PTAL with new forms	Fund Administration
Neil Dempster	Consultant 2	27-Mar-18	0.10	360	36.00	Administration	Reprint bank acct transfer forms for JRP to sign	Fund Administration
Neil Dempster	Consultant 2	28-Mar-18	0.20	360	72.00	Creditors	Transfer 1x unit holdings from Aegis to Craigs email to confirm	Investor
Neil Dempster	Consultant 2	28-Mar-18	0.10	360	36.00	Administration	Check all novation docs are signed for CBA. Date and put in letter for hand delivery	Fund Administration
Neil Dempster	Senior Consultant 1	19-Apr-18	0.10	380	38.00	Creditors	Email to OMI with directions to supply investor number for me to confirm unit holdings	Investor
Neil Dempster	Senior Consultant 1	04-May-18	0.20	380	76.00	Creditors	Reverse transfer from Aegis to Craigs investment 100350966 as units did not reconcile due to Aegis using pooled unit funds. send confirmation	Investor
Neil Dempster	Senior Consultant 1	04-May-18	0.20	380	76.00	Creditors	Email to tim from clearstream update re ICPAIF and grant thornnton latest correspondence	Investor
Neil Dempster	Senior Consultant 1	16-May-18	0.20	380	76.00	Creditors	Export unit holdings for investment Custodial Services Limited to spreadsheet - send to Zoe Wang	Investor
Renee Lobb	Senior Director	22-May-18	0.10	570	57.00	Trade-On	Email Trent Franklin advising we do not object to PTAL appointing counsel at cost of the fund as Grant Thornton is defending the proceedings brought by First Mortgage Income Fund.	Fund Administration
Renee Lobb	Managing Director	11-Jun-18	0.20	570	114.00	Trade-On	Respond to investor update request.	Investor
Talia Glover	Administration 1	09-Jul-18	0.10	155	15.50	Administration	Produced excel WIP report from 010618 to 300618 - emailed to KAT	Remuneration
Renee Lobb	Senior Director	25-Jul-18	0.30	570	171.00	Trade-On	Reviewing register for the fund to send notice of remuneration application. removing email duplicates and postage duplicates after separating email and post only investors.	Remuneration
Ashleigh Ubank	Administration 2	26-Jul-18	0.20	185	37.00	Administration	Mailing labels generated from investor list. Notice formatted on letterhead.	Remuneration
Renee Lobb	Senior Director	26-Jul-18	0.70	570	399.00	Trade-On	Do notice to members for remuneration application. send to Russells for review and discuss notice issuing with Kelly-Anne Trenfield and Ashleigh Ubank. Finalising notice. emailing to members and assisting Ashleigh Ubank with mail out.	Remuneration
Renee Lobb	Senior Director	30-Jul-18	0.40	570	228.00	Trade-On	Registering returned emails from remuneration application notice sent. Mark up to be sent via post.	Remuneration
Ashleigh Ubank	Administration 2	31-Jul-18	0.20	185	37.00	Administration	Mailing labels generated from investor list.	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	16-Aug-18	0.10	625	62.50	Administration	Review and approve payment of outstanding administration liabilities including review of supporting documentation and signing of transfer or cheque	Fund Administration
Renee Lobb	Senior Director	04-Sep-18	0.10	570	57.00	Trade-On	Respond to query regarding defence of First Mortgage Income Fund action by ICPAIF.	Legal
Renee Lobb	Senior Director	06-Sep-18	0.10	570	57.00	Trade-On	Changing billing information on zero to ensure separate fund invoice received.	Fund Administration

Kelly-Anne Trenfield	Senior Managing Director	13-Sep-18	0.20	625	125.00	Administration	Review file re order in feeder fund proceedings and outstanding costs	Legal
Renee Lobb	Senior Director	14-Sep-18	0.30	570	171.00	Trade-On	Drafting NAV, calculate AUD equivalent units as at 30 June 2018 using ATO rates. Complete draft update to investors to advise fund progress.	Fund Administration
Renee Lobb	Senior Director	14-Sep-18	0.10	570	57.00	Trade-On	Review email from WMS regarding requirement to lodge tax returns. Send back comments on same to determine if this is a required step before we can finalise the fund.	Tax
Kelly-Anne Trenfield	Senior Managing Director	17-Sep-18	0.10	625	62.50	Administration	Review investor update	Fund Administration
Renee Lobb	Senior Director	18-Sep-18	0.10	570	57.00	Assets	Confirm with Kat after review of First Mortgage Income Fund registry the number of units held by the fund in First Mortgage Income Fund.	Legal
Renee Lobb	Senior Director	18-Sep-18	0.10	570	57.00	Trade-On	Edits to fund updates in line with KATs comments. send revised version and request confirmation they are okay to finalise.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	18-Sep-18	0.10	625	62.50	Administration	Review, amend and sign RTC	Investor
Renee Lobb	Senior Director	19-Sep-18	0.20	570	114.00	Investigations	Reviewing and discussing transcript of hearing yesterday regarding First Mortgage Income Fund feeder fund action.	Legal
Renee Lobb	Senior Director	19-Sep-18	0.40	570	228.00	Trade-On	Email to WMS following up on tax matter progress, Obtain same and update report to investors accordingly. Further updates throughout the day based on Kelly-Anne Trenfield and John Park feedback. Instructions to Ashleigh Ubank to finalise. Post to website.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	19-Sep-18	0.10	625	62.50	Administration	Review initial application re quantum of claim against feeder funds by FMIF; review likely dividend and recovery position	Legal
Kelly-Anne Trenfield	Senior Managing Director	25-Sep-18	0.30	625	187.50	Investigations	Review proceeding; update unit valuation and provide update to Russells	Legal
Kelly-Anne Trenfield	Senior Managing Director	11-Oct-18	0.10	625	62.50	Administration	Review and mark-up changes/queries re letter to Gagens re mediation on FMIF/Feeder Fund proceedings	Legal
Kelly-Anne Trenfield	Senior Managing Director	11-Oct-18	0.10	625	62.50	Administration	Email from and response to Russells re status of feeder fund mediation	Legal
Renee Lobb	Senior Director	18-Oct-18	0.20	570	114.00	Investigations	Reviewing mediation position paper for CPAIF and ICPAIF First Mortgage Income Fund proceedings being defending and attended by LMIM.	Legal
Renee Lobb	Senior Director	18-Oct-18	0.10	570	57.00	Trade-On	Issue email to Perpetual regarding removal as custodian from accounts and adding FTI staff as signatories to ensure we can still transact on the accounts once they are removed.	Fund Administration
Renee Lobb	Senior Director	22-Oct-18	0.10	570	57.00	Investigations	Review Letter from Gagens to Russells regarding LM Investment Management Ltd as RE of feeder funds representation at November mediation for feeder fund proceedings.	Legal
Kelly-Anne Trenfield	Senior Managing Director	22-Oct-18	0.10	625	62.50	Administration	Review position paper re mediation set for 5 and 6 November 2018; review correspondence from Gagens re attendance at mediation	Legal

Renee Lobb	Senior Director	26-Oct-18	0.30	570	171.00	Investigations	Reviewing correspondence regarding return estimate from First Mortgage Income Fund to feeder funds. Check register for unit holdings and send email to Kelly-Anne Trenfield with my views. Call with Julian regarding feeder fund action mail out process and register enquiry.	Legal
Renee Lobb	Senior Director	26-Oct-18	0.50	570	285.00	Investigations	Feeder fund action. discuss calculation of CPAIF and ICPAIF unit holdings with Kelly-Anne Trenfield after review of her email. Email Julian Walsh to confirm our records of the unit holdings.	Legal
Renee Lobb	Senior Director	29-Oct-18	0.20	570	114.00	Investigations	Review correspondence in from HWL, review info re feeder fund units in FMIF; respond to RCL	Legal
Kelly-Anne Trenfield	Senior Managing Director	29-Oct-18	0.20	625	125.00	Administration	Call in from JW (Russells) re feeder fund mediation email from and response to JW (Russells) re feeder fund mediation	Legal
Kelly-Anne Trenfield	Senior Managing Director	30-Oct-18	0.20	625	125.00	Administration	Reviewing Said Jahani mediation position paper. Save to file.	Legal
Renee Lobb	Senior Director	31-Oct-18	0.60	570	342.00	Investigations	Emails in re feeder fund mediations; draft response re EY attendance email in from JW(Russells) re status of feeder funds	Legal
Kelly-Anne Trenfield	Senior Managing Director	02-Nov-18	0.10	625	62.50	Administration	Reviewing Wholesale First Mortgage Income Fund Trilogy position paper for 5 and 6 November mediation.	Legal
Renee Lobb	Senior Director	05-Nov-18	0.30	570	171.00	Investigations	Preparation for, travel to and attending Feeder Fund litigation mediation	Legal
Kelly-Anne Trenfield	Senior Managing Director	05-Nov-18	2.30	625	1,437.50	Administration	Jar update re mediation; review and file paper work/submissions re feeder fund mediation; RCL update	Legal
Kelly-Anne Trenfield	Senior Managing Director	05-Nov-18	0.20	625	125.00	Administration	Reviewing letter from Squire Patton Boggs to Gadens regarding mediation. Save same.	Legal
Renee Lobb	Senior Director	06-Nov-18	0.20	570	114.00	Investigations	Read letter in from SPB re mediation conduct; review and approve letter to HWL	Legal
Kelly-Anne Trenfield	Senior Managing Director	06-Nov-18	0.10	625	62.50	Investigations	Email in re letter to HWL email in and responses to Julian Walsh(Russells) re update on mediation, message for Said Jahani Review incoming documentation, consider and send to filing; Review and update to do list; query outstanding items	Legal
Kelly-Anne Trenfield	Senior Managing Director	07-Nov-18	0.20	625	125.00	Administration	Call in from Said Jahani re feeder fund/FMI settlement; update to RCL; update to JRP Julian Walsh re status of feeder fund mediation; update to RCL	Legal
Kelly-Anne Trenfield	Senior Managing Director	08-Nov-18	0.30	625	187.50	Administration	Registry update x1	Legal
Neil Dempster	Senior Consultant 1	08-Nov-18	0.10	380	38.00	Creditors	Prepare financial model of draft settlement agreement	Legal
Kelly-Anne Trenfield	Senior Managing Director	09-Nov-18	0.20	625	125.00	Administration	Time spent on preparing for an conducting email and mail out of notice to members re application for LMIM future conduct	Legal
Neil Dempster	Senior Consultant 1	09-Nov-18	0.80	380	304.00	Creditors	Record bouncebacks for service affidavit	Legal
Neil Dempster	Senior Consultant 1	12-Nov-18	0.20	380	76.00	Creditors	Follow up service affidavit circulars issued via post to returned emails.	Legal
Ashleigh Ubank	Administration 2	13-Nov-18	0.30	185	55.50	Administration	Review and note draft service affidavit per marked up comments for Julian Walsh review	Legal
Neil Dempster	Senior Consultant 1	17-Nov-18	0.30	380	114.00	Administration		Legal

Neil Dempster	Senior Consultant 1	18-Nov-18	0.30	380	114.00	Creditors	Attend Russells office and work with Julian Walsh to finalise service affidavit	Legal
Kelly-Anne Trenfield	Senior Managing Director	22-Nov-18	0.20	625	125.00	Administration	Update From Said Jahani; update to Counsel/Russells re feeder fund proceedings	Legal
Kelly-Anne Trenfield	Senior Managing Director	27-Nov-18	0.10	625	62.50	Administration	JRP re update from HWL re feeder funds discussions with Russell's	Legal
Renee Lobb	Senior Director	30-Nov-18	0.50	570	285.00	Investigations	LM Investment Management Ltd catch up on litigation matters including service, settlement of feeder fund hearings and distributions, audits etc.	Legal
Renee Lobb	Senior Director	04-Dec-18	0.10	570	57.00	Trade-On	Claim for expense of xero subscription.	Fund Administration
Renee Lobb	Senior Director	05-Dec-18	0.20	570	114.00	Investigations	Call with Julian regarding letters of support for hearing on Monday. post discussions with NGD regarding active investors.	Legal
Renee Lobb	Senior Director	05-Dec-18	2.00	570	1,140.00	Investigations	Reviewing correspondence regarding joinder of Grant Thornton to proceeding 3508 of 2015. Reviewing multiple correspondences regarding directions as to future conduct of the winding up. Going through david whyte affidavit for Monday's hearing, call with Julian regarding affidavit upload and upload same. saving all correspondence. Further call with julian. Reviewing correspondence from ASIC to Russells regarding their position to our application regarding Whyte's remuneration. Correspondence regarding POD process	Legal
Renee Lobb	Senior Director	05-Dec-18	0.30	570	171.00	Trade-On	Call and follow up email with Duke Myrteza regarding his clients Michael and Fiona Wood.	Investor
Kelly-Anne Trenfield	Senior Managing Director	07-Dec-18	0.10	625	62.50	Administration	Email from and response to Russell's re said Jahani application to join proceedings re directions re resolution of LMemail in from Russells re letter/deed poll from HWL re settlement of feeder fund mediation	Legal
Kelly-Anne Trenfield	Senior Managing Director	07-Dec-18	0.10	625	62.50	Administration	Email in from Russells re letter/deed poll from HWL re settlement of feeder fund mediation email in from Law Partners; commentary to RCL re unfunded position	Legal
Renee Lobb	Senior Director	11-Dec-18	0.50	570	285.00	Investigations	Review settlement deed for Feeder funds and First Mortgage Income Fund.	Legal
Renee Lobb	Senior Director	02-Jan-19	0.10	570	57.00	Trade-On	Pay monthly subscription for Xero and claim through chrome river.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	02-Jan-19	0.10	625	62.50	Administration	Review emails in re FF proceedings and settlement deeds; Review incoming documentation, consider and send to filing	Legal
Renee Lobb	Senior Director	09-Jan-19	0.15	570	85.50	Investigations	Discussion with Julian regarding mediation. Send information to assist. (Entry split between Feeder Funds)	Legal
Kelly-Anne Trenfield	Senior Managing Director	23-Jan-19	0.10	625	62.50	Administration	Update re feeder fund proceedings and emails in response	Legal
Renee Lobb	Senior Director	04-Feb-19	0.10	570	57.00	Administration	Xero expense claim.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	04-Feb-19	0.10	625	62.50	Administration	Email in re Said Jahani re applications to effect feeder fund settlement; email in re David Whyte application	Legal

Renee Lobb	Senior Director	04-Feb-19	0.40	570	228.00	Investigations	Reviewing email and application from Tucker & Cowan regarding application made for interim First Mortgage Income Fund distribution. Review other emails and draft correspondence and issued correspondence to Russells from T & C and in reply. Save same. Review draft insurer notification and advice from Clayton Utz. Respond advising to issue. (Entry split between Feeder Funds)	Legal
Renee Lobb	Senior Director	15-Feb-19	0.20	570	114.00	Investigations	Call with CGW regarding information required for advice on tax returns. go through records, locate and send to CGW.	Tax
Renee Lobb	Senior Director	19-Feb-19	0.15	570	85.50	Investigations	Reviewing further correspondence from Russell's regarding deed of settlement and service of CPAIF and ICPAIF members. Email to Kelly-Anne Trenfield regarding costs for assisting. (Entry split between Feeder Funds)	Legal
Renee Lobb	Senior Director	21-Feb-19	0.10	570	57.00	Investigations	Reviewing and filing correspondence from Russells regarding assistance to GT to upload documents for service. (Entry split between Feeder Funds)	Legal
Kelly-Anne Trenfield	Senior Managing Director	27-Feb-19	0.10	625	62.50	Investigations	Meeting with Ashley Tiplady re legal issues	Legal
Renee Lobb	Senior Director	28-Feb-19	0.15	570	85.50	Investigations	Update on feeder fund settlement, legal matters, distributions, cost allocation etc with Kelly-Anne Trenfield. (Entry split between Feeder Funds)	Legal
Renee Lobb	Senior Director	04-Mar-19	0.20	570	114.00	Trade-On	Download invoice and lodge expense claim for Xero monthly charges.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	05-Mar-19	0.05	625	31.25	Administration	Receipt of ff services arrangement (Entry split between Feeder Funds)	Fund Administration
Renee Lobb	Senior Director	08-Mar-19	0.10	570	57.00	Trade-On	Respond to investor update request regarding feeder fund action.	Investor
Renee Lobb	Senior Director	12-Mar-19	0.40	570	228.00	Trade-On	Call with Julian Walsh regarding distribution hearing and feeder fund settlement hearing tomorrow. Review submissions from David Whyte regarding Same.	Legal
John Park	Senior Managing Director	13-Mar-19	0.05	625	31.25	Administration	Email in Amanda Banton. (Entry split between Feeder Funds)	Legal
Renee Lobb	Senior Director	13-Mar-19	0.15	570	85.50	Investigations	Review and save Schwarz affidavit for today's hearing regarding First Mortgage Income Fund distribution and feeder fund settlement. (Entry split between Feeder Funds)	Legal
Kelly-Anne Trenfield	Senior Managing Director	15-Mar-19	0.40	625	250.00	Administration	Email from and response to AJT re costs of ff proceedings; review submissions/affidavit re feeder fund proceedings; emails in and response to queries re costs for publication of notices; Review and update to do list; query outstanding items; Review incoming documentation, consider and send to filing	Legal
Kelly-Anne Trenfield	Senior Managing Director	18-Mar-19	0.10	625	62.50	Investigations	Review emails re FF court appearance; draft email to said jahani	Legal

Renee Lobb	Senior Director	20-Mar-19	0.50	570	285.00	Investigations	Reviewing email correspondence between Russells and HWLE regarding representation of feeder funds in audit proceedings. Review further correspondence regarding audit proceedings to Gagens. file all. Review correspondence regarding obtaining PE transcript. Review draft orders from audit proceedings on Monday. (Entry split between Feeder Funds)	Legal
Renee Lobb	Senior Director	21-Mar-19	0.30	570	171.00	Investigations	Reviewing email from Russell's with upload documents for HWLE and GT feeder fund action. load to website and draft invoice to go to GT for same. Discuss with Kelly-Anne Trenfield.	Legal
Kelly-Anne Trenfield	Senior Managing Director	21-Mar-19	0.10	625	62.50	Administration	RC re review of LM website after posting of feeder fund notices	Legal
Renee Lobb	Senior Director	22-Mar-19	0.20	570	114.00	Investigations	Update invoice and issue correspondence to HWLE.	Legal
Kelly-Anne Trenfield	Senior Managing Director	22-Mar-19	0.30	625	187.50	Administration	Review invoice re uploading notices review email in from Russell's re communications between Gagens and KWM re releases; review and discuss Russell response Review incoming documentation, consider and send to filing Review and update to do list; query outstanding items	Legal
Renee Lobb	Senior Director	22-Mar-19	0.20	570	114.00	Investigations	Reviewing correspondence between Gagens and KWM regarding feeder fund settlement and rights of indemnity from fund assets. save to file. (Entry split between Feeder Funds)	Legal
Neil Dempster	Senior Consultant 2	03-Apr-19	0.10	440	44.00	Creditors	Email to kyle from Link FS re transfer directions	Investor
Renee Lobb	Managing Director	05-Apr-19	0.10	580	58.00	Trade-On	Review, save and expense zero monthly data access costs.	Fund Administration
Neil Dempster	Senior Consultant 2	08-Apr-19	0.20	440	88.00	Creditors	Investor email Clearstream - latest update	Investor
Neil Dempster	Senior Consultant 2	15-Apr-19	0.20	440	88.00	Creditors	DBS Bank - review transfer form - response re correct forms to complete	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	18-Apr-19	0.10	625	62.50	Investigations	Review email in from Russells containing correspondence from Gagens to KWM re Ey participation in FF proceedings	Legal
Renee Lobb	Managing Director	24-Apr-19	0.20	580	116.00	Trade-On	review Russells correspondence to KW Respond to investor request for update.	Investor
Kelly-Anne Trenfield	Senior Managing Director	01-May-19	0.40	695	278.00	Investigations	Emails in re jahani application for approval of FF settlement deed; respond re response from Jahani Russells emails enclosing HWL correspondence in response to KWM re FF proceedings letter from Jim Conomos Lawyers on behalf of directors re FF proceedings various correspond re FF review gagens correspondence to KWM re adjournment request	Legal
Renee Lobb	Managing Director	02-May-19	0.10	625	62.50	Trade-On	Payments and claiming of zero access.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	03-May-19	0.30	695	208.50	Investigations	Email in from Russells re Gagens correspondence re recalculation of distribution per settlement deed email in from Russells re Gagens correspondence to HWL/SPB re FF proceedings settlement and court hearing Call in from A. Triplady re Jahani affidavit	Legal

Kelly-Anne Trenfield	Senior Managing Director	07-May-19	0.10	695	69.50	Investigations	Email in from resells re HWL/SPB emails re directors application to intervene in from T&C re director involvement in authority application/intervene in application for interim distribution	Legal
Kelly-Anne Trenfield	Senior Managing Director	10-May-19	0.30	695	208.50	Investigations	Review emails in re conduct of interim distribution application; feeder funds settlement and intervening by directors; Said Jahani application for distribution of funds to court (submissions) review whyte affidavit re costs and funding re application for interim distribution; review subsequent affidavit - to effect feeder fund settlement review o'farrell affidavit re judicial advice application including email from resells re intended application re payment of monies into court	Legal
Kelly-Anne Trenfield	Senior Managing Director	21-May-19	0.10	695	69.50	Administration	Email from and response to Said Jahani re arranging a catch-up to discuss FF settlement call in from Ashley Triplady re status of feeder fund proceedings	Legal
Kelly-Anne Trenfield	Senior Managing Director	22-May-19	0.20	695	139.00	Administration	Remuneration review and compilation from 1 July 2018 to 31 March 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	23-May-19	0.10	695	69.50	Investigations	Review judgement re applications re settlement; mtrc/prep re call to said jahani	Legal
Kelly-Anne Trenfield	Senior Managing Director	23-May-19	0.20	695	139.00	Administration	Review remuneration table and complete categorisation of time entries	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	27-May-19	0.20	695	139.00	Administration	Said Jahani re status of appt/feeder funds; update to JRP	Legal
Kelly-Anne Trenfield	Senior Managing Director	27-May-19	0.10	695	69.50	Investigations	Email from and response to AJT re discussion with Said Jahani	Legal
Renee Lobb	Managing Director	03-Jun-19	0.10	625	62.50	Trade-On	Receiving funds from GT for notice upload.	Fund Administration
Renee Lobb	Managing Director	03-Jun-19	0.10	625	62.50	Trade-On	Payment of fund expenses for Xero.	Fund Administration
Renee Lobb	Managing Director	17-Jun-19	0.20	625	125.00	Trade-On	Email to investor regarding distribution timing and fund updates.	Investor
Renee Lobb	Managing Director	02-Aug-19	0.10	625	62.50	Trade-On	Paying fund costs.	Fund Administration
Renee Lobb	Managing Director	06-Aug-19	0.10	625	62.50	Trade-On	Respond to query about DBS writing off units from their books.	Investor
Renee Lobb	Managing Director	04-Sep-19	0.10	625	62.50	Trade-On	Fund payable claim.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	10-Sep-19	0.10	695	69.50	Administration	Prepare remuneration schedule to 30 June 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	12-Sep-19	0.20	695	139.00	Administration	Prepare schedules for remuneration application	Remuneration
Renee Lobb	Managing Director	30-Sep-19	0.50	625	312.50	Trade-On	Complete fund update.	Fund Administration
Renee Lobb	Managing Director	02-Oct-19	0.10	625	62.50	Trade-On	Payment and expensing of Xero monthly invoices.	Fund Administration
Ashleigh Ubank	Administration 2	14-Oct-19	0.10	205	20.50	Administration	Signature/s inserted electronically into documents of report, documents collated and finalised in PDF format.	Administration
John Park	Senior Managing Director	14-Oct-19	0.20	695	139.00	Administration	Settle update to investors.	Fund Administration
Renee Lobb	Managing Director	14-Oct-19	0.10	625	62.50	Trade-On	Request Ashleigh Ubank to finalise LM Investment Management Ltd fund update.	Fund Administration
Renee Lobb	Managing Director	15-Oct-19	0.10	625	62.50	Trade-On	Upload update to website.	Fund Administration
Renee Lobb	Managing Director	04-Nov-19	0.10	625	62.50	Trade-On	Payments for fund	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	07-Nov-19	0.30	695	208.50	Administration	Preparation of remuneration schedules re application for fee approval to 31 October 2019	Remuneration
Ashleigh Ubank	Administration 2	11-Nov-19	0.10	205	20.50	Administration	WIP proforma/excel report/s generated for code.	Remuneration

Kelly-Anne Trenfield	Senior Managing Director	11-Nov-19	0.90	695	625.50	Administration	Preparation of remuneration schedule 1 October 2015 to 31 October 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	11-Nov-19	0.20	695	139.00	Administration	Review and finalisation remuneration schedules to 31 October 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	18-Nov-19	0.20	695	139.00	Administration	Finalise fee schedule to 31 October 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	26-Nov-19	0.20	695	139.00	Administration	Finalise remuneration schedule to 31 October 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	27-Nov-19	0.30	695	208.50	Administration	Review and finalise remuneration application scheduled - 1 Oct 2015 to 30 Oct 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	28-Nov-19	0.20	695	139.00	Administration	Finalise fee schedules/Prepare task/activity summary	Remuneration
Neil Dempster	Senior Consultant II	05-Dec-19	0.10	465	46.50	Creditors	Email update Clearstream	Investor
Kelly-Anne Trenfield	Senior Managing Director	07-Jan-20	0.10	695	69.50	Administration	Email from and response to said Jahanidraft email to said Jahani re update on resignation	Receiver
Kelly-Anne Trenfield	Senior Managing Director	13-Jan-20	0.40	695	278.00	Administration	Prepare remuneration approval schedules to 31 December 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	14-Jan-20	0.20	695	139.00	Administration	Emails in/out with Steve Russell re review of Feeder Fund claims; discuss with JRP	Receiver
Kelly-Anne Trenfield	Senior Managing Director	17-Jan-20	0.20	695	139.00	Administration	Review/amend affidavit re remuneration approval	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	22-Jan-20	0.10	695	69.50	Administration	Review constitution re management fees	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	30-Jan-20	0.10	695	69.50	Administration	Respond to Russells queries re inclusion of disbursements	Remuneration
Renee Lobb	Managing Director	04-Feb-20	0.10	625	62.50	Trade-On	Expense claim and payment checking	Administration
Kelly-Anne Trenfield	Senior Managing Director	04-Feb-20	0.20	695	139.00	Administration	Review deed from HWL re Jahani resignation/review and approve client engagement; instructions to RCL re calcs re feeder funds	Receiver
Kelly-Anne Trenfield	Senior Managing Director	06-Feb-20	0.10	695	69.50	Administration	RCL re update on audit/navs/Review incoming documentation, consider and send to filing	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	11-Feb-20	0.20	695	139.00	Administration	Review of proceedings info re calculation of dividend	Receiver
Renee Lobb	Managing Director	18-Feb-20	0.40	625	250.00	Trade-On	Management fee information gathering. Send to Kelly-Anne Trenfield when complete in draft.	Fund Administration
Neil Dempster	Director	17-Mar-20	0.10	465	46.50	Creditors	Latest update Mark Ferris	Investor
Neil Dempster	Director	17-Mar-20	0.20	465	93.00	Creditors	Transfer DBS bank holding to underlying - email to confirm	Advisor
Kelly-Anne Trenfield	Senior Managing Director	18-Mar-20	0.10	695	69.50	Administration	Prepare updated remuneration schedules to 29 February 2020	Remuneration
Neil Dempster	Director	18-Mar-20	0.10	465	46.50	Creditors	Email to DBS confirm no contract notes issued	Advisor
Kelly-Anne Trenfield	Senior Managing Director	23-Mar-20	0.30	695	208.50	Administration	Update remuneration application schedules to 29 February 2020	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	24-Mar-20	0.10	695	69.50	Administration	Update remuneration application schedules to 29 February 2020	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	31-Mar-20	0.10	695	69.50	Administration	Review email in from SCR re approach to HWL	Receiver
John Park	Senior Managing Director	21-Apr-20	0.10	720	72.00	Administration	Email in Niall Coburn.	Investor
John Park	Senior Managing Director	22-Apr-20	0.20	720	144.00	Administration	Email in Niall Coburn; email in Russells.	Investor
Renee Lobb	Managing Director	22-Apr-20	0.20	660	132.00	Trade-On	Call with Kelly-Anne Trenfield regarding Niall's email. Review registry data and draft reply.	Investor
Renee Lobb	Managing Director	22-Apr-20	0.10	660	66.00	Trade-On	Reviewing email from Millie Russell regarding deed from Grant Thornton. and Kelly-Anne Trenfield's reply. File same.	Receiver
Kelly-Anne Trenfield	Senior Managing Director	22-Apr-20	0.10	720	72.00	Administration	Emails in/out re Coburn request; review and approve RCL response/Call with RCL re response to Coburn re class action for FF members	Investor

John Park	Senior Managing Director	23-Apr-20	0.30	720	216.00	Administration	Emails in / out Russells / Niall Coburn. Reviewing query about class action from Niall. Draft and send reply after approval. Review further reply and forward to Russells's to assist.	Investor
Renee Lobb	Managing Director	23-Apr-20	0.40	660	264.00	Trade-On		Investor
Kelly-Anne Trenfield	Senior Managing Director	23-Apr-20	0.10	720	72.00	Administration	Emails in/out re FF class action and Coburn investigation email in from Niall Coburn; review discussion and referral to Russells	Investor
John Park	Senior Managing Director	24-Apr-20	0.20	720	144.00	Administration	Emails in / out Russells.	Investor
Kelly-Anne Trenfield	Senior Managing Director	24-Apr-20	0.20	720	144.00	Administration	Review emails between Russells's and HWL re ff retirement call with said Jahani re release and feed including review of current position re deed update to Russells's re deed	Receiver
Renee Lobb	Managing Director	05-May-20	0.10	660	66.00	Trade-On	Claim for Xero costs.	Administration
Renee Lobb	Managing Director	13-May-20	0.15	660	99.00	Investigations	Review and reply to Kelly-Anne Trenfield email regarding PTAL correspondence and feeder fund expense recoupment. Draft email to David Quin regarding controllership revocation.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	13-May-20	0.30	720	216.00	Administration	Review HWL deed re resignation of Jahani review outstanding costs for feeder funds Review and update statement of position update to JRP re SOP	Receiver
Kelly-Anne Trenfield	Senior Managing Director	14-May-20	0.30	720	216.00	Administration	RCL re outstanding feeder fund costs update to JRP re FF deeds source info re outstanding costs email in from Russells re review of deed with HWL re FF settlement; email re insertion of JRP signature	Receiver
Renee Lobb	Managing Director	15-May-20	0.20	660	132.00	Investigations	Review 9 emails regarding draft deed of payment and release. discuss briefly with Kelly-Anne Trenfield. also discuss funds owing to LM Investment Management Ltd reconciliation on the phone.	Receiver
Renee Lobb	Managing Director	22-May-20	0.20	660	132.00	Investigations	Review Jahani email from Millie and subsequent emails about an application for removal. Review also letter on remuneration	Receiver
Kelly-Anne Trenfield	Senior Managing Director	22-May-20	0.10	720	72.00	Administration	Email in from Russell re Deed; review Trilogy position and advice from HWL	Receiver
Kelly-Anne Trenfield	Senior Managing Director	26-May-20	0.10	720	72.00	Administration	Review and amend letter to HWL re retirement of Jahani	Receiver
John Park	Senior Managing Director	29-May-20	0.30	720	216.00	Administration	Emails in / out Russells / HWL.	Receiver
Kelly-Anne Trenfield	Senior Managing Director	29-May-20	0.30	720	216.00	Administration	Emails in from Steve Russell re Coburn; amend email and respond to RCL review affidavit of David O'Farrell email in from Russells re approach to HWL re receivers release re ff costs and response to Quin revisions to deed of release - Jahani email in from Russells's re Jahani deed of release	Receiver
Renee Lobb	Managing Director	02-Jun-20	0.10	660	66.00	Trade-On	Payment and claim of Xero costs.	Administration
Tanya Kratz	Administration 2	04-Jun-20	0.10	220	22.00	Administration	Source Excel WIP Proforma as requested.	Remuneration
Renee Lobb	Managing Director	05-Jun-20	0.10	660	66.00	Assets	Review correspondence regarding release timing of funds from Receiver. Save documentation to file and do bring up.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	15-Jun-20	0.20	720	144.00	Administration	Update remuneration schedules from 1 March to 31 May 2020	Remuneration

Kelly-Anne Trenfield	Senior Managing Director	16-Jun-20	0.20	720	144.00	Administration	Update remuneration schedules from 1 March to 31 May 2020	Remuneration
Renee Lobb	Managing Director	17-Jun-20	1.20	660	792.00	Assets	Review Gt request for account details. note Suncorp account closed. Call Suncorp regarding new account and start forms. call with Kelly-Anne Trenfield regarding alternative. send CBA details in the interim.	Fund Administration
Renee Lobb	Managing Director	17-Jun-20	0.10	660	66.00	Trade-On	Review email from OMI, now Quilter. Respond advising appropriate contacts for actioning transfers by funds. Direct to Neil Dempster to attend to this fund.	Investor
Kelly-Anne Trenfield	Senior Managing Director	17-Jun-20	0.10	720	72.00	Administration	RCL update re status of bank account email in from Russells re Tucker & Cowen letter re recovery of LMA funded amounts from the feeder funds; draft response/instructions to Russells	Fund Administration
Renee Lobb	Managing Director	18-Jun-20	0.20	660	132.00	Assets	Call with Kevin at GT regarding account details. Explain 2 different banks. Send statement snippets. Call with Neil setting u account forms.	Fund Administration
Neil Dempster	Director	18-Jun-20	0.20	520	104.00	Administration	Account opening forms	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	22-Jun-20	0.20	720	144.00	Administration	Amend remuneration tables to isolate wipe data from 1 March to 31 May 2020	Remuneration
Renee Lobb	Managing Director	23-Jun-20	0.10	660	66.00	Trade-On	Review and update account authority for Suncorp account. give to John Park for sign off.	Fund Administration
Renee Lobb	Managing Director	24-Jun-20	0.10	660	66.00	Trade-On	Call Suncorp regarding account letters to confirm not spam and no further account opening action required.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	24-Jun-20	0.10	720	72.00	Administration	Funds in; update sop and locate agreement	Fund Administration
Renee Lobb	Managing Director	25-Jun-20	0.10	660	66.00	Trade-On	Request banking details for First Mortgage Income Fund to pay First Mortgage Income Fund funds owed by the fund.	Fund Administration
Renee Lobb	Managing Director	26-Jun-20	0.30	660	198.00	Trade-On	Adding to online banking the new account. removing old inactive accounts. instructions t Caroline Halcoop to transfer funds from CBA to Suncorp.	Fund Administration
Caroline Halcoop	Administration 2	26-Jun-20	0.10	220	22.00	Administration	Prepare EFT, pdf, post transaction to cashbook collate with approval email. CBA LM ICPAIF transfer to Suncorp LM ICPAIF \$316,555.25. Requested by RCL.	Administration
Renee Lobb	Managing Director	29-Jun-20	0.20	660	132.00	Trade-On	Email Kelly-Anne Trenfield and Caroline regarding transfers from CBA to Suncorp. Review and approve transfer to Suncorp account. forward to KT for release.	Fund Administration
Renee Lobb	Managing Director	30-Jun-20	0.20	660	132.00	Trade-On	Discussion with Kelly-Anne Trenfield regarding transfer of funds to BDO and approval with 2 stage release. Check account and confirm funds held.	Fund Administration
Renee Lobb	Managing Director	01-Jul-20	0.40	660	264.00	Trade-On	Try and process payment to First Mortgage Income Fund from new ICPAIF account. Email to Donna regarding increase of payment limit. Call and leave message with done and take her return call.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	02-Jul-20	0.10	720	72.00	Administration	Review and approve payment of outstanding administration liabilities including review of supporting documentation and signing of transfer or cheque	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	02-Jul-20	0.10	720	72.00	Administration	Rocla re suncorp internet banking and statement of position	Fund Administration
Renee Lobb	Managing Director	02-Jul-20	0.10	660	66.00	Trade-On	Xero payment expense.	Fund Administration

LM Institutional Currency Protected Income Fund: Calculation of Category 1 October 2015 to 31 October 2020

Employee	Position	Rate/hour excl GST	Total actual hours	Total (excl GST)	Administration	Assets	Creditors	Dividend	Investigations	Trade Inv
Ashleigh Ubank	Administration 2	180	1.10	427.50	391.50		36.00			
		185	0.90							
		205	0.20							
		220	0.10							
Benjamin Robson	Consultant 1	300	6.30	3,079.50						3,079.50
	Consultant 1	305	3.90							
Brittany Newman	Administration 1	135	0.30	171.00	171.00					
		145	0.90							
Carly Young	Associate 1	250	4.60	1,196.00			1,196.00			
Caroline Halcoop	Administration 2	180	0.20	58.00	58.00					
		220	0.10							
Glenn O'Kearney	Senior Director	560	2.30	6,655.50	169.00	112.00	2,650.00			3,724.50
		565	9.50							
James Cook	Associate 2	275	0.30	3,163.00	3,163.00					
	Consultant 1	305	10.10							
John Park	Senior Managing Director	625	0.05	962.25	962.25					
		695	0.20							
		720	1.10							
Kelly-Anne Trenfield	Senior Managing Director	625	9.25	13,603.25	11,849.25		413.00		1,341.00	
		695	6.80							
		720	4.30							
Neil Dempster	Consultant 1	305	1.60	3,720.50	722.00	180.00	1,815.50			1,003.00
	Consultant 2	360	4.90							
	Senior Consultant 1	380	2.40							
	Senior Consultant 2	440	0.50							
		465	0.50							
		520	0.20							
Renee Lobb	Director	510	2.60	25,370.00	2,040.50	1,047.00			8,224.50	14,058.00
	Senior Director	565	9.40							
		570	22.10							
	Managing Director	580	0.30							
		625	2.20							
		660	6.95							
Talia Glover	Administration 1	145	0.30	90.00	90					
		155	0.30							
Tanya Kratz	Administration 2	220	0.10	22.00	22.00					
Subtotal		116.85	58,518.50	19,638.50	1,339.00	6,110.50	0.00	9,565.50	21,865.00	
GST			5,851.85							
Total (including GST)			64,370.35							
Hours		116.85	39.60	2.30	14.80	0.00	16.45	43.70		

Employee	Position	Date	Rate	Hours	Charge out Rate	Task Description	Narrative	Category
Benjamin Robson	Consultant 1	01-Oct-15	0.20	300	60.00	Trade-On	Investor enquiry - Paul Appleby	Investors
Benjamin Robson	Consultant 1	06-Oct-15	0.20	300	60.00	Trade-On	Respond to investor enquiry	Investors
Benjamin Robson	Consultant 1	07-Oct-15	0.50	300	150.00	Trade-On	Time spend fielding investor enquiries	Investors
Benjamin Robson	Consultant 1	07-Oct-15	0.60	300	180.00	Trade-On	Preparation of September 2015 NAV	Fund Administration
Benjamin Robson	Consultant 1	09-Oct-15	0.30	300	90.00	Trade-On	Investor enquiry responses - various	Investors
Benjamin Robson	Consultant 1	12-Oct-15	0.20	300	60.00	Trade-On	Investor enquiry - Christian Kuhl CPAIF	Investors
Brittany Newman	Administration 1	14-Oct-15	0.10	135	13.50	Administration	Mail in from Suncorp Bank - September Account Summary; LM CP AIF Working Account (031139932), September Account Summary; LM AIF CPF Investor Account (034684990) & September Account Summary; LM CP AIF Working	Fund Administration
Brittany Newman	Administration 1	14-Oct-15	0.10	135	13.50	Administration	Mail in from Suncorp Bank - September Account Summary; LM CP AIF Account (503114996)	Administration
Benjamin Robson	Consultant 1	15-Oct-15	0.10	300	30.00	Trade-On	Investor enquiry - Apex investor services	Investors
Benjamin Robson	Consultant 1	15-Oct-15	0.30	300	90.00	Trade-On	Update and refine NAV for Sep 2015	Fund Administration
Glenn O'Kearney	Senior Director	19-Oct-15	0.10	560	56.00	Creditors	Review transfer forms etc call - discuss Ben Robson	Investors
Glenn O'Kearney	Senior Director	19-Oct-15	0.30	560	168.00	Trade-On	Review 30 September NAV calculations and task list manager.	Fund Administration
Benjamin Robson	Consultant 1	19-Oct-15	0.30	300	90.00	Trade-On	Correspondence with Leanne Munro regarding cartwright transfer request	Investors
Benjamin Robson	Consultant 1	19-Oct-15	0.30	300	90.00	Trade-On	Investor enquiries - various	Investors
Glenn O'Kearney	Senior Director	20-Oct-15	0.20	560	112.00	Creditors	Note BIR regarding redemptions/bounced back distributions. reply.	Fund Administration
Benjamin Robson	Consultant 1	20-Oct-15	0.60	300	180.00	Trade-On	Update meeting with Steve Hannan regarding cash balances in accounts	Fund Administration
Benjamin Robson	Consultant 1	20-Oct-15	0.80	300	240.00	Trade-On	CPAIF Cash Position reconciliation of spread sheets provided by SH. Revert to SH with Comments	Fund Administration
Benjamin Robson	Consultant 1	20-Oct-15	0.20	300	60.00	Trade-On	Perusal email and response to Glenn O'Kearney regarding Pre appointment returned distributions	Fund Administration
Benjamin Robson	Consultant 1	20-Oct-15	0.40	300	120.00	Trade-On	September Qtr data retrieval and summary	Remuneration
Benjamin Robson	Consultant 1	21-Oct-15	0.20	300	60.00	Trade-On	LM CPAIF investor enquiry response	Investors
Benjamin Robson	Consultant 1	21-Oct-15	0.20	300	60.00	Trade-On	LM CPAIF investment enquiry - Di Zhu	Investors
Benjamin Robson	Consultant 1	21-Oct-15	0.20	300	60.00	Trade-On	Request bank statements from Suncorp	Fund Administration
Benjamin Robson	Consultant 1	21-Oct-15	0.30	300	90.00	Trade-On	Email Correspondence with Steve Hannan regarding treatment of pre appointment distributions	Fund Administration
Benjamin Robson	Consultant 1	21-Oct-15	0.50	300	150.00	Trade-On	Investor enquiries - various	Investors
Renee Lobb	Director	22-Oct-15	0.50	510	255.00	Trade-On	Reviewing, responding and forwarding where required investor queries for response.	Investors
Benjamin Robson	Consultant 1	22-Oct-15	0.40	300	120.00	Trade-On	Review bank statements and request further statements from Suncorp to determine cash balances	Fund Administration
Benjamin Robson	Consultant 1	22-Oct-15	0.20	300	60.00	Trade-On	Investor enquiry - Daryl Yeoh	Investors
Benjamin Robson	Consultant 1	22-Oct-15	0.20	300	60.00	Trade-On	Investor enquiry - Preety Pheonick	Investors

Benjamin Robson	Consultant 1	22-Oct-15	0.40	300	120.00	Trade-On	Investor enquiries specifically Mr CCA and Mrs EN McKain and Daryl Yeoh as advisor	Investors
Benjamin Robson	Consultant 1	22-Oct-15	0.30	300	90.00	Trade-On	Bank statements received and reviewed, discussion on account movements with SH	Fund Administration
Glenn O'Kearney	Senior Director	23-Oct-15	0.10	560	56.00	Trade-On	Note BJR regarding calf update. reply.	Fund Administration
Benjamin Robson	Consultant 1	23-Oct-15	0.20	300	60.00	Trade-On	Investor transfer ID 100269372 to 100374099	Investors
Benjamin Robson	Consultant 1	23-Oct-15	0.40	300	120.00	Trade-On	LM CPAIF investor transfer responses and updates	Investors
Benjamin Robson	Consultant 1	23-Oct-15	0.20	300	60.00	Trade-On	Investor enquiry John Ryall CPAIF	Investors
Renee Lobb	Director	26-Oct-15	0.20	510	102.00	Trade-On	Respond to investor query after confirming appropriate fund. forward request for detail update with BJR for processing.	Investors
Renee Lobb	Director	27-Oct-15	0.20	510	102.00	Trade-On	Respond to investor query and send to BJR for additional information to be added by Steve Hannan.	Investors
Benjamin Robson	Consultant 1	27-Oct-15	0.30	300	90.00	Trade-On	Investor Enquiry - Carmen Ho advisor	Investors
Renee Lobb	Director	28-Oct-15	0.40	510	204.00	Trade-On	Review and respond to 2 investor enquiries on transfers and changes to contact details. Subsequent email with BJR on same.	Investors
Benjamin Robson	Consultant 1	28-Oct-15	0.20	300	60.00	Trade-On	Investor enquiry - Helen Sharpe	Investors
Renee Lobb	Director	29-Oct-15	0.30	510	153.00	Trade-On	Look up investor ID to match to fund and review prior emails. Respond at length on fund position, CPAIF vs AIF and First Mortgage Income Fund situation.	Investors
Benjamin Robson	Consultant 1	29-Oct-15	0.30	300	90.00	Trade-On	Perusal email from Philip Ward regarding clients issues with T&C on transfer docs and consult with SH	Investors
Glenn O'Kearney	Senior Director	29-Oct-15	0.10	560	56.00	Trade-On	Update in SH regarding workflow and status of current tasks.	Fund Administration
Benjamin Robson	Consultant 1	30-Oct-15	0.20	300	60.00	Trade-On	John Grant McIlvrde - investor enquiry	Investors
Benjamin Robson	Consultant 1	30-Oct-15	0.20	300	60.00	Trade-On	Ato, Quantitas Magna Frumentorum- investor enquiry	Investors
Benjamin Robson	Consultant 1	30-Oct-15	0.30	300	90.00	Trade-On	Philip ward - fraudulent enquiry regarding transfer docs	Investors
Brittany Newman	Administration 1	30-Oct-15	0.10	135	13.50	Administration	Mail in from ASIC - Invoice Statement	Administration
Renee Lobb	Director	30-Oct-15	0.10	510	51.00	Trade-On	Respond to investor pricing query. confirm unchanged.	Investors
Benjamin Robson	Consultant 1	02-Nov-15	0.20	300	60.00	Trade-On	Perusal email and response to Regina Goh AG Singapore	Investors
Benjamin Robson	Consultant 1	03-Nov-15	0.20	300	60.00	Trade-On	Peter Robinson - APEX investors	Investors
Renee Lobb	Director	04-Nov-15	0.20	510	102.00	Trade-On	Respond to investor query.	Investors
Brittany Newman	Administration 1	04-Nov-15	0.10	135	13.50	Administration	Filing	Administration
Benjamin Robson	Consultant 1	04-Nov-15	0.20	300	60.00	Trade-On	Peter Robinson - APEX investors	Investors
Benjamin Robson	Consultant 1	04-Nov-15	0.30	300	90.00	Trade-On	Investor Enquiry - Lewis Griffith	Investors
Glenn O'Kearney	Senior Director	04-Nov-15	0.30	560	168.00	Assets	Review 11th report to investors FMIF. note BJR regarding nav's	Investors
Benjamin Robson	Consultant 1	05-Nov-15	0.30	300	90.00	Trade-On	Pre appointment payables position reconciliation	Fund Administration
Benjamin Robson	Consultant 1	09-Nov-15	0.30	300	90.00	Trade-On	Perpetual - update from John Newby on outstanding invoices. Enter into Fund payables register and regarding	Fund Administration
Benjamin Robson	Consultant 1	09-Nov-15	0.60	300	180.00	Trade-On	Preparation of October 2015 NAV	Fund Administration
Benjamin Robson	Consultant 1	10-Nov-15	0.50	300	150.00	Trade-On	Investor enquiries - Lewis Griffith, Shannon Perra, FSTREAMF	Investors

Glenn O'Kearney	Senior Director	10-Nov-15	0.30	560	168.00	Trade-On	Review monthly NAV and comments BIR task summary. notes for discussions.	Fund Administration
Glenn O'Kearney	Senior Director	12-Nov-15	0.50	560	280.00	Trade-On	Review updated schedule regarding advisor commissions. review reconciliation from SH regarding WU funds/reconciliation etc. meet with Steve to discuss further. note to peter Schmidt regarding advice/meet wit discuss advisor commissions. . discussions with	Fund Administration
Benjamin Robson	Consultant 1	17-Nov-15	1.00	300	300.00	Trade-On	Navigating AX and updating investor details as requests dictate	Investors
Benjamin Robson	Consultant 1	18-Nov-15	0.40	300	120.00	Trade-On	Update investor addresses in AX	Investors
Benjamin Robson	Consultant 1	19-Nov-15	0.20	300	60.00	Trade-On	Investor enquiry - Lorie Poshen	Investors
Glenn O'Kearney	Senior Director	19-Nov-15	0.10	560	56.00	Creditors	Review change of bank account forms. not BJR	Fund Administration
Benjamin Robson	Consultant 1	20-Nov-15	3.00	300	900.00	Trade-On	Work in AX and Excel updating investor bank details. Work towards answering investor queries, responses to transaction statement requests, change of details requests. form Jenny Dorang, Steve Chang, Clearstream and Citco. Navigation of AX in exporting mem	Investors
Benjamin Robson	Consultant 1	23-Nov-15	0.20	300	60.00	Trade-On	Investor enquiry response to Philip Ward	Investors
Benjamin Robson	Consultant 1	23-Nov-15	0.20	300	60.00	Trade-On	Investor enquiry response to Carmen ho	Investors
Benjamin Robson	Consultant 1	23-Nov-15	0.30	300	90.00	Trade-On	Search AX for Jenny and Geoffrey Doring investment. Email to Jenny with nil results	Investors
Benjamin Robson	Consultant 1	23-Nov-15	0.30	300	90.00	Trade-On	Lorie Pushon - enquiry regarding deceased fathers estate and change of address. Email response and attach change of details form	Investors
Benjamin Robson	Consultant 1	24-Nov-15	1.50	300	450.00	Trade-On	LM CPAIF - Collating and formatting WIP data from 19 March 2013 to 30 September 2015, spell checking data and preparation of ARITA tables by financial year for fee application court hearing at request of Russells	Remuneration
Glenn O'Kearney	Senior Director	24-Nov-15	0.10	560	56.00	Creditors	Transfer forms in	Investors
Benjamin Robson	Consultant 1	25-Nov-15	0.20	300	60.00	Trade-On	Investor enquiry - Jenny Doring	Investors
Benjamin Robson	Consultant 1	25-Nov-15	0.50	300	150.00	Trade-On	Investor enquiries and address update requests	Investors
Benjamin Robson	Consultant 1	25-Nov-15	1.00	300	300.00	Trade-On	Refining and amending WIP data and ARITA tables for presentation in court hearing	Remuneration
Glenn O'Kearney	Senior Director	25-Nov-15	0.30	560	168.00	Creditors	Review fee data and Arita schedules for court application.	Remuneration
Glenn O'Kearney	Senior Director	25-Nov-15	0.10	560	56.00	Creditors	Review correspondence regarding receivership appointment - note to JP.	Receiver
Glenn O'Kearney	Senior Director	26-Nov-15	0.70	560	392.00	Creditors	Review correspondence receivers. drafting update for website for investors. review and finalise ARITA and documents for court approval remuneration. call from GT receivers s and file notes.	Investors
Benjamin Robson	Consultant 1	27-Nov-15	3.00	300	900.00	Trade-On	CPAIF Cash position and returned/pending payments work. Extract and edit data from AX, allocated each transaction type an determine cash transaction history of Suncorp Working account, High Interest account and CBA AUD account	Fund Administration

Benjamin Robson	Consultant 1		27-Nov-15	0.40	300	120.00	Trade-On	Calculation of FTI and fund legal fees - email to Glenn O'Kearney regarding instruction to quarantine CPAIF call regarding distribution timing, return and value. Confirm value on another query also.	Fund Administration
Renee Lobb	Director		27-Nov-15	0.30	510	153.00	Trade-On	Update in PKF regarding tax updates. reply, review draft note BJR regarding management accounts. amend, discuss updates to investors with Lobb/	Investors
Glenn O'Kearney	Senior Director		27-Nov-15	0.10	560	56.00	Trade-On	Discuss call cash holdings reconciliation with BJR	Fund Administration
Glenn O'Kearney	Senior Director		27-Nov-15	0.10	560	56.00	Assets	Review call cost reconciliation etc and approve transfer	Fund Administration
Glenn O'Kearney	Senior Director		30-Nov-15	0.10	560	56.00	Creditors	Call from Eckard regarding Grant Thornton correspondence.	Receiver
Benjamin Robson	Consultant 1		01-Dec-15	0.20	300	60.00	Trade-On	Investor enquiry O Regina Goh - no new transactions	Investors
Benjamin Robson	Consultant 1		01-Dec-15	0.20	300	60.00	Trade-On	Steve Chang - check on transfers for steves clients	Investors
Renee Lobb	Director		02-Dec-15	0.10	510	51.00	Trade-On	Respond to unit holding query.	Investors
Glenn O'Kearney	Senior Director		02-Dec-15	0.10	560	56.00	Creditors	Correspondence from Egghard regarding queries on feeder funds.	Receiver
Benjamin Robson	Consultant 1		03-Dec-15	0.20	300	60.00	Trade-On	Investor enquiry - Philip war, provide loan transfer account statements printed from Excel	Investors
Benjamin Robson	Consultant 1		03-Dec-15	0.30	300	90.00	Trade-On	Issue change of details form to AEGIS regarding Chee Young	Investors
Benjamin Robson	Consultant 1		03-Dec-15	0.20	300	60.00	Trade-On	Email to Jenny Doring update request	Investors
Benjamin Robson	Consultant 1		03-Dec-15	0.20	300	60.00	Trade-On	Email Adrian Trimboli - investor enquiry	Investors
Benjamin Robson	Consultant 1		03-Dec-15	0.30	300	90.00	Trade-On	Draft and final update letter to Flora Sullivan regarding fund status	Investors
Renee Lobb	Director		03-Dec-15	0.20	510	102.00	Trade-On	Respond to investor email queries.	Investors
Glenn O'Kearney	Senior Director		03-Dec-15	0.30	560	168.00	Assets	Review current NAV and meet with Eghard to discuss.	Fund Administration
Glenn O'Kearney	Senior Director		03-Dec-15	0.10	560	56.00	Creditors	Correspondence in investor - discuss BJR	Investors
Glenn O'Kearney	Senior Director		03-Dec-15	0.20	560	112.00	Creditors	Discussions with Peter Schmidt regarding advisors liability.	Advisors
Renee Lobb	Director		04-Dec-15	0.10	510	51.00	Trade-On	Updating website with approved update on fund after JRP approval.	Fund Administration
Glenn O'Kearney	Senior Director		04-Dec-15	0.10	560	56.00	Creditors	Review draft update to investor	Investors
Benjamin Robson	Consultant 1		04-Dec-15	0.50	300	150.00	Trade-On	Extract CPAIF holdings for FNZ limited form AX and issue to investor	Investors
Benjamin Robson	Consultant 1		04-Dec-15	0.80	300	240.00	Trade-On	Process investor transfer requests - various	Investors
Benjamin Robson	Consultant 1		07-Dec-15	0.20	300	60.00	Trade-On	Chee Young - investor enquiry request updated details	Investors
Glenn O'Kearney	Senior Director		07-Dec-15	0.20	560	112.00	Creditors	Drafting update to investor.	Investors
Renee Lobb	Director		08-Dec-15	0.30	510	153.00	Trade-On	Respond to investor query on NAV. Respond to further query also.	Investors
Benjamin Robson	Consultant 1		08-Dec-15	0.30	300	90.00	Trade-On	Review Daryl Yeah client application form, refer to SH for advice	Investors
Benjamin Robson	Consultant 1		08-Dec-15	0.20	300	60.00	Trade-On	Robyn Dawson - investor enquiry and provide update to be uploaded to website	Investors
Glenn O'Kearney	Senior Director		08-Dec-15	0.10	560	56.00	Administration	Review bank statements in note BJR	Fund Administration
Renee Lobb	Director		09-Dec-15	0.10	510	51.00	Trade-On	Forward through website query to BJR and confirm whether create a website preferences have diverted back to my email.	Investors

Benjamin Robson	Consultant 1	09-Dec-15	0.20	300	60.00	Trade-On	Investor Update provided to Neil Brown	Investors
Benjamin Robson	Consultant 1	09-Dec-15	0.20	300	60.00	Trade-On	Provide ID and Account number to Emma Taylor	Investors
Benjamin Robson	Consultant 1	09-Dec-15	0.40	300	120.00	Trade-On	Process transfer request applications - various (Helen chee young)	Investors
Renee Lobb	Director	11-Dec-15	0.20	510	102.00	Trade-On	Respond to investor query on AIF vs CPAIF distribution.	Investors
Benjamin Robson	Consultant 1	14-Dec-15	0.20	300	60.00	Trade-On	FSTREAM clearstream price change update request	Investors
Benjamin Robson	Consultant 1	14-Dec-15	0.20	300	60.00	Trade-On	Investor update FNZ custodians change of advisor request	Investors
Benjamin Robson	Consultant 1	14-Dec-15	0.20	300	60.00	Trade-On	LM CPAIF investor address change - P+L Investment Trust	Investors
Glenn O'Kearney	Senior Director	15-Dec-15	0.20	560	112.00	Trade-On	Update to Kelly regarding CPAIF/ICPAIF reconciliation appointment.	Fund Administration
Benjamin Robson	Consultant 1	15-Dec-15	0.20	300	60.00	Trade-On	correspondence regarding funds usage.	Investors
Benjamin Robson	Consultant 1	16-Dec-15	0.90	300	270.00	Trade-On	Response to Daryl Yeoh	Investors
Glenn O'Kearney	Senior Director	18-Dec-15	0.10	560	56.00	Creditors	Inclusion of OOP's data into financial year ARITA tables from 19/03/13 to 30/09/2015	Remuneration Advisors
Kelly-Anne Trenfield	Senior Managing Director	22-Dec-15	0.10	600	60.00	Administration	Note from Schmidt regarding advisor liability update.	Fund Administration
Glenn O'Kearney	Senior Director	22-Dec-15	0.10	560	56.00	Creditors	review query from Simon Lister and request response from GOK/RCL	Investors
Benjamin Robson	Consultant 1	23-Dec-15	0.40	300	120.00	Trade-On	Review note in from investor group . correspondence with Kelly regarding nav's on feeders/.	Investors
Glenn O'Kearney	Senior Director	23-Dec-15	0.30	560	168.00	Creditors	Transfer requests and responses to various fund update requests	Fund Administration
Benjamin Robson	Consultant 1	24-Dec-15	0.30	300	90.00	Trade-On	Correspondence KAT/BJR regarding report and NAV's, updates in WMS regarding tax/management accounts. review HOWL WU correspondence and discussions with Emma from KWM.	Fund Administration
Renee Lobb	Director	24-Dec-15	0.10	510	51.00	Trade-On	Update Fee Payables Register, inclusion of WMS invoice	Fund Administration
Benjamin Robson	Consultant 1	04-Jan-16	0.90	305	274.50	Trade-On	Respond to investor query.	Investors
Glenn O'Kearney	Senior Director	04-Jan-16	0.10	565	56.50	Trade-On	Preparation of December 2015 NAV model	Fund Administration
Renee Lobb	Director	05-Jan-16	0.10	510	51.00	Trade-On	Update in regarding NAV's WIP data etc. TDL regarding reporting etc.	Fund Administration
Benjamin Robson	Consultant 1	05-Jan-16	0.40	305	122.00	Trade-On	Respond to investor query on pricing.	Investors
Glenn O'Kearney	Senior Director	07-Jan-16	3.00	565	1,695.00	Creditors	Obtain and format for review December Qtr 2015 WIP and OOPs data. Reconcile CPAIF Accounts and LM registers (Fees, Fund Payables, Consultants Fees) for inclusion in NAV	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	08-Jan-16	0.60	625	375.00	Administration	Review 31 December 2015 NAV. update NAV for GST treatment and 31 Dec fee/oops. drafting of circular to investors. reference various materials to finalize circular. note to Kelly.	Investors
Glenn O'Kearney	Senior Director	08-Jan-16	1.00	565	565.00	Creditors	review NAV and investor update; review and amend revised draft report	Investors
							Review changes to reports KAT. prepare updated report including estimated unit price. note to KAT/Renee/SH regarding units. update to NAV regarding unit pricing.	Fund Administration

Glenn O'Kearney	Senior Director	11-Jan-16	1.00	565	565.00	Trade-On	Amend circular investors. review correspondence SH regarding NAV/units. c correspondence rob registers. review registers/ note BIR. call from SH and discuss unit holding reconciliation etc. Meet with BIR and discuss file - discuss assets/account status etc. conversion regarding FX amounts AUD equivalent etc. discussion with SH	Investors
Glenn O'Kearney	Senior Director	11-Jan-16	0.30	565	169.50	Trade-On		Administration
Renee Lobb	Director	11-Jan-16	0.10	510	51.00	Creditors	Review email with reports for investors. respond to GOK on member register i have and note not updated since appointment. LM CPAIF investor enquiries - statements to Parent investor companies for monthly holdings	Investors
Benjamin Robson	Consultant 1	11-Jan-16	0.50	305	152.50	Trade-On		Investors
Glenn O'Kearney	Senior Director	12-Jan-16	0.70	565	395.50	Creditors	Review reports and update regarding units holdings. review reconciliation of holding and note SH further info.	Investors
Glenn O'Kearney	Senior Director	12-Jan-16	0.10	565	56.50	Administration	Review FX offer/custom house. Prepare instructions to KWM on matter.	Legal
Benjamin Robson	Consultant 1	12-Jan-16	0.10	305	30.50	Trade-On	Review and confirm dec qtr numbers correct	Remuneration
Benjamin Robson	Consultant 1	12-Jan-16	0.40	305	122.00	Trade-On	Respond to investor enquiries regarding investor statements	Investors
Glenn O'Kearney	Senior Director	15-Jan-16	1.50	565	847.50	Creditors	Review reconciliation from SH regarding AUD units. perform reconciliation/calc/. update NAV. update reports to investors. update KAT. finalize email draft to investor enquiry. call form SH regarding same. correspondence with Kelly regarding finalization o Review and upload fund update to website individual tab and homepage. Send update to Simon Lister.	Investors
Renee Lobb	Director	15-Jan-16	0.30	510	153.00	Administration	review finalised report; JRP approval re signature on report;	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	15-Jan-16	0.10	625	62.50	Administration	arrange distribution	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	15-Jan-16	0.10	625	62.50	Administration	Review monthly NAV update	Fund Administration
Brittany Newnan	Administration 1	20-Jan-16	0.10	145	14.50	Administration	Mail in from ATO - Correspondence; We have cancelled your GST Registration	Administration
Benjamin Robson	Consultant 1	21-Jan-16	0.30	305	91.50	Trade-On	CPAIF investor query - response	Investors
Renee Lobb	Director	27-Jan-16	0.20	510	102.00	Trade-On	Respond to investor query. Request registry updated data from GOK and BIR to send notice tomorrow.	Investors
Renee Lobb	Director	29-Jan-16	0.80	510	408.00	Trade-On	Sending out to all creditors via email and seeking AMU assistance to send out via post the circular to creditors and investors regarding our remuneration application. Upload legal documents to LM Investment Management Ltd website and confirm with Russells	Investors
Renee Lobb	Director	01-Feb-16	0.20	510	102.00	Trade-On	Respond to two emails regarding investor notice sent on FTI's application for remuneration via the courts. Direct investors to most recent update for distribution percent to be recovered.	Investors
Benjamin Robson	Consultant 1	01-Feb-16	0.20	305	61.00	Trade-On	Respond to investor query - Lewis Griffith	Investors
Benjamin Robson	Consultant 1	01-Feb-16	0.20	305	61.00	Trade-On	Response to investor enquiry - Epping family	Investors

Benjamin Robson	Consultant 1	01-Feb-16		5.20	305	1,586.00	Trade-On	WMS information responses - obtain, review and provide information to Ryu Naoi pertaining to the preparation of the 2013/14/15 management accounts, including bank statements across CBA and Suncorp accounts for all periods, bank reconciliation's and specific	Fund Administration
Ashleigh Ubank	Administration 2	01-Feb-16		5.80	180	1,044.00	Administration	Creditors listing amended for mailing labels, mailing labels amended and formatted, report prepared for send out, issued to mail and faxed to relevant parties.	Investors
Glenn O'Kearney	Senior Director	01-Feb-16		0.10	565	56.50	Trade-On	Updates in WMS regarding status of accounts/FR etc.	Fund Administration
Taegen Pedro	Administration 2	01-Feb-16		3.00	180	540.00	Creditors	sending Notice to Members (as per members listing)	Investors
Benjamin Robson	Consultant 1	02-Feb-16		0.20	305	61.00	Trade-On	Respond to query from John Newby regarding outstanding invoices	Fund Administration
Benjamin Robson	Consultant 1	02-Feb-16		0.40	305	122.00	Trade-On	Various details update requests	Investors
Benjamin Robson	Consultant 1	02-Feb-16		0.30	305	91.50	Trade-On	Updated SGP - Commerzbank holdings report obtained and issued	Fund Administration
Brittany Newman	Administration 1	02-Feb-16		0.10	145	14.50	Administration	Final 898114	Administration
Benjamin Robson	Consultant 1	03-Feb-16		0.10	305	30.50	Trade-On	Record WMS invoice for Ian	Fund Administration
Benjamin Robson	Consultant 1	03-Feb-16		1.20	305	366.00	Trade-On	IMI Data information obtained on Ad hoc basis for WMS - liaise with Steve Hannan on certain issues pertaining to FX loss/gain, mark to market reports etc	Fund Administration
Benjamin Robson	Consultant 1	03-Feb-16		0.30	305	91.50	Trade-On	Investor enquiry - J Porker	Investors
Benjamin Robson	Consultant 1	04-Feb-16		0.70	305	213.50	Trade-On	Preparation of December half 2015 invoices and supporting documents	Remuneration
Renee Lobb	Director	04-Feb-16		0.10	510	51.00	Trade-On	Respond to investor query on pricing, direct to our circular of 16 Jan.	Investors
Renee Lobb	Director	04-Feb-16		0.20	510	102.00	Trade-On	Respond to investor query on unit value, direct to recent correspondence from us on the website.	Investors
Glenn O'Kearney	Senior Director	04-Feb-16		2.00	565	1,130.00	Creditors	Review lengthy investor enquiry regarding CPAIF report, discuss with Robb, collate information and prepare response.	Investors
Glenn O'Kearney	Senior Director	05-Feb-16		0.10	565	56.50	Creditors	Review and approve category 1 CPAIF invoice.	Remuneration
Glenn O'Kearney	Senior Director	08-Feb-16		0.10	565	56.50	Creditors	Note Lobb regarding CPAIF investor reply.	Investors
Renee Lobb	Director	09-Feb-16		2.00	510	1,020.00	Investigations	Go through all emails returned from creditors, record those not sent and resend to either adviser emails or in the post as required.	Investors
Ashleigh Ubank	Administration 2	10-Feb-16		0.20	180	36.00	Administration	liaise with AMU on postal circulars. Send to Sean Russell account of those received and returned and by what method.	Investors
Brittany Newman	Administration 1	10-Feb-16		0.10	145	14.50	Administration	Report prepared for send out and issued to mail.	Investors
Brittany Newman	Administration 1	10-Feb-16		0.10	145	14.50	Administration	Mail out to Flora Dawn Sullivan - 898114	Administration
Benjamin Robson	Consultant 1	10-Feb-16		0.30	305	91.50	Trade-On	Filing	Investors
Glenn O'Kearney	Senior Director	10-Feb-16		0.20	565	113.00	Creditors	Update several investor email addresses	Investors
Glenn O'Kearney	Senior Director	10-Feb-16		0.10	565	56.50	Creditors	Review letter to investor -prepare updated report for investor and issue	Investors
Benjamin Robson	Consultant 1	11-Feb-16		0.20	305	61.00	Trade-On	Review investor query and respond to BJR regarding aid units. Response to Lewis Griffith on transfer of designation	Investors

Benjamin Robson	Consultant 1		12-Feb-16	0.20	305	61.00	Trade-On	Investor Enquiry - Laura Chambers regarding unit price	Investors
Renee Lobb	Director		15-Feb-16	0.40	510	204.00	Trade-On	Forward on notice to CPAIF members regarding remuneration application. Send details to BIR to update register. Respond to further query regarding distribution timing.	Remuneration
Glenn O'Kearney	Senior Director		16-Feb-16	0.20	565	113.00	Trade-On	Review and approve letter to petal regarding terminate custody, review investor enquiry and provide note to Robb to respond.	Investors
Renee Lobb	Director		16-Feb-16	0.20	510	102.00	Trade-On	Respond to query on distribution and indicative NAV.	Fund Administration
Renee Lobb	Director		17-Feb-16	0.20	510	102.00	Trade-On	Respond to investor query.	Investors
Glenn O'Kearney	Senior Director		18-Feb-16	0.10	565	56.50	Creditors	Review correspondence from investor and reply.	Investors
Glenn O'Kearney	Senior Director		18-Feb-16	0.10	565	56.50	Trade-On	Updates in regarding Audit relief etc. prelim review of option .updates from BIR etc	Fund Administration
Renee Lobb	Director							Respond to investor committee email regarding pricing and application for remuneration. Email old mutual regarding price revision also.	Investors
Glenn O'Kearney	Senior Director		21-Feb-16	0.20	510	102.00	Trade-On	Correspondence in from investor. discuss with BIR	Investors
Benjamin Robson	Consultant 1		22-Feb-16	1.50	305	457.50	Trade-On	Respond to accumulated investor enquiries	Investors
Renee Lobb	Director		23-Feb-16	0.10	510	51.00	Trade-On	Respond to investor query regarding hearing for remuneration.	Investors
Benjamin Robson	Consultant 1		23-Feb-16	0.20	305	61.00	Trade-On	Sinead curtis - investor enquiry	Investors
Renee Lobb	Director		25-Feb-16	0.10	510	51.00	Trade-On	Respond to investor pricing query.	Investors
Benjamin Robson	Consultant 1		26-Feb-16	0.60	305	183.00	Trade-On	File notes and updates to handovers regarding Registers, NAV preparations, Payment procedures, Various fund progressions	Fund Administration
Benjamin Robson	Consultant 1							Preparation of February NAV model with JHC. Preparation of Dec - Feb Op costs with JHC. Preparation of Category 2 invoices with JHC. Walk JHC through Member registers, AX and investor management process. Walk JHC through LM Payment process	Investors
James Cook	Associate 2		01-Mar-16	0.40	275	110.00	Administration	Handover with BIR on procedures in respect of management of funds.	Administration
James Cook	Associate 2		01-Mar-16	0.30	275	82.50	Administration	Handover with BIR on procedures in respect of management of funds.	Administration
Renee Lobb	Director		02-Mar-16	0.40	510	204.00	Trade-On	Speak with NZ investor. go through unit price and ours and the receivers recent updates. explain timing dependent on First Mortgage Income Fund. Further email to separate investor on unit holdings.	Investors
Brittany Newman	Administration 1		09-Mar-16	0.10	145	14.50	Administration	Mail in from Suncorp Bank - Account Statement for Acct 503114996 (Account No 2) for period 1/02/2016 - 29/02/2016	Administration
Brittany Newman	Administration 1		09-Mar-16	0.10	145	14.50	Administration	Mail in from Suncorp Bank - Account Statement for Acct 034685008 (Working Account) for period 1/02/2016 - 29/02/2016	Administration
Brittany Newman	Administration 1		09-Mar-16	0.10	145	14.50	Administration	Mail in from Suncorp Bank - Account Statement for Acct 034684990 (Investor Account) for period 1/02/2016 - 29/02/2016	Fund Administration

Glenn O'Kearney	Senior Director	09-Mar-16	0.20	565	113.00	Trade-On	Discuss LM process with JC. forward note BJR regarding processes/fund bank statements etc note to Renee regarding update to site regarding registry info. correspondence with Renee regarding transfers registry etc.	Fund Administration
Brittany Newnan	Administration 1	10-Mar-16	0.10	145	14.50	Administration	Mail in from Suncorp Bank - Business Save Statement Acct 452584158 for period 1/02/2016 - 29/02/2016	Administration
Brittany Newnan	Administration 1	10-Mar-16	0.10	145	14.50	Administration	Mail in from Suncorp Bank - Business Save Statement Acct 031139932 (Working Account) for period 1/02/2016 - 29/02/2016	Administration
Renee Lobb	Director	10-Mar-16	0.10	510	51.00	Administration	Updating website for registry changes.	Fund Administration
Renee Lobb	Director	11-Mar-16	0.20	510	102.00	Trade-On	Respond to investor query on fund withdrawal.	Investors
Renee Lobb	Director	14-Mar-16	0.20	510	102.00	Trade-On	Respond to investor query on distribution timing. respond and link update with info on same.	Investors
Glenn O'Kearney	Senior Director	16-Mar-16	0.10	565	56.50	Creditors	Reply investor enquiry .anz no	Investors
Glenn O'Kearney	Senior Director	16-Mar-16	0.20	565	113.00	Creditors	Review correspondence in investor/RTS etc. note BN	Investors
Glenn O'Kearney	Senior Director	16-Mar-16	0.50	565	282.50	Creditors	Prepare update note to NR regarding audits/review files regarding any correspondence on Audit relief etc. prepare note to Peter Schmidt regarding advisor liability queries.	Fund Administration
Renee Lobb	Director	22-Mar-16	0.20	510	102.00	Trade-On	Respond to investor query. direct to website update.	Investors
Glenn O'Kearney	Senior Director	22-Mar-16	0.10	565	56.50	Creditors	Review various transfer request etc. note JC.	Investors
Glenn O'Kearney	Senior Director	23-Mar-16	1.00	565	565.00	Creditors	Discussions with JC regarding advisor/investor registers. correspondence with Rlobb regarding same. discuss processes JC. reconcile registers/fund payables/fee summary sheets. updates where appropriate.	Investors
James Cook	Associate 2	29-Mar-16	4.00	275	1,100.00	Administration	Attending to member register, reviewing transfer forms. Updating register with details, email to advisor requesting completion of client bank details. Updating register with further transfer requests. Queries. Filing transfers completed in folder.	Investors
Renee Lobb	Director	29-Mar-16	0.10	510	51.00	Trade-On	Respond to investor enquiry for update. provide latest update link.	Investors
Glenn O'Kearney	Senior Director	29-Mar-16	0.20	565	113.00	Trade-On	Note JC regarding transfer advisors details. review bulk transfer forms received. CPAIF.	Advisors
James Cook	Associate 2	30-Mar-16	1.20	275	330.00	Administration	Updating member registry, correspondence with investors and updating. Emailing queries.	Investors
Renee Lobb	Director	30-Mar-16	0.20	510	102.00	Trade-On	Review investor listing for Norman hedley. Respond to adviser regarding requirement for name and ownership changes.	Investors
Renee Lobb	Director	31-Mar-16	0.10	510	51.00	Trade-On	Respond to pricing query.	Investors
Glenn O'Kearney	Senior Director	31-Mar-16	0.10	565	56.50	Trade-On	Note and discussions JC regarding transfer of account from custodian.	Investors
Glenn O'Kearney	Senior Director	01-Apr-16	0.30	565	169.50	Creditors	Review investor enquiry and discuss with JC	Investors

James Cook	Consultant 1	01-Apr-16	0.50	305	152.50	Administration	Correspondence with advisor re: member details. Reviewing member register, details, discussing with GOK, confirming units held. Email back to advisor. Reviewing further queries and circulating proposed email response to GOK.	Investors
James Cook	Consultant 1	04-Apr-16	1.80	305	549.00	Administration	Attending to member register, correspondence with members, advisors re: transfer form queries. Discussing with GOK. Providing updates, referring to website.	Investors
Glenn O'Kearney	Senior Director	04-Apr-16	0.50	565	282.50	Creditors	Review update in from JC regarding tax/BAS .review investor reply. amend. general discussion regarding fund management JC/NAV updates etc.	Fund Administration
James Cook	Consultant 1	05-Apr-16	0.30	305	91.50	Administration	Correspondence with investors re: holdings, requesting details and update.	Investors
Glenn O'Kearney	Senior Director	05-Apr-16	0.30	565	169.50	Creditors	Review correspondence to investor including draft JC and approve. response directly to various investors myself.	Investors
James Cook	Consultant 1	06-Apr-16	0.20	305	61.00	Administration	Correspondence with GT Nominees re: transfer requests, queries to member and application form.	Investors
Glenn O'Kearney	Senior Director	06-Apr-16	0.10	565	56.50	Trade-On	Review various transfer/investor correspondence.	Investors
Renee Lobb	Senior Director	08-Apr-16	0.10	565	56.50	Trade-On	Respond to JC's query on see through data.	Administration
Glenn O'Kearney	Senior Director	08-Apr-16	0.30	565	169.50	Trade-On	Review transfer forms and note JC. Update in from Norton rose regarding audit position/advice. discussions regarding NAV/s registers with JC. discussions with IT regarding AX system.	Fund Administration
Ashleigh Ubank	Administration 2	11-Apr-16	0.10	180	18.00	Administration	WIP proforma generated for code.	Remuneration
Glenn O'Kearney	Senior Director	11-Apr-16	0.20	565	113.00	Trade-On	Brief discussions with Kelly regarding audits/other fund strategy etc. discussions with JC regarding registers/management accounts etc review month end bank statements in.	Fund Administration
James Cook	Consultant 1	12-Apr-16	0.40	305	122.00	Administration	Reviewing email from advisors re: investor details, holdings. Preparing schedule and forwarding through.	Investors
James Cook	Consultant 1	12-Apr-16	0.50	305	152.50	Administration	Attending to payables and consultants register, reconciling. Updating NAV position. Preparing payments, circulating to GOK for approval, circulating to PTAL.	Fund Administration
James Cook	Consultant 1	14-Apr-16	0.50	305	152.50	Administration	Attending to information request from accountants re: financial statements, bank statements.	Fund Administration
Glenn O'Kearney	Senior Director	14-Apr-16	0.30	565	169.50	Trade-On	Review query investor and reply Robb with update .note to Renee and Kelly regarding audit issues/advice NR reports etc. correspondence WMS regarding FMIF reports for feeders. note JC regarding further action WMS	Investors
James Cook	Consultant 1	15-Apr-16	0.50	305	152.50	Administration	Attending to queries with WMS, payments from AIF to CPAIF. Email including financial statements.	Fund Administration
James Cook	Consultant 1	15-Apr-16	0.50	305	152.50	Administration	Attending to investor CPAIF queries re: holdings, reviewing register, email correspondence.	Investors

James Cook	Consultant 1	18-Apr-16	0.50	305	152.50	Administration	Attending to NAV position, details with GOK and Suncorp accounts. Preparing payments, circulating to GOK. Reviewing bank statements, exporting CSV files and circulating to WMS for updating of management accounts.	Fund Administration
Glenn O'Kearney	Senior Director	18-Apr-16	0.10	565	56.50	Trade-On	Discuss discrepancies with NAV JC.	Fund Administration
Glenn O'Kearney	Senior Director	18-Apr-16	0.10	565	56.50	Creditors	Review and sign off on fund payments.	Fund Administration
James Cook	Consultant 1	19-Apr-16	0.10	305	30.50	Administration	Circulating payments to be made by Perpetual.	Fund Administration
Glenn O'Kearney	Senior Director	19-Apr-16	0.30	565	169.50	Trade-On	Review query JC regarding statements and reply, note Geoff regarding IT options fund/AX. review server records bank statements and reply JC.	Fund Administration
Glenn O'Kearney	Senior Director	19-Apr-16	0.50	565	282.50	Trade-On	Travel to WMS to meet with A. Lavell to discuss way forward fund management accounts, ITR/BAS etc. review note JC summarizing same.	Fund Administration
Glenn O'Kearney	Senior Director	20-Apr-16	0.50	565	282.50	Trade-On	Review investor update and amend/discuss meeting with WMS and follow up EY. review advice NR regarding audit relief issues etc	Investors
Glenn O'Kearney	Senior Director	22-Apr-16	1.00	565	565.00	Trade-On	Updates to NR/Kelly regarding audits/ASIC and other matters. review correspondence in from KWM regarding settlement with WU. review settlement deed etc. review updates IT regarding shutdown options. note NR regarding commission issues.	Audit
Renee Lobb	Senior Director	22-Apr-16	0.10	565	56.50	Trade-On	Respond to investor pricing query.	Investors
Glenn O'Kearney	Senior Director	28-Apr-16	0.50	565	282.50	Administration	Review status and discuss with KAT. discuss audit matters, tax, other fund issues. discuss with WMS regarding way forward on man accounts.	Fund Administration
Renee Lobb	Senior Director	28-Apr-16	0.20	565	113.00	Trade-On	Respond to two pricing queries and update queries.	Investors
Glenn O'Kearney	Senior Director	03-May-16	1.00	565	565.00	Trade-On	Meeting at WMS regarding move to xero, management accounts, moving tax from PKF to WMS, ongoing arrangements.	Fund Administration
Glenn O'Kearney	Senior Director	04-May-16	0.10	565	56.50	Creditors	Correspondence from Singapore advisor - reply.	Advisors
Brittany Newman	Administration 1	09-May-16	0.10	145	14.50	Administration	Mail in from Suncorp Bank - Account Statement for Acct 452584158 for period 1/04/16 - 30/04/16	Administration
Brittany Newman	Administration 1	09-May-16	0.10	145	14.50	Administration	Mail in from Suncorp Bank - Account Statement for Acct 034684990 (Investor Account) for period 1/04/16 - 30/04/16	Fund Administration
Brittany Newman	Administration 1	09-May-16	0.10	145	14.50	Administration	Mail in from Suncorp Bank - Account Statement for Acct 034685008 (Working Account) for period 1/04/16 - 30/04/16	Administration
Brittany Newman	Administration 1	09-May-16	0.10	145	14.50	Administration	Mail in from Suncorp Bank - Account Statement for Acct 031139932 (Working Account) for period 1/04/16 - 30/04/16	Administration
Brittany Newman	Administration 1	09-May-16	0.10	145	14.50	Administration	Mail in from Suncorp Bank - Account Statement for Acct 503114996 (Account No 2) for period 1/04/16 - 30/04/16	Administration
Glenn O'Kearney	Senior Director	10-May-16	0.50	565	282.50	Creditors	Review advice from Peter Schmidt regarding commissions. note to Kelly/SH and reply to Peter. review previous advices etc.	Advisors

Glenn O'Kearney	Senior Director	11-May-16	1.00	565	565.00	Creditors	Work through fund payable registers, consultants registers. review available cash holdings - meet with JC to discuss payments.	Fund Administration
Glenn O'Kearney	Senior Director	12-May-16	0.10	565	56.50	Trade-On	Review ethical letter WMS regarding tax issues/puff/, discussions PKF regarding same.	Fund Administration
James Cook	Consultant 1	12-May-16	1.70	305	518.50	Administration	Attending to payments on fund, reviewing payables ledger, consultants.	Fund Administration
Glenn O'Kearney	Senior Director	13-May-16	1.50	565	847.50	Trade-On	Review SH cash reconciliation. review payment requests/invoices etc. discussions with JC regarding invoices to pay etc. review CPAIF NAV regarding payables and sign of on fund payments.	Fund Administration
James Cook	Consultant 1	13-May-16	2.10	305	640.50	Administration	Reviewing payable position in respect of fund, calculations, reviewing bank statements. Attending to preparation of payments from payables and consultant register.	Fund Administration
James Cook	Consultant 1	13-May-16	0.30	305	91.50	Administration	Attending to investor queries.	Investors
James Cook	Consultant 1	16-May-16	1.80	305	549.00	Administration	Attending to investor queries, updates. Member register and queries.	Investors
Glenn O'Kearney	Senior Director	16-May-16	0.20	565	113.00	Creditors	Discussions with JC regarding general responses to investors. review same.	Investors
James Cook	Consultant 1	17-May-16	0.50	305	152.50	Administration	Correspondence with investors re: queries with their holdings.	Investors
Glenn O'Kearney	Senior Director	17-May-16	0.10	565	56.50	Trade-On	Correspondence SH and Peter Schmidt regarding advisor issues/bounce back redemptions.	Advisors
Glenn O'Kearney	Senior Director	17-May-16	1.00	565	565.00	Trade-On	Correspondence to KWM regarding deeds Fx matter. various investor queries in discuss with JC. note to Kelly regarding general fund management issues and updates. correspondence Madhu regarding IT side. discussions with JC regarding TDL. filing of document	Fund Administration
James Cook	Consultant 1	18-May-16	0.30	305	91.50	Administration	Assisting GOK with bank statements, reviewing accounts for settlement proceeds to be paid into. Email to GOK, discussing.	Fund Administration
James Cook	Consultant 1	19-May-16	0.90	305	274.50	Administration	Correspondence with investors, queries, member transfer docs.	Investors
Glenn O'Kearney	Senior Director	19-May-16	0.10	565	56.50	Trade-On	Correspondence SH regarding servers/cash reconciliation.	Fund Administration
Brittany Newnan	Administration 1	20-May-16	0.10	145	14.50	Administration	Filing	Administration
Glenn O'Kearney	Senior Director	20-May-16	0.50	565	282.50	Trade-On	Correspondence petal regarding fees outstanding/handovers accounts etc. discuss with JC. 20	Fund Administration
Glenn O'Kearney	Senior Director	20-May-16	0.30	565	169.50	Trade-On	Review correspondence in from body regarding claims LM RE regarding op costs. drafting reply to BDO regarding same on behalf of funds.	FMIF
James Cook	Consultant 1	20-May-16	0.40	305	122.00	Administration	Updating Xero profile and account setup. liaising with Jetcovert, setting up authorities and access for WMS.	Fund Administration
Renee Lobb	Senior Director	23-May-16	0.10	565	56.50	Trade-On	Respond to investor query for update.	Investors

James Cook	Consultant 1	23-May-16	1.60	305	488.00	Administration	Liaising with CH re: CBA account manager and the novation of accounts from Perpetual to FTI representatives (incl liquidators) of LMIM as re: for fund. Liaising with Glenn re: same. Liaising with Trent Franklin of Perpetual re: same. Preparing paperwork.	Fund Administration
Glenn O'Kearney	Senior Director	23-May-16	1.00	565	565.00	Trade-On	Correspondence from ASIC regarding FOI. correspondence JRP. review correspondence JC regarding transfer of accounts PTAL to RE. discuss with JC regarding same. Correspondence SH/Schmidt regarding advisor call. correspondence investor queries/discuss with	ASIC
Renee Lobb	Senior Director	24-May-16	0.20	565	113.00	Trade-On	Respond to investor request for indicative valuation. Further investor response later in the day.	Investors
Glenn O'Kearney	Senior Director	24-May-16	0.50	565	282.50	Trade-On	Correspondence SH/Surety regarding IT issues. correspondence with Madhu regarding IT option moving forward for funds .correspondence with Scott mcMurtrie regarding taxation issues etc. instructions to WMS regarding send ethical letter. note JC regarding X	Fund Administration
Glenn O'Kearney	Senior Director	24-May-16	0.50	565	282.50	Creditors	Finalize letter to BDO regarding claims against funds for operational costs/LMA. note to JRP with draft.	FMIF
James Cook	Consultant 1	24-May-16	1.30	305	396.50	Administration	Updating Xero profile and account setup, setting up authorities and access for WMS. Liaising with WMS. Setting up bank feeds. Attending to investor queries, transfer requests and updates.	Fund Administration
Brittany Newman	Administration 1	25-May-16	0.10	145	14.50	Administration	Filing	Administration
Renee Lobb	Senior Director	25-May-16	0.80	565	452.00	Trade-On	Respond to pricing query. explain filtering of pricing through First Mortgage Income Fund to feeder funds. respond to a further 3 emails on CPAIF	Investors
Glenn O'Kearney	Senior Director	25-May-16	0.20	565	113.00	Trade-On	Finalize note to ASIC regarding FOI request. review changes letter to FMIF regarding claim against funds.	asic
James Cook	Consultant 1	25-May-16	0.30	305	91.50	Administration	Correspondence with investors. Providing updates. Attending to NAVs, updating payables register, fee register, consultants register. Discussing with GOK. Reviewing and updating with GOK, bank statements.	Investors
James Cook	Consultant 1	25-May-16	0.60	305	183.00	Administration	Attending to investor queries, transfer requests and updates.	Fund Administration
James Cook	Consultant 1	25-May-16	0.40	305	122.00	Administration	Filing	Investors
Brittany Newman	Administration 1	26-May-16	0.10	145	14.50	Administration	Meet with Kelly. discuss NAV, strategy on fund/way forward. Review and finalise response to Whyte on LMA funding. note JRP regarding approval to finalise.	Administration
Glenn O'Kearney	Senior Director	26-May-16	0.50	565	282.50	Trade-On	Meeting with GOK and KAT to discuss progress and outstanding tasks on file. Distribution to investors, provisions. Attending to investor queries.	Fund Administration
James Cook	Consultant 1	26-May-16	0.30	305	91.50	Administration	Review update in WMS regarding tax/other work. note JC regarding info requests etc. note to PKF regarding 2012 returns.	Fund Administration
James Cook	Consultant 1	26-May-16	0.30	305	91.50	Administration		Investors
Glenn O'Kearney	Senior Director	27-May-16	0.20	565	113.00	Trade-On		Fund Administration

James Cook	Consultant 1		27-May-16	0.60	305	183.00	Administration	Attending to investor queries, transfer requests and updates.	Investors
Glenn O'Kearney	Senior Director		30-May-16	0.50	565	282.50	Trade-On	Issue finalized correspondence to Whyte regarding claim for operational funding, review correspondence /draft deed KWM regarding western union matter, note to Cameron mew regarding deeds, work on/consider outline format for next investor report.	FMIF
James Cook	Consultant 1		31-May-16	0.30	305	91.50	Administration	Correspondence with WMS and assisting with info request.	Fund Administration
Renee Lobb	Senior Director		02-Jun-16	0.20	565	113.00	Trade-On	Reviewing records.	Investors
Renee Lobb	Senior Director		02-Jun-16	0.20	565	113.00	Trade-On	Respond to pricing and unit holding investor query.	Investors
Glenn O'Kearney	Senior Director		02-Jun-16	0.50	565	282.50	Trade-On	Respond to investor request for update.	
Renee Lobb	Senior Director		03-Jun-16	0.20	565	113.00	Trade-On	Drafting email to EY regarding audit progress and meeting etc. discussions with ASIC regarding meeting/audits etc. note to Kelly with draft EY and update ASIC.	Audit
Glenn O'Kearney	Senior Director		03-Jun-16	0.20	565	113.00	Trade-On	Review and respond to email on transfer.	Investors
James Cook	Consultant 1		03-Jun-16	1.80	305	549.00	Administration	Notes rob and KAT regarding asci, note to asci regarding meeting, review finalized note EY regarding audits.	ASIC
James Cook	Consultant 1		06-Jun-16	0.30	305	91.50	Administration	Correspondence with investors re: fund, updates, providing change to detail forms. Advising investors of revised valuation of NAVs relating to FMIF.	Investors
Renee Lobb	Senior Director		06-Jun-16	0.20	565	113.00	Trade-On	Correspondence with investors re: change of detail forms, transfers.	Investors
Brittany Newman	Administration 1		08-Jun-16	0.10	145	14.50	Administration	Respond to investor email regarding changing details, provide form and process.	Investors
Brittany Newman	Administration 1		08-Jun-16	0.10	145	14.50	Administration	Mail in from Suncorp Bank - Account Statement for Acct 034684990 for period 1/05/16 - 31/05/16	Administration
Brittany Newman	Administration 1		08-Jun-16	0.10	145	14.50	Administration	Mail in from Suncorp Bank - Account Statement for Acct 031139932 (Working Account) for period 1/05/16 - 31/05/16	Administration
Brittany Newman	Administration 1		08-Jun-16	0.10	145	14.50	Administration	Mail in from Suncorp Bank - Account Statement for Acct 034685008 (Working Account) for period 1/05/16 - 31/05/16	Administration
Glenn O'Kearney	Senior Director		08-Jun-16	0.50	565	282.50	Trade-On	Mail in from Suncorp Bank - Account Statement for Acct 503114996 for period 1/05/16 - 31/05/16	Administration
James Cook	Consultant 1		09-Jun-16	0.20	305	61.00	Administration	Correspondence with petal regarding asset reports, correspondence ASIC regarding mtg, review monthly account statements in.	asic
James Cook	Consultant 1		09-Jun-16	1.40	305	427.00	Administration	Correspondence with Caroline re: transferring CBA accounts from PTAL to Suncorp.	Fund Administration
Glenn O'Kearney	Senior Director		09-Jun-16	0.10	565	56.50	Trade-On	Attending to member registers, updating schedules, correspondence with custodians.	Fund Administration
Renee Lobb	Senior Director		13-Jun-16	0.20	565	113.00	Trade-On	Prepare note to PTAL regarding fees.	Fund Administration
James Cook	Consultant 1		13-Jun-16	0.10	305	30.50	Administration	Respond to investor email	Investors
Glenn O'Kearney	Senior Director		13-Jun-16	0.10	565	56.50	Trade-On	Correspondence with investors.	Investors
Renee Lobb	Senior Director		15-Jun-16	0.10	565	56.50	Trade-On	Review invest queries, note JC.	Investors
				0.10	565	56.50	Trade-On	Respond to investor query.	Investors

James Cook	Consultant 1	15-Jun-16	0.80	305	244.00	Administration	Attending to investor queries, transfer forms. Reviewing email from Vi Lu re: investor transferred and confirmed by BJR. Reviewing transfer forms, updating register and confirming change via email. Reviewing information on audit fees, preparing update on funds in preparation for meeting with ASIC.	Investors
James Cook	Consultant 1	15-Jun-16	0.30	305	91.50	Administration	Review previous updates to ASIC, review advice regarding audit relief. correspondence from FMIF regarding audit relief for guidance. prepare agenda and memo for KAT/RCL for meeting ASIC.	ASIC
Glenn O'Kearney	Senior Director	15-Jun-16	1.00	565	565.00	Trade-On	Respond to investor request to change details for bank distributions. send link for correct procedure in response.	Investors
Renee Lobb	Senior Director	17-Jun-16	0.20	565	113.00	Trade-On	Respond to 3 investor transfer request queries. send to JC to review and see if complete.	Investors
Renee Lobb	Senior Director	21-Jun-16	0.30	565	169.50	Trade-On	Review forms CBA petal bank account transfers. instructions to CH. drafting of memo to ASIC regarding holdings/constitution and updates auditor. review ASIC website regarding updates on fund etc. review s 331AD. correspondence Renée and Kelly. review constitution	Fund Administration
Glenn O'Kearney	Senior Director	21-Jun-16	0.50	565	282.50	Trade-On	Review reports WMS regarding Travelex accounts. review SH reconciling. review WU statements. correspondence to SH regarding next steps in reconciliation. correspondence SH regarding returned distributions.	Fund Administration
Glenn O'Kearney	Senior Director	22-Jun-16	1.00	565	565.00	Trade-On	Reviewing letter and changes to authorities on the account to Perpetual. Forwarding through to Perpetual with instructions. Liaising with CH.	Fund Administration
James Cook	Consultant 1	22-Jun-16	0.30	305	91.50	Administration	Finalize note to ASIC regarding constitutions/holdings/auditor/deregistration of fund.	ASIC
Glenn O'Kearney	Senior Director	23-Jun-16	0.10	565	56.50	Trade-On	Reviewing correspondence from Perpetual re: novation of accounts. Circulating to Suncorp and CBA to action.	Fund Administration
James Cook	Consultant 1	23-Jun-16	0.20	305	61.00	Administration	Discuss fund updates/investor queries with JC/TDL with rob.	Investors
Glenn O'Kearney	Senior Director	24-Jun-16	0.20	565	113.00	Trade-On	Respond two investor queries.	Investors
Renee Lobb	Senior Director	27-Jun-16	0.30	565	169.50	Trade-On	Reviewing bank statements and workpapers for meeting with Donna. Update across the files, including novation of bank accounts. Providing additional information with instructions to novate accounts. Locating formal correspondence with PTAL and circulating through.	Fund Administration
James Cook	Consultant 1	27-Jun-16	0.50	305	152.50	Administration	Working through management accounts, setting up final bank feeds. Correspondence with Brendon re: queries, comments.	Fund Administration
James Cook	Consultant 1	27-Jun-16	0.20	305	61.00	Administration		Fund Administration

Renee Lobb	Senior Director	28-Jun-16	0.30	565	169.50	Trade-On	Flag with Kelly-Anne Trenfield transfer and registry update process for GC handover meeting tomorrow. review transfer and registry change requests and respond to requests advising being held till handover internally takes place.	Fund Administration
James Cook	Consultant 1	28-Jun-16	0.20	305	61.00	Administration	Correspondence with CH re: transferring CBA accounts over from PTAL to FTI.	Fund Administration
Renee Lobb	Senior Director	29-Jun-16	0.20	565	113.00	Trade-On	Respond to enquiry on pricing.	Investors
Glenn O'Kearney	Senior Director	29-Jun-16	0.10	565	56.50	Administration	Update in from Cameron regarding WU deed.	legal
James Cook	Consultant 1	30-Jun-16	0.40	305	122.00	Administration	Liaising with EY re: removal as auditors. Arranging meeting time. Liaising with Caroline re: authorities on CBA account, PTAL. Correspondence with PTAL, preparing email to forward removing authorities.	Audit
Glenn O'Kearney	Senior Director	01-Jul-16	0.20	565	113.00	Trade-On	Follow up WMS and PKF regarding tax handovers. correspondence Renee Lobb regarding various investor queries. review deed KWIM - note	Fund Administration
Glenn O'Kearney	Senior Director	06-Jul-16	0.30	565	169.50	Trade-On	Call form SH to discuss outstanding issues and set up mtg next steps. review investor queries etc. correspondence with KWIM regarding FX/WU matter final amendments to deeds. correspondence PKF regarding handover WMS.	Fund Administration
Renee Lobb	Senior Director	08-Jul-16	0.20	565	113.00	Trade-On	Responding to transfer register queries. seek further information and respond.	Investors
James Cook	Consultant 1	11-Jul-16	1.00	305	305.00	Administration	Reviewing outstanding invoices; reviewing payables registers. Reviewing additional invoices received and updating register. Updating NAVs.	Fund Administration
Neil Dempster	Consultant 1	11-Jul-16	0.10	305	30.50	Creditors	Call from investor 124573 - note no further update can be provided at this stage - advise re delays	Investors
Glenn O'Kearney	Senior Director	11-Jul-16	0.30	565	169.50	Trade-On	Review Deeds western union matter. review bank statement in - discuss J.C. call from Jeremy holiday regarding auditors/audits etc.	legal
Renee Lobb	Senior Director	12-Jul-16	0.40	565	226.00	Trade-On	Respond to investor query on CPAIF. Review email from investor and ask James Cook for confirmation on registry changes. Further email response on updates. provide our and receivers website's for review and explain CPAIF First Mortgage Income Fund link.	Investors
James Cook	Consultant 1	12-Jul-16	0.70	305	213.50	Administration	Attending to NAVs. Meeting with Steve re: outstanding matters.	Fund Administration
Glenn O'Kearney	Senior Director	12-Jul-16	1.00	565	565.00	Trade-On	Review and collate documents Western union reconciliation/returned distributions - in prep for meeting with Steve. meet with Steve and discuss western union reconciliation, returned distributions, valuation issues.	Fund Administration
Renee Lobb	Senior Director	13-Jul-16	0.30	565	169.50	Trade-On	Respond to 3 investor queries.	Investors
James Cook	Consultant 1	13-Jul-16	0.70	305	213.50	Administration	Reviewing outstanding invoices, reviewing payables registers. Reviewing additional invoices received and updating register. Attending to payment and transfers.	Investors

Glenn O'Kearney	Senior Director	13-Jul-16	0.50	565	282.50	Creditors	Review latest BDO report for discussion in feeder funds reports. Attending to fees and on costing for 1 Jan 16 to 30 June 16.	FMIF
James Cook	Consultant 1	14-Jul-16	0.60	305	183.00	Administration	Updating report to investors with NAVs. Drafting investor report. reviewing NAV's, Registers etc. correspondence with JC/WMS regarding accounting/audit info requests etc.	Fund Administration
Glenn O'Kearney	Senior Director	14-Jul-16	1.50	565	847.50	Trade-On	Review email on returned funds for CPAIF investors and proposed email blast to locate.	Investors
Renee Lobb	Senior Director	15-Jul-16	0.30	565	169.50	Trade-On	Review bounced payment info SH. instruction to team.	Fund Administration
Glenn O'Kearney	Senior Director	15-Jul-16	0.30	565	169.50	Creditors	Finalize review of NAV's registers a. finalizing report to unit holders. note to SH regarding review. note to Kelly and Renee regarding reports. reconcile Fund WIP to Jun 16. prepare allocations of same. note to Kelly regarding final allocations.	Fund Administration
Glenn O'Kearney	Senior Director	15-Jul-16	1.00	565	565.00	Trade-On	Review email from James to investors regarding funds returned to be distributed to unclaimed monies. request full list as well as master register to update details.	Investors
Renee Lobb	Senior Director	18-Jul-16	0.20	565	113.00	Trade-On	Review report for investors. respond to GOK and Kelly-Anne Trenfield with my comments on amendments and additions.	Investors
Renee Lobb	Senior Director	18-Jul-16	0.20	565	113.00	Trade-On	Phone call from investor - note timing for wind up of this fund dependant on receivers - direct to website via email	Investors
Neil Dempster	Consultant 1	18-Jul-16	0.20	305	61.00	Creditors	Travel to Suncorp branch to verify identity for access to accounts	Fund Administration
Neil Dempster	Consultant 1	18-Jul-16	0.10	305	30.50	Administration	Comment sin from SH and Robb regarding report. not peter Schmidt. review investor correspondence.	Investors
Glenn O'Kearney	Senior Director	18-Jul-16	0.10	565	56.50	Trade-On	Preparing email to investors re: updated information for returned distributions. Correspondence with advisors, investors re: same. Collating information, circulating to Renee and Neil - clarifying and discussing way forward.	Investors
James Cook	Consultant 1	18-Jul-16	1.90	305	579.50	Administration	Review and accept links for access to Xero accounts. create new logins. Also leave message with Suncorp to make time to ID myself for account access.	Fund Administration
Renee Lobb	Senior Director	19-Jul-16	0.10	565	56.50	Trade-On	Circularise investors whose new emails have been received, request bank account details for returned payments via email blast after consultation with James Cook.	Investors
Renee Lobb	Senior Director	19-Jul-16	0.20	565	113.00	Trade-On	Note considerable backlog of registry changes and investor responses to JC and GOK. Request they send all unactioned emails to myself and Neil Dempster to get registry up to date.	Investors
Renee Lobb	Senior Director	19-Jul-16	0.10	565	56.50	Trade-On	Draft email to Steve Turbury who represents clients with no bank details. Noting that unlikely that we would send a cheque and request bank details	Investors
Neil Dempster	Consultant 1	19-Jul-16	0.20	305	61.00	Creditors		Investors

James Cook	Consultant 1	19-Jul-16	1.10	305	335.50	Administration	Coordinating handover and setting up of authorities with Renee and Neil. Attending to member register updates, correspondence with advisors and investors re: request for updating account details for returned distributions. Liaising with Renee further. Reviewing outgoing.	Administration
Talia Glover	Administration 1	19-Jul-16	0.50	145	72.50	Administration	Updated report being sent out by AU - requested help to label 150 envelopes for NZ	Investors
Renee Lobb	Senior Director	20-Jul-16	0.20	565	113.00	Administration	Travel to and from gold coast for meetings with gold coast staff and accountants.	Administration
Renee Lobb	Senior Director	20-Jul-16	0.60	565	339.00	Investigations	Meeting with WMS then TMR regarding completion of financials, additional information required, audits completed and to be completed and strategies and timing for completion of same. provide fund background.	Fund Administration
Renee Lobb	Senior Director	20-Jul-16	0.20	565	113.00	Administration	Review draft query responses by NGD and approve for sending after changes made. Also look up investor for Neil Dempster and advise fund to enable response.	Investors
Neil Dempster	Consultant 1	20-Jul-16	0.10	305	30.50	Creditors	Email to RCL ask for clarification on request of Tsing Ho from TTG wealth management	Investors
Glenn O'Kearney	Senior Director	20-Jul-16	0.50	565	282.50	Trade-On	Travel to WMS/. meet with WMS regarding status/handover to tax/man account matters. meet with auditors to discuss proposed way forward.	Fund Administration
Renee Lobb	Senior Director	21-Jul-16	0.50	565	282.50	Trade-On	Review registers and account detail update requests. talk through process with Neil Dempster and update register for changes. confirm with requesting parties that changes to registry made.	Fund Administration
Neil Dempster	Consultant 1	21-Jul-16	0.30	305	91.50	Creditors	Instructions from RCL on how to search master register for investor IDs, search for Goh Poh Lin Pauline acct and save new version. file correspondence	Fund Administration
Glenn O'Kearney	Senior Director	21-Jul-16	1.00	565	565.00	Trade-On	Draft reply to ASIC regarding update audits. correspondence Steve Hannan regarding western union reconciliation. collate info regarding returned distributions. update report to investors with NR and other changes. circulate with comments. correspondence R	ASIC
Renee Lobb	Senior Director	22-Jul-16	1.20	565	678.00	Trade-On	Making updates to member register after email blast. updating addresses, bank details etc and confirm with client after update made. Speak with James Cook regarding finding current amount owed to CPAIF returned distributions investors. confirm with one ad	Investors
Kelly-Anne Trenfield	Senior Managing Director	22-Jul-16	0.20	625	125.00	Creditors	Review, amend and sign RTC	Investors
Neil Dempster	Consultant 1	22-Jul-16	0.50	305	152.50	Creditors	Update investor details for 4 investors. detailed look for Richard Cox and Eileen Lovegrove. cannot locate, email to Steve Tutbury to request further information	Investors
Glenn O'Kearney	Senior Director	22-Jul-16	0.20	565	113.00	Trade-On	Review KAT amendments report. note Renee regarding instructions to send.	Investors

Ashleigh Ubank	Administration 2	25-Jul-16	1.40	180	252.00	Administration	Report amended and finalised. Mailing labels spreadsheet formatted.	Investors
Renee Lobb	Senior Director	25-Jul-16	0.20	565	113.00	Investigations	Review email from Steve Hannan on returning unaccounted for CPAIF funds returned from western union into CPAIF "pot" of funds as no specific investor link. Query Glenn to confirm same.	Fund Administration
Renee Lobb	Senior Director	25-Jul-16	0.40	565	226.00	Trade-On	Get latest registry data and separate out advisor and investor emails and postal only investors. Draft email ready to send update to investors and advisors. Remove duplicate data and seek confirmation from Kelly-Anne Trenfield to finalise updates and send Fund Administration	Fund Administration
Neil Dempster	Consultant 1	25-Jul-16	0.80	305	244.00	Creditors	Updating of 8 investor change in details, get investor change form from file (mistakenly filed) compile with rest	Investors
Neil Dempster	Consultant 1	25-Jul-16	0.10	305	30.50	Creditors	Review email from Ferne re deceased estate of Lilian Potts, confirm with RCL that i can change details. update register and email response to him. Request confirmation of change of address, print email	Investors
Melinda Jones	Administration 1	26-Jul-16	3.60	145	522.00	Creditors	Email to TTG wealth management that Andrew Charles Jobson still listed as their client under CPAIF	Investors
Ashleigh Ubank	Administration 2	26-Jul-16	5.20	180	936.00	Creditors	Creditors Reports mail out	Investors
Renee Lobb	Senior Director	26-Jul-16	1.30	565	734.50	Trade-On	Report prepared for send out, issued to mail and faxed to relevant parties.	Investors
Renee Lobb	Senior Director	26-Jul-16	1.20	565	678.00	Trade-On	Travel to and from Gold Coast office for file handover. go through outstanding issues on each fund and LM Investment Management Ltd corporate, go through file handover memos, discuss critical issues, distributions, calculations of NAV's and whereabouts of	Administration
Caroline Halcoop	Administration 2	26-Jul-16	1.00	180	180.00	Administration	Responding to 10 queries from investors following email sent out with update last night. Respond to advisors wanting lists of clients handled.	Investors
Brittany Newman	Administration 1	26-Jul-16	0.10	145	14.50	Administration	Assisting AU throughout the day with report for 700 creditors - franking/stuffing/labelling	Investors
Melinda Jones	Administration 1	27-Jul-16	0.50	145	72.50	Creditors	Filing	Administration
Renee Lobb	Senior Director	27-Jul-16	1.40	565	791.00	Trade-On	Creditors reports for mail out	Investors
Renee Lobb	Senior Director	27-Jul-16	0.80	565	452.00	Trade-On	Respond to investor queries on fund NAV and timing of distributions/BDO involvement impact on same. respond to query regarding class action. advise not aware of any. confirm process to update email details. Confirm no advice can be provided on avenues for	Investors
Renee Lobb	Senior Director	27-Jul-16	0.80	565	452.00	Trade-On	Reviewing circa 30 emails with fund queries and transfer or change of contact requests. make 6 changes to registry, request additional information on some where bulk changes required, search for investors to identify funds and respond to queries.	Investors

Renee Lobb	Senior Director	28-Jul-16	1.20	565	678.00	Trade-On	Respond to investor query. provide proper form to complete for change of bank details and advise no distributions till First Mortgage Income Fund distributes. Respond to 8 further emails along these lines. Locate and respond to query on launch date of CPAI	Investors
Renee Lobb	Senior Director	29-Jul-16	0.50	565	282.50	Trade-On	Respond to investor in leighmans terms what update means for CPAIF investors. Take call from new Zealand investor and explain in detail the update and impact of same.	Investors
Renee Lobb	Senior Director	01-Aug-16	0.80	565	452.00	Trade-On	Respond to investor queries x 2. Detailed review of information provided by Steve Tutbury in response to returned distribution email. Note cannot locate after comprehensive search of our records.	Investors
Neil Dempster	Consultant 1	01-Aug-16	0.10	305	30.50	Trade-On	Email to RCL with suggestions to responding to Steve Tutbury re investor 100255470 (cannot confirm ID)	Investors
Neil Dempster	Consultant 1	01-Aug-16	0.10	305	30.50	Trade-On	Suncorp - add self to transactional accounts for LM CPAIF	Fund Administration
Renee Lobb	Senior Director	02-Aug-16	0.20	565	113.00	Administration	Review David whites report to investors.	fmif
Renee Lobb	Senior Director	03-Aug-16	0.40	565	226.00	Trade-On	Call with Mamta Patel. locate investment and provide details of same. Provide fund update and send email with change of details form to ensure future updates and distributions received.	Investors
Renee Lobb	Senior Director	03-Aug-16	0.10	565	56.50	Investigations	Review and file all documentation from GOK on various matters retrieved from GC office. Directions to Ellie Dopking to open files to track transfer requests and fund payables.	Fund Administration
Neil Dempster	Consultant 1	03-Aug-16	0.20	305	61.00	Trade-On	Email to Renee regarding Richard cox and Eileen lovegrove. note that these investors investments appear to be quite old and may have matured some time ago. Ask if we can verify if they even are investors	Investors
Renee Lobb	Senior Director	04-Aug-16	0.20	565	113.00	Trade-On	Review further Neil Dempster queries on investors we are unable to locate. request assistance of Steve Hannan to confirm if they are still invested in the fund.	Investors
Renee Lobb	Senior Director	05-Aug-16	0.60	565	339.00	Investigations	Sorting through records from gold coast office. create and file registry maintenance forms including completed transfers and those requiring further information. Arrange records to be sent to storage which are not required. Get additional folders made due	Administration
Neil Dempster	Consultant 1	05-Aug-16	0.20	305	61.00	Trade-On	Discuss Steve Hannan's email regarding our request to locate investors. Email to Steve Tutbury confirm Eileen Lovegrove and Richard Cox have both exited the CPAIF	Investors
Renee Lobb	Senior Director	09-Aug-16	0.20	565	113.00	Trade-On	Respond to investor query on mail misdirected. provide forwarding address,	Investors
Renee Lobb	Senior Director	11-Aug-16	0.40	565	226.00	Trade-On	Respond to CPAIF mail out queries.	Fund Administration
Neil Dempster	Consultant 1	11-Aug-16	0.10	305	30.50	Trade-On	Update details - Mamta Bhika	Investors

Neil Dempster	Consultant 1	11-Aug-16	0.20	305	61.00	Trade-On	Margaret and David Norman - review details, update emails as required, respond to email and direct to latest update	Investors
Neil Dempster	Consultant 1	11-Aug-16	0.20	305	61.00	Trade-On	Email to Brian Chapman at Collinswealth management, note that error on report address request him to discard report.	Investors
Neil Dempster	Consultant 1	16-Aug-16	0.10	305	30.50	Trade-On	Email to Rebecca Stilton - confirm her address ask for email for future reports	Investors
Neil Dempster	Consultant 1	16-Aug-16	0.20	305	61.00	Administration	Discuss with RCL what is required for invoicing on the fund with respect to remuneration tables and time data	Remuneration
Ashleigh Ubank	Administration 2	23-Aug-16	0.30	180	54.00	Creditors	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Neil Dempster	Consultant 1	24-Aug-16	0.50	305	152.50	Trade-On	Fund transfer request draft email to RCL after review of file for Suffolk Like investor.	Investors
Neil Dempster	Consultant 1	24-Aug-16	0.20	305	61.00	Trade-On	Email to Liz Darney - confirm her clients unit holdings, provide latest update for them.	Investors
Renee Lobb	Senior Director	29-Aug-16	0.20	565	113.00	Trade-On	Respond to estate lawyer regarding new account details for held CPAIF payment from 2013. Update register.	Investors
Renee Lobb	Senior Director	29-Aug-16	0.60	565	339.00	Administration	Fees and OOPs reporting for 01/01/16 to 30/06/16. finalise all attachments, ARITA tables, pivot tables and supporting documents and send to Kelly-Anne Trenfield for sign off.	Remuneration
Renee Lobb	Senior Director	30-Aug-16	0.30	565	169.50	Administration	Go through transfer process with Neil Dempster. review same together and send Neil Dempster transfer and registry update requests outstanding to be processed and confirmed with investors/advisers.	Fund Administration
Renee Lobb	Senior Director	30-Aug-16	0.20	565	113.00	Administration	Pay KWM invoice and update fund payable registers. Arrange reimbursement to LMIM for CPAIF portion paid.	Fund Administration
Neil Dempster	Consultant 1	30-Aug-16	0.40	305	122.00	Trade-On	Review docs provided by AWF solicitors re Patterson deceased estate - docs appear to be in order just need to confirm typo on page 1 of transfer form. Discuss with RCL and email to solicitor for this confirmation	Investors
Neil Dempster	Consultant 1	30-Aug-16	0.50	305	152.50	Trade-On	Review of transfer request to Adrienne Morton from GT Nominees Limited. No phone number or email address - no certified photo ID. Discuss with RCL write letter to investor requesting this and her BSB/phone number	Investors
Neil Dempster	Consultant 1	30-Aug-16	0.10	305	30.50	Trade-On	Email to Tina Hendry request she complete change of details form for email	Investors
Neil Dempster	Consultant 1	30-Aug-16	0.20	305	61.00	Trade-On	Update details for Rebecca Stilton - email to confirm - print and file	Investors
Neil Dempster	Consultant 1	30-Aug-16	0.30	305	91.50	Trade-On	Review investor listing confirm to SEB life international that the holdings they have sent me are with CPAIF and will only receive a distribution if FMIF makes one to unit holders.	Investors
Neil Dempster	Consultant 1	31-Aug-16	0.30	305	91.50	Trade-On	Email to Suffolk Nominees provide estimated unit pricing at time closest to their transfer after discussion with RCL	Investors

Renee Lobb	Senior Director	01-Sep-16	0.20	565	113.00	Trade-On	Check registry for details held for Estate of EM Thomson. Confirm via response information required to change beneficiary account to executor for future distributions.	Investors
Neil Dempster	Consultant 1	01-Sep-16	0.20	305	61.00	Trade-On	Confirm process for transfers for RCL - note that in deceased estate instances if already reflected in register then change of details all that is needed	Investors
Neil Dempster	Consultant 1	01-Sep-16	0.20	305	61.00	Trade-On	Update register investor 120673 - print and file	Investors
Renee Lobb	Senior Director	06-Sep-16	0.10	565	56.50	Trade-On	Respond to platform holder. confirm no changes to unit holding. price holdings per July update.	Investors
Renee Lobb	Senior Director	06-Sep-16	0.20	565	113.00	Administration	Finalise invoicing, update master and other payment registers. print for file and withhold those for payment.	Fund Administration
Neil Dempster	Consultant 1	06-Sep-16	0.20	305	61.00	Trade-On	Email to Di Zhu regarding Wright Family Trust transfer - going to trustee need trust deed	Investors
Neil Dempster	Consultant 1	06-Sep-16	0.10	305	30.50	Trade-On	Edits to letter 1 to investor ID 133212 for further info for transfer - finalise	Investors
Neil Dempster	Consultant 1	06-Sep-16	0.10	305	30.50	Trade-On	Discuss with RCL email to SEM international to confirm the accounts he requires confirmation on regarding unit holdings in fund	Investors
Neil Dempster	Consultant 1	06-Sep-16	0.20	305	61.00	Trade-On	Call from Ian Mackrell - subsequent email with most recent update - ask him to confirm details	Investors
Neil Dempster	Consultant 1	06-Sep-16	0.10	305	30.50	Trade-On	Email to Ian Mackrell noting current email and postal address for him	Investors
Neil Dempster	Consultant 1	09-Sep-16	0.10	305	30.50	Trade-On	Scan copy of letter to Adrienne Morton. File	Investors
Renee Lobb	Senior Director	13-Sep-16	0.20	565	113.00	Assets	Review, save and print for signing Western Union Settlement Deed. Send response to Cameron Mew at KWM advising execution is being actioned. Query both liquidators to sign with KWM/ legal	legal
Renee Lobb	Senior Director	14-Sep-16	0.20	565	113.00	Assets	Scan, save, do file copy, email executed copy and mail executed copy to KWM of Western Union Deed.	legal
Renee Lobb	Senior Director	15-Sep-16	0.30	565	169.50	Trade-On	Respond to investor query on recent updates x 2.	Investors
Neil Dempster	Consultant 1	15-Sep-16	0.20	305	61.00	Trade-On	Transfer Wright Family Trust investor ID.126710	Investors
Neil Dempster	Consultant 1	15-Sep-16	0.20	305	61.00	Trade-On	Check investor ID numbers for SEB life international, confirm to Colin Hayden that all numbers are with the CPAIF	Investors
Neil Dempster	Consultant 1	15-Sep-16	0.20	305	61.00	Trade-On	Update register Tina Hendry email address	Investors
Neil Dempster	Consultant 1	16-Sep-16	0.50	305	152.50	Trade-On	Email to FNZ re transfers for vasavour family trust and Mrs H Brodsky-Pevzner after review of documentation supplied. give list of information required to effect transfer	Investors
Renee Lobb	Senior Director	19-Sep-16	0.10	565	56.50	Trade-On	Respond to investor query on bounced 2016 distributions.	Investors
Renee Lobb	Senior Director	21-Sep-16	3.70	565	2,090.50	Investigations	Going through handover memo. note unpaid distributions previously returned and make master register of same. Locate initial listing after noticing discrepancy between blast email spreadsheet and handover number of investors missing distributions. create	Fund Administration

Neil Dempster	Consultant 1	21-Sep-16	0.40	305	122.00	Trade-On	Complete transfers investor ID100244888 and ID 100245794	Investors
Neil Dempster	Consultant 1	21-Sep-16	0.10	305	30.50	Administration	Filing of ~50 email from RCL to investors regarding capital distribution bounce back	Investors
Renee Lobb	Senior Director	22-Sep-16	0.70	565	395.50	Investigations	Review and respond to emails with new account details. Make contact with further contacts received in an effort to obtain account details. save new account information and forward to Neil Dempster with instructions to update registers.	Fund Administration
Renee Lobb	Senior Director	23-Sep-16	0.50	565	282.50	Trade-On	Respond to query on deceased estate transfer to be resent. Save down emails for new account details for 2011/13 distributions requiring reissue. send to Neil Dempster and discuss process for ensuring all spreadsheets up to date for uploads in the future.	Investors
Renee Lobb	Senior Director	23-Sep-16	0.30	565	169.50	Investigations	Reviewing accounts in CBA and combioz to try and reconcile amounts held in bounced back distributions to CPAIF spread sheet.	Fund Administration
Neil Dempster	Consultant 1	23-Sep-16	0.20	305	61.00	Trade-On	Email to Melanie Huang at Aegis advise I require completed application form and photo id to make transfer.	Investors
Neil Dempster	Consultant 1	23-Sep-16	3.00	305	915.00	Trade-On	Update CPAIF register and master register with 10 transfer forms.	Fund Administration
Neil Dempster	Consultant 1	26-Sep-16	0.20	305	61.00	Trade-On	Further 3 investor applications reviewed and requested further information to be provided.	Investors
Renee Lobb	Senior Director	26-Sep-16	0.30	565	169.50	Trade-On	Update details Kathryn Friend	Investors
Neil Dempster	Consultant 1	27-Sep-16	0.10	305	30.50	Trade-On	Respond to investor query as to why account details for returned distributions cannot be traced. explain fees involved outweighing some distributions. Review enquiry from advisor. look up specific investment details and confirm currency his client is inve	Investors
Neil Dempster	Consultant 1	27-Sep-16	0.20	305	61.00	Trade-On	Email to kathryn friend re current distribution and cpaif holding in imif for future dist.	Investors
Neil Dempster	Consultant 1	28-Sep-16	0.20	305	61.00	Trade-On	Email to Rebecca Lyon at Aegis re pricing for CPAIF and the FMIF distribution	Investors
Neil Dempster	Consultant 1	28-Sep-16	0.20	305	61.00	Trade-On	Draft response krystal morris, can't locate her investor in CPAIF.	Investors
Neil Dempster	Consultant 1	28-Sep-16	0.20	305	61.00	Trade-On	Update details Nathan Palemia - send confirmation to advisor	Investors
Neil Dempster	Consultant 1	28-Sep-16	0.20	305	61.00	Trade-On	Update master register for re-processing for Nathan Palemia - email confirmation to advisor	Investors
Neil Dempster	Consultant 1	28-Sep-16	0.30	305	91.50	Trade-On	Locate investor Jennifer Roy - update register with supplied details from advisor. Update master register	Investors
Neil Dempster	Consultant 1	28-Sep-16	0.10	305	30.50	Trade-On	Email to Donna at Suncorp request assistance with bank access	Fund Administration
Neil Dempster	Consultant 1	28-Sep-16	0.20	305	61.00	Trade-On	Draft email to go to Christine Clouston from Forsyth Barr regarding updated details for Joanna Buck and other investors	Investors
Neil Dempster	Consultant 1	28-Sep-16	0.10	305	30.50	Trade-On	Print change of details form investor ID 124604 - awaiting confirmation from advisor	Investors

Neil Dempster	Consultant 1	28-Sep-16	0.10	305	30.50	Trade-On	Email to Christine Clouston confirm if her client did not receive an email no distribution to be resent	Investors
Neil Dempster	Consultant 1	28-Sep-16	0.10	305	30.50	Trade-On	Email to Neil smith - confirm timing for distribution	Investors
Renee Lobb	Senior Director	28-Sep-16	0.30	565	169.50	Trade-On	Review and look up investment using unit holding details. respond to adviser regarding transfer request noting they are to contact Vidacous Nominees to obtain correct investment details and consent for transfer. discussion with Neil Dempster and review	Investors
Renee Lobb	Senior Director	28-Sep-16	0.10	565	56.50	Investigations	Review and respond to Cameron Mew's email on Western union monies to be returned and timing on same. save fully executed agreements and discuss with Neil Dempster work required to reconcile and distribute funds when received. do bring up for funds receipt	legal
Renee Lobb	Senior Director	28-Sep-16	0.20	565	113.00	Trade-On	Review Neil Dempster draft response to investor and add further detail on contacting of investors who are due distributions and process for updating details.	Investors
Neil Dempster	Consultant 1	29-Sep-16	1.50	305	457.50	Trade-On	Review updated account details for 12 investors. No investor IDs so manual location required. All forms appear incorrectly incomplete - email to advisor to have them amended. Process update details for Koyabashi Mamura, update in master register and prov	Investors
Neil Dempster	Consultant 1	29-Sep-16	0.10	305	30.50	Trade-On	Email to 'the nazare trust' ask for update bank details	Investors
Renee Lobb	Senior Director	29-Sep-16	0.10	565	56.50	Trade-On	Respond to investor query on pricing.	Investors
Renee Lobb	Senior Director	29-Sep-16	0.20	565	113.00	Investigations	Review transfer/account update requests received by Neil Dempster advise to respond to adviser noting insufficient information and not in the correct fields to update out records. Amended forms to be requested to support accurate record keeping.	Investors
Renee Lobb	Senior Director	30-Sep-16	1.10	565	621.50	Investigations	Review Western Unions Deed and file note. locate documentation setting out western union monies to be resent. send email to KWM confirming funds received after CBA accounts checked. send note to Kelly-Anne Trenfield on funds received and proposed redistribution	legal
Neil Dempster	Consultant 1	30-Sep-16	0.30	305	91.50	Trade-On	Email to Christine Clouston confirming that previous change of details forms incorrect and why they were incorrect. Advise change of investor details can be updated with her confirmation but if bank details updating then they are incorrect and need to be	Investors
Neil Dempster	Consultant 1	30-Sep-16	0.20	305	61.00	Administration	Call to Suncorp get banking password reset	Fund Administration
Renee Lobb	Senior Director	04-Oct-16	0.20	565	113.00	Trade-On	Go thorough all FNZ holdings and sent to FNZ rep.	Investors
Neil Dempster	Consultant 1	04-Oct-16	0.50	305	152.50	Administration	Update member register x12 change advisor address only	Investors
Neil Dempster	Consultant 1	05-Oct-16	0.10	305	30.50	Trade-On	Email to Christine Clouston (Forsyth Barr) confirm bank details for 12 clients not changed	Investors
Neil Dempster	Consultant 1	05-Oct-16	0.20	305	61.00	Trade-On	Update details Andy hatherall - email to confirm	Investors

Neil Dempster	Consultant 1	05-Oct-16	0.20	305	61.00	Trade-On	Email to Michael Baum request confirmation of details as form illegible	Investors
Renee Lobb	Senior Director	06-Oct-16	0.50	565	282.50	Investigations	Reviewing all western union monies documentation and save to file. note action tasks and document in email to Steve seeking further assistance and information for ASPF and AIF.	Fund Administration
Neil Dempster	Consultant 1	06-Oct-16	0.10	305	30.50	Trade-On	Email I to OMI International note can't confirm transfer as no ID provided	Investors
Neil Dempster	Consultant 1	06-Oct-16	0.10	305	30.50	Trade-On	Discuss timing for CPAIF payment with RCL	Administration
Renee Lobb	Senior Director	07-Oct-16	0.20	565	113.00	Trade-On	Check investor and locate fund. respond to advisor update details request and save email. confirm with Neil Dempster to update details for resending of distribution payments.	Investors
Neil Dempster	Consultant 1	07-Oct-16	0.30	305	91.50	Trade-On	Review registry details for John Bruni, investment in CPAIF in USD likely bounce back due to AUD bank account. Ask for appropriate details	Investors
Neil Dempster	Consultant 1	07-Oct-16	0.20	305	61.00	Trade-On	Update details michael baum	Investors
Neil Dempster	Consultant 1	07-Oct-16	0.20	305	61.00	Trade-On	Update register Adrienne Morton	Investors
Neil Dempster	Consultant 1	07-Oct-16	0.30	305	91.50	Trade-On	Email from CF Legal re transfer to charities from deceased estate, consideration and discussion with RCL. Email requesting details of executor for estate	Investors
Neil Dempster	Consultant 1	07-Oct-16	0.20	305	61.00	Administration	Add details for John Bruni to re-distribution register. Email to investor to confirm update	Investors
Neil Dempster	Consultant 1	07-Oct-16	0.10	305	30.50	Trade-On	Email to John Bruni with fund info and most recent update	Investors
Neil Dempster	Consultant 1	07-Oct-16	0.20	305	61.00	Trade-On	Update details Ian McGregor	Investors
Renee Lobb	Senior Director	10-Oct-16	0.20	565	113.00	Administration	Entering payables in fee registers and fund payables registers. saving invoices down for future reference.	Fund Administration
Renee Lobb	Senior Director	11-Oct-16	0.10	565	56.50	Trade-On	Check register to ensure no investments held under adviser Evan Bailey per request for changes under this name or Bailey Capital Management.	Investors
Neil Dempster	Consultant 1	11-Oct-16	0.20	305	61.00	Trade-On	Email to Shirley Rose with details for transfer of holdings from deceased estate	Investors
Renee Lobb	Senior Director	12-Oct-16	0.30	565	169.50	Trade-On	Respond to investor new details form regarding CPAIF returned monies. instruct Neil Dempster to update registry and save down email with details received for our records. Review and approve for sending Neil Dempster response to investor.	Investors
Neil Dempster	Consultant 1	12-Oct-16	0.20	305	61.00	Trade-On	FNZ transfer request, can't locate investor, searching comes with investor which may be subject of transfer request. Due to expire years before appointment, draft response	Investors
Renee Lobb	Senior Director	13-Oct-16	0.20	565	113.00	Administration	Emails with Caroline Halcoop on forex transfers and additional details needed.	Fund Administration
Neil Dempster	Consultant 1	13-Oct-16	0.10	305	30.50	Trade-On	Confirm account name for transfer for CH to make international transfer	Investors
Renee Lobb	Senior Director	14-Oct-16	0.30	565	169.50	Assets	Discuss with Caroline Halcoop issues with account access and how to resolve.	Fund Administration

Renee Lobb	Senior Director	14-Oct-16	0.20	565	113.00	Trade-On	Assisting Neil Dempster in response to investor queries. Responding to investor queries after change of account details forwarded.	Investors
Renee Lobb	Senior Director	14-Oct-16	0.20	565	113.00	Trade-On	Email to Charlotte at OMI regarding requirements for transfers to be effected	Investors
Neil Dempster	Consultant 1	14-Oct-16	0.20	305	61.00	Trade-On	Updated transfer form Eleanor Miller	Investors
Neil Dempster	Consultant 1	14-Oct-16	0.20	305	61.00	Trade-On	Draft response re Thiam Szentirmy to RCL for review re transfer	Investors
Renee Lobb	Senior Director	17-Oct-16	0.30	565	169.50	Trade-On	Respond to investor query regarding updating details. Provide form for same and link to updates issued to date. Save down and respond to email with updated account details.	Investors
Renee Lobb	Senior Director	17-Oct-16	0.20	565	113.00	Trade-On	Review email from WMS with invoices. send email to Kelly-Anne Trenfield with fund cash position. arrange payment from Suncorp.	Fund Administration
Neil Dempster	Consultant 1	18-Oct-16	0.20	305	61.00	Trade-On	Email to OMI with checklist of docs for what is required to effect transfer, ask for ID or acct number	Investors
Renee Lobb	Senior Director	19-Oct-16	0.20	565	113.00	Trade-On	Save down KWM invoice previously followed up. Add to schedule and do break down by LMIM corporate and funds. Arrange payment from LMIM and reimbursement from CPAIF to LMIM for its' portion.	Fund Administration
Renee Lobb	Senior Director	19-Oct-16	0.20	565	113.00	Trade-On	Respond to investor queries.	Investors
Neil Dempster	Consultant 1	19-Oct-16	0.30	305	91.50	Trade-On	Update details phillipe jean tourosz, update details for tetsuo sato note RTS in register	Investors
Neil Dempster	Consultant 1	19-Oct-16	0.50	305	152.50	Trade-On	Email to May Hui re her clients current holding and provide estimated pricings with latest update	Investors
Renee Lobb	Senior Director	20-Oct-16	0.40	565	226.00	Administration	Review request and request Neil Dempster to review listing of returned CPAIF payments and advise in response to query. Review Caroline Halcoop email regarding account access and respond. Respond to investor query on returned distribution. Save down email	Investors
Renee Lobb	Senior Director	26-Oct-16	0.10	565	56.50	Administration	Checking with Caroline Halcoop progress on account EFT abilities with CBA.	Fund Administration
Renee Lobb	Senior Director	26-Oct-16	0.30	565	169.50	Trade-On	Review updated investor information, respond to their email and advise Neil Dempster to update records. save email to file also. Respond to investor query also.	Investors
Renee Lobb	Senior Director	27-Oct-16	0.20	565	113.00	Trade-On	Responding to investor request on transfer versus change of details. advise steps for both.	Investors
Renee Lobb	Senior Director	28-Oct-16	0.10	565	56.50	Administration	Follow up Caroline Halcoop on commbiz account access and foreign currency transfers to tidy up western union funds received.	Fund Administration
Renee Lobb	Senior Director	31-Oct-16	0.30	565	169.50	Trade-On	Provide update to investor on distribution and account issues. discuss with Neil Dempster also. Draft email to ASIC requesting relief and removal and replacement of EY.	Investors

Neil Dempster	Consultant 1	31-Oct-16	0.20	305	61.00	Trade-On	Email to kim at action tax request she contact wilkins deceased estate beneficiary for new account details	Investors
Neil Dempster	Consultant 1	31-Oct-16	0.30	305	91.50	Trade-On	Update details Telbay Trust - register and repayment spreadsheet - email to confirm. Print and file	Investors
Neil Dempster	Consultant 1	31-Oct-16	0.30	305	91.50	Trade-On	Update Bob Woodhouse bank details and spreadsheet, email to confirm print and file.	Investors
Neil Dempster	Consultant 1	31-Oct-16	0.10	305	30.50	Trade-On	Update marianne sommerville address for willowbank family trust	Investors
Neil Dempster	Consultant 1	31-Oct-16	0.20	305	61.00	Trade-On	Email to Jaslyn Ho re process for transfers	Investors
Neil Dempster	Consultant 1	31-Oct-16	0.30	305	91.50	Trade-On	Email to Alison at FNZ re transfer for T Szentirmai - no current account - likely very old investment records weren't updated. Email to advise	Investors
Neil Dempster	Consultant 1	31-Oct-16	0.20	305	61.00	Trade-On	Email to Mamoru Kobayashi and his advisor - ask for confirmation re transfer to effect.	Investors
Renee Lobb	Senior Director	01-Nov-16	0.30	565	169.50	Trade-On	Responding to investor queries.	Investors
Neil Dempster	Consultant 1	01-Nov-16	0.10	305	30.50	Trade-On	Email to Chris Cleary note his clients accounts have indeed been changed	Investors
Neil Dempster	Consultant 1	01-Nov-16	0.40	305	122.00	Trade-On	Email to Tracy Ansley lawyer for deceased Teresa Fox - provide information on transfers and provide documents to facilitate	Investors
Neil Dempster	Consultant 1	01-Nov-16	0.20	305	61.00	Trade-On	Update details s-s Kingham - email to confirm	Investors
Renee Lobb	Senior Director	02-Nov-16	0.30	565	169.50	Trade-On	Respond to investor queries regarding address updates and change of details. request Neil Dempster to update records. Respond to additional query on unit holdings.	Investors
Renee Lobb	Senior Director	02-Nov-16	0.10	565	56.50	Administration	Filing sent emails into public folders from last 3 working days.	Administration
Neil Dempster	Consultant 1	02-Nov-16	0.10	305	30.50	Administration	Email to cecilia holden re address for postal transfer forms	Investors
Neil Dempster	Consultant 1	02-Nov-16	0.30	305	91.50	Trade-On	Draft email to Sundi Balu regarding timing for further distribution for funds from CPAIF and how to relinquish ownership in holdings	Investors
Neil Dempster	Consultant 1	02-Nov-16	0.10	305	30.50	Trade-On	Lochan Carron unit trust ensure details updated for redistribution	Investors
Renee Lobb	Senior Director	03-Nov-16	0.40	565	226.00	Trade-On	Responding to three different queries. one regarding unit holdings and 2 responses on account details for 2011 CPAIF distribution.	Investors
Neil Dempster	Consultant 1	03-Nov-16	0.20	305	61.00	Trade-On	Email to OMI with transfer checklist and instructions for making future transfers	Investors
Renee Lobb	Senior Director	04-Nov-16	0.40	565	226.00	Trade-On	Reviewing registers for FTI coded reference numbers. find unit holding and respond to investor on same. Follow up Caroline Halcoop on maintenance request to enable CPAIF transfers to occur.	Fund Administration
Renee Lobb	Senior Director	07-Nov-16	0.20	565	113.00	Trade-On	Locate investor from documentation provided. confirm account number and request Neil Dempster to process transfer and liaise with FNZ on further documentation required.	Investors

Renee Lobb	Senior Director	07-Nov-16	0.20	565	113.00	Trade-On	Discussion with CBA on progress and timing of maintenance request actioning. Inform them of upcoming payment distribution of previously returned payments.	Fund Administration
Neil Dempster	Consultant 1	07-Nov-16	0.20	305	61.00	Trade-On	Transfer Thiam Szentirmay - changes to register request postal address from advisor prior to effecting transfer	Investors
Neil Dempster	Consultant 1	07-Nov-16	0.10	305	30.50	Trade-On	Thiam Szentirmay get address update register confirm email to advisor for transfer	Investors
Renee Lobb	Senior Director	08-Nov-16	0.10	565	56.50	Trade-On	Respond to email with updated account details. request Neil Dempster to update registry.	Investors
Neil Dempster	Consultant 1	08-Nov-16	0.20	305	61.00	Trade-On	Update bank details E Thomson, confirmation sent to Ewen Cameron	Investors
Neil Dempster	Consultant 1	08-Nov-16	0.20	305	61.00	Trade-On	Email to Lesley Board regarding status of CPAIF and provide latest update	Investors
Neil Dempster	Consultant 1	08-Nov-16	0.10	305	30.50	Trade-On	Email to uvana chadwick with details for transfer for trustees	Investors
Neil Dempster	Consultant 1	08-Nov-16	0.20	305	61.00	Trade-On	Email to James Sutton re process for distribution from CPAIF including conversions	Investors
Renee Lobb	Senior Director	09-Nov-16	0.30	565	169.50	Creditors	Speak with Grant Thornton regarding distribution process for customs house. David Whyte distribution due in march to feeders.	legal
Renee Lobb	Senior Director	09-Nov-16	0.20	565	113.00	Investigations	Go through CPAIF forex information for returned western union monies. check account balance and email Caroline instructions to reload payments to convert funds into AUD and send from CBA to Suncorp.	Fund Administration
Neil Dempster	Consultant 1	09-Nov-16	0.10	305	30.50	Trade-On	Email to Uvana with change of detail form - note transfer to individual trustee ok if properly documented	Investors
Renee Lobb	Senior Director	10-Nov-16	0.60	565	339.00	Trade-On	Review CPAIF forex requirements for returned distributions due to be resent. summarise current CPAIF currency holdings and estimate additional funds required to be put into the CBA accounts for distribution. Assist NGD in response on CPAIF distribution tim	Fund Administration
Renee Lobb	Senior Director	10-Nov-16	2.50	565	1,412.50	Assets	Speak with Caroline Halcoop on transfers to be undertaken to forex accounts. call CBA to ensure correct way of doing transfers to ensure best rate obtained for foreign currency. Make AUD transfer from Suncorp to CPAIF CBA account after reviewing current r	Fund Administration
Renee Lobb	Senior Director	10-Nov-16	0.20	565	113.00	Trade-On	Check CPAIF transfer to AIF Suncorp account from CBA accounts.	Fund Administration
Renee Lobb	Senior Director	10-Nov-16	1.00	565	565.00	Investigations	Liaising with ASIC and following up with detailed email to seek assistance and confirmation as to whether returned monies can be sent to ASIC ahead of 56138 lodgement at conclusion of scheme wind up and ahead of the 6 year time lapse from date of contact.	ASIC
Neil Dempster	Consultant 1	10-Nov-16	0.20	305	61.00	Trade-On	Email to Lesley Board re timing for distribution and amount	Investors

Renee Lobb	Senior Director	11-Nov-16	0.20	565	113.00	Trade-On	Review CPAIF register against info received from Suffolk life. request Neil Dempster to update registry.	Investors
Renee Lobb	Senior Director	11-Nov-16	0.20	565	113.00	Trade-On	Review email with investor request to change details. forward to Neil Dempster to action. Respond to further email with request for update.	Investors
Renee Lobb	Senior Director	14-Nov-16	0.30	565	169.50	Trade-On	Sign off on forex transfers to CPAIF account from CBA account. Review accounts for CPAIF Suncorp to CBA transfer note returned and process new payment. send to PTAL for release.	Fund Administration
Renee Lobb	Senior Director	15-Nov-16	0.50	565	282.50	Trade-On	Respond to registry transfer form email. request NGD to update registry and request further information if required. Check CBA account to ensure sufficient AUD held to transfer into forex accounts for distribution to those who have updated their details.	Fund Administration
Renee Lobb	Senior Director	15-Nov-16	2.30	565	1,299.50	Assets	Review data held for CPAIF returned distributions. create first draft upload and send to Neil Dempster for review and addition of information gaps. Call CBA to establish transaction costs of distribution in call to combiz markets team. work back	Fund Administration
Renee Lobb	Senior Director	16-Nov-16	1.40	565	791.00	Assets	Discuss with Caroline Halcoop rates and process for CPAIF forex exchanges. call with Brett from CBA on same topic and subsequent emails to finalise an obtain rates. Revise spreadsheet and complete upload ready for distribution. Do top up based on new rate	Fund Administration
Renee Lobb	Senior Director	17-Nov-16	0.30	565	169.50	Trade-On	Reload transaction and send to perpetual for release. Advise CBA of top up and confirm timing for forex transfers.	Fund Administration
Renee Lobb	Senior Director	17-Nov-16	0.30	565	169.50	Trade-On	Call with investor. explain at length process for distributions and answer numerous other queries. Respond to queries from Caroline Halcoop on transactions for CPAIF.	Investors
Neil Dempster	Consultant 1	17-Nov-16	0.20	305	61.00	Trade-On	Update details smythe family trust - email confirmation	Investors
Neil Dempster	Consultant 1	17-Nov-16	0.20	305	61.00	Trade-On	Email to Jaslyn Ho advise to select direct existing investor and confirm investor ID won't change	Investors
Neil Dempster	Consultant 1	17-Nov-16	0.30	305	91.50	Trade-On	Update details from Empower Insurance Corporation to Sherry Greenfield - email advisor ask for certified photo ID. Subsequent photo ID received print and return confirmation of transfer	Investors
Caroline Halcoop	Administration 2	18-Nov-16	0.80	180	144.00	Administration	Work with Brett @ Commbiz, to upload and release international transfers; review test entry created to see if can be cleared - wants to charge fee - RCL request fee be removed due to volume of transactions & that it was a test; Called Brett back - agreed to wait	Fund Administration
Renee Lobb	Senior Director	18-Nov-16	1.20	565	678.00	Trade-On	Talk through forex upload issues for CPAIF with CBA and Caroline Halcoop. confirm AUD in accounts and forex trades can be processed. Query CBA on changing email contact for CBA payment enquiry letters. Finalise upload and send to Brett to process once co	Fund Administration

Neil Dempster	Consultant 1	18-Nov-16	0.20	305	61.00	Trade-On	Update details for tealby unit trust distribution - save email, respond to investor update register	Investors
Neil Dempster	Consultant 1	18-Nov-16	0.20	305	61.00	Trade-On	Update details Bruni Investment Trust - update upload spreadsheet and register - save email	Investors
Neil Dempster	Consultant 1	18-Nov-16	0.10	305	30.50	Trade-On	Email to Christine Clouston request she check if swift code available. Requirement for transfer	Investors
Neil Dempster	Consultant 1	18-Nov-16	0.10	305	30.50	Trade-On	Email to Suncorp request business payments credit functionality to be added to account.	Fund Administration
Neil Dempster	Consultant 1	18-Nov-16	0.20	305	61.00	Trade-On	Discussion with RCL re distribution process and procedures for investors being reset payments from 2013 distribution. Discuss unclaimed monies procedure and availability.	Fund Administration
Neil Dempster	Consultant 1	18-Nov-16	0.20	305	61.00	Trade-On	Edits to Brett's highlights for upload testing - resend spreadsheet	Fund Administration
Renee Lobb	Senior Director	21-Nov-16	0.80	565	452.00	Assets	Reviewing CBA emails regarding uploads. Instruct Neil Dempster to remove those with incomplete details and send revised upload to CBA to process with those removed. Update master spreadsheet for payments being made and those being held pending additional	Fund Administration
Neil Dempster	Consultant 1	21-Nov-16	0.10	305	30.50	Trade-On	Email to Jaslyn Ho with change of details form for account transfer	Investors
Neil Dempster	Consultant 1	21-Nov-16	0.20	305	61.00	Trade-On	Email to Fiona King ask for IBAN	Investors
Neil Dempster	Consultant 1	21-Nov-16	0.30	305	91.50	Trade-On	Copy changes to distribution spreadsheet from CBA to our spreadsheet - email to investor requesting IBAN and bank to confirm. Edits to our spreadsheet removing two investors with missing details to next tab	Fund Administration
Neil Dempster	Consultant 1	21-Nov-16	0.20	305	61.00	Trade-On	Discussion with RCL regarding removal of investor from CBA upload - email to CBA to confirm removal and re-processing of batch	Fund Administration
Neil Dempster	Consultant 1	21-Nov-16	0.30	305	91.50	Trade-On	Email to May Hui confirming process for distribution for CPAIF and process to follow. Confirm client will receive funds in currency they originally invested in	Investors
Neil Dempster	Consultant 1	21-Nov-16	0.10	305	30.50	Trade-On	Email to Jaslyn Ho advise if only name changing then transfer form all that is needed	Investors
Neil Dempster	Consultant 1	21-Nov-16	0.20	305	61.00	Trade-On	Email to Ee Khoo Tan confirm distribution in progress - note figures to issue are before transaction costs - check with RCL for timing	Investors
Renee Lobb	Senior Director	22-Nov-16	0.90	565	508.50	Trade-On	Going through account transfers received. Attach correct backing documents to same and file. Going through IMT uploads and checking next one ready to be sent. Attach supporting documents to the payments released today also.	Fund Administration
Neil Dempster	Consultant 1	22-Nov-16	0.10	305	30.50	Trade-On	Email to RCL with process for advising bank of investor IBAN	Fund Administration
Renee Lobb	Senior Director	23-Nov-16	0.10	565	56.50	Administration	Filing a considerable number of sent emails for records.	Administration
Renee Lobb	Senior Director	24-Nov-16	0.50	565	282.50	Trade-On	Review and respond to investor emails x 4	Investors

Renee Lobb	Senior Director	24-Nov-16	0.10	565	56.50	Investigations	Call with ASIC to discuss audit relief, how a final audit would look in the absence of interim year audits and possible avenues for relief. Provide background to assist in discussion also as well as update on where the funds are at and timing for completion	ASIC
Renee Lobb	Senior Director	28-Nov-16	0.30	565	169.50	Trade-On	Review and respond to email from Jamie Lagos regarding CPAIF distributions. confirm we are not aware of any but provide timeline for distribution from receipt. Assisting Neil Dempster with process for CBA payment returned advice letters.	Fund Administration
Neil Dempster	Consultant 1	28-Nov-16	0.10	305	30.50	Trade-On	Email to michael baum ask for his current postal address	Investors
Neil Dempster	Consultant 1	28-Nov-16	0.20	305	61.00	Trade-On	Check returned payment advice - discuss with RCL process for documenting returned payments. Email to CBA with correct account name for Mamoru Kobayashi. Save email - update registers	Fund Administration
Neil Dempster	Consultant 1	28-Nov-16	0.10	305	30.50	Trade-On	Update register with details for michael baum postal address	Investors
Neil Dempster	Consultant 1	28-Nov-16	0.20	305	61.00	Trade-On	Save payment resend confirmation mamoru kobayashi Email to Christine Clouston note distribution made and client's should have their money - ask her to confirm	Fund Administration
Renee Lobb	Senior Director	29-Nov-16	0.40	565	226.00	Trade-On	Respond to email on pricing. Respond to further email regarding impending First Mortgage Income Fund report and affect on CPAIF. filing sent emails. Email to Neil Dempster after reviewing account and fund. respond to registry noting it is a CPAIF investor	Investors
Renee Lobb	Senior Director	30-Nov-16	0.20	565	113.00	Trade-On	Review and respond to email from investor. explain why group transactions cannot be processed to avoid transaction costs.	Investors
Renee Lobb	Senior Director	01-Dec-16	0.40	565	226.00	Trade-On	Respond to investor query email. go through register and provide 6 investor details for unit holdings in response to adviser.	Investors
Ashleigh Ubank	Administration 2	02-Dec-16	0.10	180	18.00	Administration	WIP proforma generated for code.	Remuneration
Renee Lobb	Senior Director	02-Dec-16	0.30	565	169.50	Trade-On	Respond to two CPAIF investor queries and provide holding statement to FNZ.	Investors
Renee Lobb	Senior Director	02-Dec-16	0.40	565	226.00	Administration	Collation of work in progress for John Park.	Remuneration
Neil Dempster	Consultant 1	02-Dec-16	0.20	305	61.00	Trade-On	Email to Alison Mitchell with transfer documents required to transfer to individual for deceased estate	Investors
Neil Dempster	Consultant 1	02-Dec-16	0.10	305	30.50	Trade-On	Update details suffolk life insurance	Investors
Renee Lobb	Senior Director	05-Dec-16	0.40	565	226.00	Trade-On	Respond to pricing query. provide link to July update, note price and check beforehand that no further receiver updates have been posted. Go through historical pricing and respond to adviser on initial unit pricing at appointment and updated pricing to no	Advisors
Renee Lobb	Senior Director	05-Dec-16	0.10	565	56.50	Administration	Finalise fee working for John Park.	Remuneration
Renee Lobb	Senior Director	06-Dec-16	0.20	565	113.00	Trade-On	Review and respond to email from adviser regarding changed to FXCM investments on CPAIF, revert to Neil Dempster to make registry changes.	Investors

Neil Dempster	Consultant 1		06-Dec-16	0.20	305	61.00	Trade-On	Update register Julie Still investment trust - email to advisor confirming	Investors
Neil Dempster	Consultant 1		06-Dec-16	0.20	305	61.00	Trade-On	Ross Carrell Family Trust - update details in register - email to advisor to confirm	Investors
Neil Dempster	Consultant 1		06-Dec-16	0.30	305	91.50	Trade-On	Email to Philip Ward at OMI - review of transfer docs - for wrong fund - email with correct forms and instructions to complete	Investors
Neil Dempster	Consultant 1		07-Dec-16	0.30	305	91.50	Trade-On	Email to SEB international with docs required for transfer to underlying client	Investors
Renee Lobb	Senior Director		09-Dec-16	0.40	565	226.00	Trade-On	Do combiz upload for investor with 2013 distribution returned and confirm completed with adviser. Respond to query on further CPAIF returns and timing. Receive and confirm update of registry for details received.	Fund Administration
Neil Dempster	Consultant 1		09-Dec-16	0.10	305	30.50	Trade-On	Email to Phil at OMI confirm my email address for provision of transfer documents	Investors
Neil Dempster	Consultant 1		09-Dec-16	0.20	305	61.00	Trade-On	Email to Andy Lam provide update of status of fund - direct to website and BDOs	Investors
Renee Lobb	Senior Director		12-Dec-16	0.10	565	56.50	Trade-On	Respond to change of account request. provide appropriate forms.	Investors
Neil Dempster	Consultant 1		13-Dec-16	0.20	305	61.00	Trade-On	Email to John Ryall update re CPAIF and provide change of details form	Investors
Neil Dempster	Consultant 1		13-Dec-16	0.10	305	30.50	Trade-On	Email to Mervyn Powell note fund trading suspended ask for individual ID number to provide more info	Investors
Neil Dempster	Consultant 1		14-Dec-16	0.30	305	91.50	Trade-On	Transfer dome view trust	Investors
Neil Dempster	Consultant 1		14-Dec-16	0.20	305	61.00	Trade-On	Transfer Walbrook Capital Markets from FXCM - email confirmation	Investors
Neil Dempster	Consultant 1		14-Dec-16	0.20	305	61.00	Trade-On	Review of material supplied by Alison Mitchell FNZ for Claire Zohab transfer - prepared to accept documentation confirming death of father however need indemnity form to be completed.	Investors
Neil Dempster	Consultant 1		14-Dec-16	0.20	305	61.00	Trade-On	Email to Christine at Hilden Law - note change of details required to change trust account bank details provide form - update registry with change of beneficiary	Investors
Neil Dempster	Consultant 1		14-Dec-16	0.20	305	61.00	Trade-On	Email to Peter Gliuyas with docs needed for transfer	Investors
Neil Dempster	Consultant 1		14-Dec-16	0.20	305	61.00	Trade-On	Email to Christine at Hildens Law - note can change account if required, or can be left as their trust account for their client	Investors
Renee Lobb	Senior Director		15-Dec-16	0.70	565	395.50	Trade-On	Respond to investor email regarding distributions. check First Mortgage Income Fund website for latest report. Look up investor queries and ID numbers. request Neil Dempster to review and change registry per forms provided. Respond to further query also.	Investors
Neil Dempster	Consultant 1		15-Dec-16	0.20	305	61.00	Trade-On	Update details basil chamberlain	Investors

Renee Lobb	Senior Director	19-Dec-16	0.50	565	282.50	Investigations	Review and draft details response to ASIC regarding audit relief sought, Answer 8 queries from ASIC on qualifications which would be made in the absence of annual audits, realisations which would occur in non-audit years, work the auditors would undertake	asic
Neil Dempster	Consultant 1	20-Dec-16	0.20	305	61.00	Trade-On	Complete transfer Estate of D Zohrab - email confirmation to advisor update register print and file	Investors
Renee Lobb	Senior Director	21-Dec-16	0.20	565	113.00	Trade-On	Look up unit holdings and respond to adviser query on two investments and their price estimate per unit.	Investors
Neil Dempster	Consultant 1	21-Dec-16	0.10	305	30.50	Trade-On	Instructions to priscilla barkman re instructions for transferring holdings	Investors
Renee Lobb	Senior Director	22-Dec-16	0.30	565	169.50	Trade-On	Respond to investor query on fund update. check BDO website and note no update yet.	Investors
Neil Dempster	Consultant 1	22-Dec-16	0.10	305	30.50	Trade-On	Email to Phil; Ward at OMI confirm Mir Oserod has not contacted me re transfer	Investors
Neil Dempster	Consultant 1	22-Dec-16	0.30	305	91.50	Trade-On	Transfer Williams Family Trust	Investors
Neil Dempster	Consultant 1	23-Dec-16	0.10	305	30.50	Trade-On	Update details Susan Wyatt	Investors
Renee Lobb	Senior Director	09-Jan-17	0.30	565	169.50	Trade-On	Respond to investor on returned monies message. confirm as was pre appointment the confirmation of reason for return is not held. respond to further query on pricing. Respond to update of register query. send to Neil Dempster to action.	Investors
Renee Lobb	Senior Director	09-Jan-17	0.30	565	169.50	Trade-On	Reviewing First Mortgage Income Fund update, respond to investor email on pricing and confer with Kelly-Anne Trenfield on revision timing for NAV.	frnif
Renee Lobb	Senior Director	10-Jan-17	0.70	565	395.50	Trade-On	Provide update to investor on update timing from us and recent update of First Mortgage Income Fund receiver. Review email and respond to cliff Friedman regarding CPAIF update. revert to receiver update. Return investor call regarding CPAIF. further investors	Investors
Neil Dempster	Consultant 1	10-Jan-17	0.30	305	91.50	Trade-On	Email to Donald Davidson provide CPAIF update direct to BDO website and our website for updates. Check register for correct address	Investors
Neil Dempster	Consultant 1	10-Jan-17	0.60	305	183.00	Trade-On	Updated 3x members details in CPAIF registry. Review of documentation edits to registry reply emails confirming and printing of documentation for file	Investors
Renee Lobb	Senior Director	11-Jan-17	0.40	565	226.00	Trade-On	Review response to request for account details to resend CPAIF funds. advise no swift messages held on file for pre appointment distributions. Respond to a further 2 emails.	Investors
Renee Lobb	Senior Director	12-Jan-17	0.10	565	56.50	Investigations	Review and send to Kelly-Anne Trenfield action plan following ASIC relief response. save response and print for file.	asic
Renee Lobb	Senior Director	13-Jan-17	2.90	565	1,638.50	Trade-On	Review email and save down shooting trust account details. create upload file and find address of investor. send return email to same requesting address confirmation to finalise upload. Go through 30 emails from investors and check their information was t	Fund Administration

Caroline Halcoop	Administration 2	13-Jan-17	0.20	180	36.00	Administration	RCL approved international transfers; pdf approvals & email to RCL	Administration
Caroline Halcoop	Administration 2	13-Jan-17	0.20	180	36.00	Administration	Upload LM CPAIF x 2 international transfers - 1x1 & 1x5; send to RCL to check & approve;	Fund Administration
Caroline Halcoop	Administration 2	16-Jan-17	0.20	180	36.00	Administration	Upload international transfers for LM on Commbiz - email to RCL for checking & approval to release	Fund Administration
Caroline Halcoop	Administration 2	16-Jan-17	0.10	180	18.00	Administration	Release international transfers after RCL approval received; send approved pdf to RCL	Fund Administration
Renee Lobb	Senior Director	18-Jan-17	0.30	565	169.50	Trade-On	Review Suncorp account and make payment proposal to Kelly-Anne Trenfield for perpetual custody fees. Process payment and send to perpetual.	Fund Administration
Renee Lobb	Senior Director	20-Jan-17	0.20	565	113.00	Administration	Go through transfer documents in Neil Dempster's in tray. locate file index for registry changes and file same.	Investors
Neil Dempster	Consultant 1	23-Jan-17	0.10	305	30.50	Trade-On	Email to Phil Ward confirm contract notes not provided.	Investors
Neil Dempster	Consultant 1	23-Jan-17	0.20	305	61.00	Trade-On	Registry update Smillie Trust - confirmation email print and file	Investors
Neil Dempster	Consultant 1	23-Jan-17	0.10	305	30.50	Trade-On	Check details 'The Shooting Trust' - appear to be the same as form no changes	Investors
Neil Dempster	Consultant 1	23-Jan-17	0.20	305	61.00	Trade-On	Review documentation provided by OMI to transfer to individual investor - advise need investment number and client ID to find investment	Investors
Renee Lobb	Senior Director	24-Jan-17	0.80	565	452.00	Trade-On	Review email draft by Neil Dempster and review CPAIF file for information on account details used to send funds. attach to email and edit draft reply. go through response on returned monies regarding writing letter. raise with Neil Dempster to conduct rev	Fund Administration
Neil Dempster	Consultant 1	24-Jan-17	0.20	305	61.00	Trade-On	Email to Andreas Feege - advise status of the CPAIF - note no distribution will be paid unless funds received from FMIF	Investors
Neil Dempster	Consultant 1	24-Jan-17	0.20	305	61.00	Trade-On	Email to Warren Borlase provide update on CPAIF - links to website	Investors
Neil Dempster	Consultant 1	24-Jan-17	0.10	305	30.50	Trade-On	Email Roy Beech info re CPAIF update	Investors
Neil Dempster	Consultant 1	24-Jan-17	0.10	305	30.50	Trade-On	Update address investor ID 126759	Investors
Neil Dempster	Consultant 1	24-Jan-17	0.10	305	30.50	Trade-On	Email Uvana Chadwick with transfer forms	Investors
Neil Dempster	Consultant 1	24-Jan-17	0.30	305	91.50	Trade-On	Draft email to John Headley Harris advisor requesting bank details regarding redistribution of funds	Investors
Renee Lobb	Senior Director	25-Jan-17	0.50	565	282.50	Trade-On	Respond to email request regarding investor account and ID reference. note we cannot locate the investment through an OMI policy reference. provide information on account and investor id numbers. Review Skandia CPAIF investments and attempt to match information	Investors
Neil Dempster	Consultant 1	25-Jan-17	0.10	305	30.50	Trade-On	Search attempt Caroline Butel, cannot locate to update details	Investors
Neil Dempster	Consultant 1	30-Jan-17	0.30	305	91.50	Trade-On	Draft letter to John Harris requesting new banking details to re-distribute funds from January payment as no email available.	Investors

Neil Dempster	Consultant 1	30-Jan-17	0.20	305	61.00	Trade-On	Review documentation from investor 100249903 - need to query whether this is a transfer as bank details are changing? Email to RCL	Investors
Neil Dempster	Consultant 1	30-Jan-17	0.20	305	61.00	Trade-On	Locate bounce back of Neilson's lawyers redistribution on 16.1.17 email to RCL request instructions	Investors
Neil Dempster	Consultant 1	30-Jan-17	0.30	305	91.50	Trade-On	Update details Carol Butel - email confirmation - print and file. Email to advisor with transfer form	Investors
Renee Lobb	Senior Director	30-Jan-17	0.50	565	282.50	Trade-On	Respond to investor query email on pricing. look up investor ID to match to investment, confirm same and request NGD to update registry. Review hand written letter and look up investor. send copy of most recent CPAIF report. email Neil Dempster on queries	Investors
Renee Lobb	Senior Director	30-Jan-17	0.10	565	56.50	Trade-On	Check CPAIF letter to investor for new distribution return. sign off and send to John Park.	Investors
Renee Lobb	Senior Director	31-Jan-17	0.10	565	56.50	Investigations	Look up recent distributions, match to investor and send Neil Dempster information to follow up and redistribute payment.	Fund Administration
Neil Dempster	Consultant 1	31-Jan-17	0.10	305	30.50	Trade-On	Scan copy of letter to John Headley Harris re re-distribution to file	Investors
Renee Lobb	Senior Director	01-Feb-17	0.10	565	56.50	Trade-On	Respond to email regarding commerzbank ag holdings and advise no change.	Investors
Neil Dempster	Consultant 1	01-Feb-17	0.20	305	61.00	Trade-On	Email to Lawyers for Yugt Family Trust - ask them to check account details for fund transfer as CBA identified issue	Investors
Neil Dempster	Consultant 1	01-Feb-17	0.10	305	30.50	Trade-On	Email to CBA from Brian Hill for Yugt family trust confirming receipt of funds	Investors
Renee Lobb	Senior Director	02-Feb-17	0.40	565	226.00	Trade-On	Respond to investor email regarding pricing. Look up investor account and match reference to letter requesting registry change. ask Neil to complete task. Look up another bond platform investment. respond to email with forms to transfer out of bond holding	Investors
Renee Lobb	Senior Director	03-Feb-17	0.30	565	169.50	Trade-On	Review and respond to email regarding transfer of shareholding. provide forms. Review FNZ investments. confirm all in CPAIF and respond to email.	Investors
Neil Dempster	Consultant 1	03-Feb-17	0.20	305	61.00	Trade-On	Email to Deborah Lancaster - confirm distribution resent and advise bank fees taken from transaction	Investors
Neil Dempster	Consultant 1	06-Feb-17	0.20	305	61.00	Trade-On	Email to Vicky Egan - current update of fund	Investors
Renee Lobb	Senior Director	07-Feb-17	0.30	565	169.50	Trade-On	Call investor in new zealand after email from russells with phone message enquiry. answer queries on the fund and advise in detail situation.	Investors
Renee Lobb	Senior Director	08-Feb-17	0.20	565	113.00	Trade-On	Respond to investor query. Review further email with request for change in registry. forward to Neil Dempster for action	Investors
Renee Lobb	Senior Director	09-Feb-17	0.10	565	56.50	Trade-On	Send email to investor requesting additional information to allow registry change.	Investors
Renee Lobb	Senior Director	10-Feb-17	0.30	565	169.50	Investigations	Review file for PDS and original prospectus. locate oldest version and send to investor per email request.	Investors
Renee Lobb	Senior Director	10-Feb-17	0.20	565	113.00	Trade-On	Respond to Martyn email regarding prospectus.	Investors

Neil Dempster	Consultant 1		10-Feb-17	0.20	305	61.00	Trade-On	Email to Carole Butel confirm investment number - direct to OMI to get investor ID	Investors
Renee Lobb	Senior Director		13-Feb-17	0.30	565	169.50	Trade-On	Respond to investor transfer document query on ID verification. Respond to further email later in the day on same topic.	Investors
Neil Dempster	Consultant 1		13-Feb-17	0.20	305	61.00	Trade-On	Email to George Curran - provide update re dividend timing	Investors
Renee Lobb	Senior Director		14-Feb-17	0.10	565	56.50	Trade-On	Respond to email query on certified documents.	Investors
Renee Lobb	Senior Director		15-Feb-17	0.20	565	113.00	Trade-On	Answering queries on form completion for fund holding transfers.	Investors
Neil Dempster	Consultant 1		15-Feb-17	0.30	305	91.50	Trade-On	Review email from investor ID 116823. Check previous change to register - change bank and email details - email to confirm. File	Investors
Renee Lobb	Senior Director		16-Feb-17	0.30	565	169.50	Trade-On	Review transfer request sent to our office and advanced share. confirm correct fund and send response noting registry handles by us not advanced. Request Neil Dempster to action request and respond if further information required. Respond to further query	Investors
Neil Dempster	Consultant 1		17-Feb-17	0.20	305	61.00	Trade-On	Email to Maria Conaghan with update for fund - link to website and receivers website	Investors
Neil Dempster	Consultant 1		17-Feb-17	0.20	305	61.00	Trade-On	Provide estimated holding value to Michael Hooker for investor 126068	Investors
Neil Dempster	Consultant 1		17-Feb-17	0.70	305	213.50	Trade-On	Transfer x4 - email to OMI with requirement for transfers for future reference	Investors
Neil Dempster	Consultant 1		20-Feb-17	0.20	305	61.00	Trade-On	Email to SEB confirm investor id wont change - note bank details will be required	Investors
Neil Dempster	Consultant 1		20-Feb-17	0.10	305	30.50	Trade-On	Email to SEB international - confirm scanned copies fine for doing transfer	Investors
Renee Lobb	Senior Director		23-Feb-17	0.20	565	113.00	Trade-On	Review email from Citibank regarding update on fund. advise no real update and provide link to receiver reports as FYI.	Investors
Renee Lobb	Senior Director		23-Feb-17	0.20	565	113.00	Trade-On	Discussion with Ken at WMS regarding audit update and requirement for fund to be registered for GST.	Fund Administration
Renee Lobb	Senior Director		24-Feb-17	0.30	565	169.50	Trade-On	Respond to creditor query on pricing. advise no change. respond to further email requesting ISINS for fund. explain requirements for transfer and information required by way of account number or account id to put on forms.	Investors
Neil Dempster	Consultant 1		24-Feb-17	0.20	305	61.00	Trade-On	Transfers/updated details x2 for 124407 and 100267673	Investors
Renee Lobb	Senior Director		27-Feb-17	0.20	565	113.00	Trade-On	Respond to email from investor on progress of fund wind up.	Investors
Neil Dempster	Consultant 1		27-Feb-17	0.10	305	30.50	Trade-On	Print ID for Michael Hardwicke - email to OMI request account id to make transfer	Investors
Renee Lobb	Senior Director		02-Mar-17	0.10	570	57.00	Trade-On	Responding to investor request for unit holding	Investors
Renee Lobb	Senior Director		06-Mar-17	0.20	570	114.00	Trade-On	Review investor email regarding distributions. check investment in CPAIF. Explain situation with reliance on First Mortgage Income Fund distributions and provide link to Receivers' last report.	Investors

	Senior Director	06-Mar-17	0.10	570	57.00	Administration	Filing emails sent over the past 2 weeks.	Administration
Renee Lobb	Senior Director	06-Mar-17	0.10	570	57.00	Administration	Filing emails sent over the past 2 weeks.	Administration
Neil Dempster	Consultant 1	06-Mar-17	0.20	315	63.00	Trade-On	Email to Lesley Bayrd - provide update on CPAIF including matters ongoing with FMIF - provide email links to both websites	Investors
Neil Dempster	Consultant 1	06-Mar-17	0.20	315	63.00	Trade-On	Email to Ivan Doherty re current status of the fund	Investors
Neil Dempster	Consultant 1	08-Mar-17	0.20	315	63.00	Trade-On	Email to Tracy Anslsey with details required for transfer - note transfer can be made to executors of will to distribute to other beneficiaries	Investors
Neil Dempster	Consultant 1	08-Mar-17	0.20	315	63.00	Trade-On	Update details Waugh Family Trust - confirm with advisor - print email, file	Investors
Neil Dempster	Consultant 1	08-Mar-17	0.10	315	31.50	Trade-On	Update register for John Whitmore address - confirm with advisor.	Investors
Renee Lobb	Senior Director	09-Mar-17	0.10	570	57.00	Trade-On	Respond to investor query on distributions.	Investors
Renee Lobb	Senior Director	13-Mar-17	0.20	570	114.00	Trade-On	Respond to investor query requesting update. advise distributions dependent on First Mortgage Income Fund. provide link to recent First Mortgage Income Fund update.	Investors
Neil Dempster	Consultant 1	16-Mar-17	0.10	315	31.50	Trade-On	Email to SEB international confirm transfer for 1221100487 completed	Investors
Neil Dempster	Consultant 1	16-Mar-17	0.20	315	63.00	Trade-On	Review draft transfer form for Tracy Anslsey - advise i will need further documentation as previously advised to make the transfer.	Investors
Neil Dempster	Consultant 1	16-Mar-17	0.30	315	94.50	Trade-On	Update register account 100263714 - email to advisor noting cannot update other number provided - they should check its accuracy	Investors
Neil Dempster	Consultant 1	16-Mar-17	0.20	315	63.00	Trade-On	Email to Stephen Strowger confirm i have completed transfer for his client stein oserod 10.1.2017 after reviewing register and emails	Investors
Neil Dempster	Consultant 1	16-Mar-17	0.20	315	63.00	Trade-On	Email to ANZ confirm transfers can be done provide instructions	Investors
Neil Dempster	Consultant 1	17-Mar-17	0.30	315	94.50	Trade-On	Review email from Kerrie Gell at OMI review register. Advise no reconcilable investment for 100263664 - advise i can reconcile the units for the other investment i transferred yesterday. NFA until they provide further information	Investors
Neil Dempster	Consultant 1	17-Mar-17	0.20	315	63.00	Trade-On	Scan copy of transfer docs made for RE: Seb Life International ref. 1221100487 - note no contract notes provided	Investors
Neil Dempster	Consultant 1	17-Mar-17	0.20	315	63.00	Trade-On	Email to Priscilla Barkman note need ID to make transfer. File existing completed transfers to physical folder at desk	Investors
Renee Lobb	Senior Director	21-Mar-17	0.30	570	171.00	Trade-On	Respond to two requests for updates on the fund.	Investors
Neil Dempster	Consultant 1	21-Mar-17	0.20	315	63.00	Trade-On	Draft email to Seb Life re why we don't prepare contract notes. Send to RCL	Investors
Renee Lobb	Senior Director	22-Mar-17	0.30	570	171.00	Trade-On	Respond to investor enquiry regarding unit holdings. Go through returned payments from bounced 2012 payments with Neil Dempster.	Investors

Neil Dempster	Consultant 1	22-Mar-17	0.10	315	31.50	Trade-On	Email to Elaine at 3DS confirm SEB has already received our confirmation	Investors
Neil Dempster	Consultant 1	22-Mar-17	0.20	315	63.00	Trade-On	Complete transfer - 'the Canadian Trust' to individual beneficiary	Investors
Neil Dempster	Consultant 1	22-Mar-17	0.10	315	31.50	Trade-On	Email to Priscilla Barkman confirm account numbers are the same following transfer	Investors
Neil Dempster	Consultant 1	22-Mar-17	0.50	315	157.50	Trade-On	Process upload for John Headley Harris account - request pdf for returned payment on 1.3.2017 from CBA	Fund Administration
Renee Lobb	Senior Director	23-Mar-17	0.30	570	171.00	Trade-On	Assisting Neil Dempster with CPAIF returned payments. review proposed response to investor on returned payment and provide input.	Fund Administration
Neil Dempster	Consultant 1	23-Mar-17	0.10	315	31.50	Assets	Email to John Russell attach email to SEB and 3G confirming investment transferred	Investors
Neil Dempster	Consultant 1	23-Mar-17	0.30	315	94.50	Trade-On	Review Kerrie Bell from OMI's email concerning 100309855 - units do not reconcile to previous transfer form - email to her requesting further documentation	Investors
Neil Dempster	Consultant 1	23-Mar-17	0.20	315	63.00	Trade-On	Review transaction to John Headley Harris - approve - document transaction	Investors
Neil Dempster	Consultant 1	23-Mar-17	0.60	315	189.00	Trade-On	Find info for investment 100198464 - why was payment returned when we provided correct details. Get transfer docs from file to check details correct - email to advisor asking why payment returned. Note we will reproccess	Investors
Neil Dempster	Consultant 1	23-Mar-17	0.30	315	94.50	Trade-On	Upload for investor 100198464 send to CBA	Investors
Neil Dempster	Consultant 1	23-Mar-17	0.10	315	31.50	Trade-On	Review payment to NZ guardian trust co - confirm good to go with CH	Investors
Caroline Halcoop	Administration 2	23-Mar-17	0.20	185	37.00	Administration	Upload Commbiz NZD transfer - send to NGD for checking & approval; approved by NGD; released from Commbiz; send pdf of receipt	Fund Administration
Renee Lobb	Senior Director	24-Mar-17	0.20	570	114.00	Trade-On	Respond to investor email.	Investors
Neil Dempster	Consultant 1	27-Mar-17	0.10	315	31.50	Trade-On	Email to Kerrie Gell note the units listed for transfer do not match - ask if her client has LM papers to reference	Investors
Renee Lobb	Senior Director	27-Mar-17	0.30	570	171.00	Trade-On	CALL WITH INVESTOR FROM NZ. EXPLAIN UPDATE ISSUED ONLY WHEN SOMETHING TO SAY AND PROVIDE RECEIVER WEBSITE DETAILS FOR UPDATE ON ASSET SALES WHICH WILL EVENTUALLY LEAD TO DISTRIBUTIONS TO CPAIF.	Investors
Renee Lobb	Senior Director	28-Mar-17	0.30	570	171.00	Trade-On	Respond to two investor query emails.	Investors
Neil Dempster	Consultant 1	29-Mar-17	0.20	315	63.00	Trade-On	Provide update for investor 124845 re CPAIF and BDO status	Investors
Neil Dempster	Consultant 1	29-Mar-17	0.30	315	94.50	Trade-On	Check register for Michelle Oppert check bank details for Ross Family Trust - confirm is same as her form. Email re Voschezang Family Trust, ask if bank detail swift code/sort code has changed	Investors
Neil Dempster	Consultant 1	29-Mar-17	0.10	315	31.50	Trade-On	Email to Michelle Oppert re Voschezang Family Trust as if swift code/sort code needs to change as different bank listed on client form	Investors
Renee Lobb	Senior Director	29-Mar-17	0.10	570	57.00	Trade-On	Respond to price request for CPAIF and ICAIF.	Investors
Neil Dempster	Consultant 1	30-Mar-17	0.20	315	63.00	Trade-On	Email to Aidan Metcalfe - provide commentary on BDO's report and estimated return to CPAIF	Investors

Renee Lobb	Senior Director	31-Mar-17	0.10	570	57.00	Trade-On	Review and discuss response to OMI regarding transfer.	Investors
Neil Dempster	Consultant 1	31-Mar-17	0.30	315	94.50	Trade-On	Email to RCL with draft response to OMI re investment 100309855 - note immaterial change to unit held. Can't change register with units held. Ask for confirmation that transfer is to proceed	Investors
Neil Dempster	Consultant 1	31-Mar-17	0.20	315	63.00	Trade-On	Email to Josh Friar - update re FMIF and distribution timing re CPAIF	Investors
Renee Lobb	Senior Director	03-Apr-17	0.30	570	171.00	Investigations	Review 26 page receiver report. send to Kelly-Anne Trenfield, John Park and Neil Dempster as FYI.	Receiver
Renee Lobb	Senior Director	03-Apr-17	0.10	570	57.00	Trade-On	Respond to investor query.	Investors
Neil Dempster	Consultant 2	04-Apr-17	0.10	360	36.00	Trade-On	Draft response to Maxine at OMI confirm her clients beneficial unit value will not be reduced in future distribution	Investors
Neil Dempster	Consultant 2	06-Apr-17	0.40	360	144.00	Trade-On	Update register for michael john hardwicke - email to OMI - find and print transfer docs	Investors
Neil Dempster	Consultant 2	06-Apr-17	0.20	360	72.00	Trade-On	Transfer Teresa Fox	Investors
Renee Lobb	Senior Director	07-Apr-17	0.10	570	57.00	Trade-On	Respond to email from registry after checking investor id number against fund. advise CPAIF query and refer to Neil to respond.	Investors
Neil Dempster	Consultant 2	10-Apr-17	0.10	360	36.00	Trade-On	Check register for Judy Browning confirm email address is correct	Investors
Neil Dempster	Consultant 2	10-Apr-17	0.30	360	108.00	Trade-On	Email to Pictet and Cie re further information to be provided to effect transfer. Note advise to go to advanced share registry incorrect based on limited information in initial email	Investors
Neil Dempster	Consultant 2	10-Apr-17	0.10	360	36.00	Trade-On	Email to Kerrie Gell re transfers note no contract notes produced	Investors
Neil Dempster	Consultant 2	11-Apr-17	0.10	360	36.00	Trade-On	Review register for Alex & Nola Carter - no investments found - advise Michelle Oppert	Investors
Neil Dempster	Consultant 2	11-Apr-17	0.30	360	108.00	Trade-On	Email to Kerrie Gell - OMI - breakdown transfers for 100309855 and 100263714 for her records and transfer confirmation	Investors
Renee Lobb	Senior Director	12-Apr-17	0.20	570	114.00	Trade-On	Review email from investor and try and open attachment. advise cannot open and to send in PDF for our action.	Investors
Neil Dempster	Consultant 2	12-Apr-17	0.30	360	108.00	Trade-On	Review previous transfer submitted for investment 100263714 - note investment probably 100309863. Ask OMI to review records and confirm and I will reverse previous transfer	Investors
Neil Dempster	Consultant 2	12-Apr-17	0.30	360	108.00	Trade-On	Email to Sam Freenhill from FNZ provide update re CPAIF - link to BDOs latest report. Briefly discuss reporting requirements with RCL	Investors
Neil Dempster	Consultant 2	13-Apr-17	0.40	360	144.00	Trade-On	Reverse previous transfer for 100263714, incorrect units mistaken transfer - transfer correctly to 100309863 - email to confirm.	Investors
Neil Dempster	Consultant 2	13-Apr-17	0.30	360	108.00	Trade-On	Transfer Pictet & Cie investment no. 100249903	Investors
Neil Dempster	Consultant 2	13-Apr-17	0.10	360	36.00	Trade-On	File transfer docs for investor 121490	Investors
Neil Dempster	Consultant 2	13-Apr-17	0.10	360	36.00	Trade-On	Email Chris Lim provide number of units transferred	Investors

Renee Lobb	Senior Director	20-Apr-17	0.40	570	228.00	Trade-On	Respond to ANZ regarding no longer wanting to hold shares in CPAIF. Respond to separate transfer query also from ANZ.	Investors
Renee Lobb	Senior Director	21-Apr-17	0.10	570	57.00	Trade-On	Respond to investor email.	Investors
Neil Dempster	Consultant 2	24-Apr-17	0.10	360	36.00	Trade-On	Change of address Paul Rose	Investors
Renee Lobb	Senior Director	26-Apr-17	0.30	570	171.00	Trade-On	Review and respond to investor email regarding pricing. Advise no change. Respond to separate email regarding pds and provide same.	Investors
Renee Lobb	Senior Director	27-Apr-17	0.40	570	228.00	Trade-On	Review and respond to email with update to CPAIF investor. Respond to further email regarding forfeiting shares. Review email regarding court case. Respond requesting specific details on court action referred to where they have requested an update.	Investors
Neil Dempster	Consultant 2	03-May-17	0.10	360	36.00	Trade-On	Email to Sara Kelly at RL 360 provide change of details form for their completion	Investors
Neil Dempster	Consultant 2	03-May-17	0.20	360	72.00	Trade-On	Email to Catherine Scelly provide update re CPAIF - note issues of FMIF to be resolved prior to distribution addressed in their report	Investors
Neil Dempster	Consultant 2	04-May-17	0.20	360	72.00	Trade-On	Email to Alison Mitchell with transfer process and document requirements	Investors
Neil Dempster	Consultant 2	05-May-17	0.20	360	72.00	Trade-On	Email to Suresh Raj provide instructions for completing transfer	Investors
Neil Dempster	Consultant 2	05-May-17	0.30	360	108.00	Trade-On	Review registry attempt to locate investment for Suresh Raj but unable. Note OMI generally the ones to make the transfer and they should do so at his clients request	Investors
Renee Lobb	Senior Director	09-May-17	0.20	570	114.00	Trade-On	Review draft response to investor regarding transfer error. Advise Neil Dempster written authority from OMI needs to be provided to finalise the transfer request.	Investors
Neil Dempster	Consultant 2	09-May-17	0.50	360	180.00	Trade-On	Review email from OMI, note transfer for pictet and die has been performed incorrectly. Email to RCL confirming the error. Draft response with forms previously supplied and request OMI's confirmation that the transfer be effected	Investors
Renee Lobb	Senior Director	10-May-17	0.20	570	114.00	Trade-On	Review investor email. Lookup appropriate fund and unit holding and respond to queries on same.	Investors
Neil Dempster	Consultant 2	10-May-17	0.10	360	36.00	Trade-On	Email to Lewis at OMI with our fax number for authority for transfer	Investors
Renee Lobb	Senior Director	11-May-17	0.40	570	228.00	Investigations	Go through LM Investment Management Ltd account and AIF LM Investment Management Ltd account reimbursements by each fund. Break up between remuneration and OOPS, Category 2 reimbursements, and operational cost reimbursements. Save all supporting invoices	Fund Administration
Neil Dempster	Consultant 2	11-May-17	0.30	360	108.00	Trade-On	Review ANZ email - provide instructions on making transfer	Investors
Neil Dempster	Consultant 2	11-May-17	0.20	360	72.00	Trade-On	Email to DBS with instructions for making transfer	Investors

Neil Dempster	Consultant 2		11-May-17	0.10	360	36.00	Trade-On	Check details for Alexander Michael Ravenwood - no change - advise accordingly.	Investors
Neil Dempster	Consultant 2		11-May-17	0.10	360	36.00	Trade-On	Call from Chris Lim note ISINs may be unavailable and in any event suspended - advise OMI hasn't confirmed yet the transfer	Fund Administration
Neil Dempster	Consultant 2		11-May-17	0.20	360	72.00	Trade-On	Update register waugh family trust bank details - confirm and print email	Investors
Neil Dempster	Consultant 2		11-May-17	0.20	360	72.00	Trade-On	Email to Diane re 123668 instructions re deceased estate transfers	Investors
Neil Dempster	Consultant 2		12-May-17	0.20	360	72.00	Trade-On	Email to Chris Clarke at OMI with transfer instructions and forms	Investors
Neil Dempster	Consultant 2		12-May-17	0.10	360	36.00	Trade-On	Email to RLC query whether she knows how to identify ISINs for Chris Lim	Investors
Neil Dempster	Consultant 2		12-May-17	0.20	360	72.00	Trade-On	Email to Apex investment services re 100219427 - provide docs for deceased estate transfer	Investors
Neil Dempster	Consultant 2		12-May-17	0.20	360	72.00	Trade-On	Email to Chris Lim with contract transfer data, also advise ISIN not available so can't provide this information	Investors
Neil Dempster	Consultant 2		12-May-17	0.20	360	72.00	Trade-On	Email to Alan Mills with brief update re CPAIF and FMIF	Investors
Renee Lobb	Senior Director		16-May-17	0.30	570	171.00	Trade-On	Review email regarding forfeiting security. email to Kelly-Anne Trenfield confirming she is agreeable to same. send emails to investors.	Investors
Renee Lobb	Senior Director		17-May-17	0.40	570	228.00	Trade-On	Review and approve Neil Dempster email to investor regarding transfer. Look up investment on other query and confirm number of units. Respond to email from ANZ regarding relinquishing security. confirm wording okay but correspondence in final form must be	Investors
Neil Dempster	Consultant 2		17-May-17	0.50	360	180.00	Trade-On	Email to Banque Pictet - confirm NAV figures and provide link to CPAIF website and FMIF reports for further information. Draft response to OMI noting correct transferring party is OMI Limited despite register their comments	Fund Administration
Renee Lobb	Senior Director		18-May-17	0.20	570	114.00	Trade-On	Review email regarding units. check registry and send second response on unit holdings.	Investors
Neil Dempster	Consultant 2		18-May-17	0.30	360	108.00	Trade-On	Email to Howard Clark-Burton with current CPAIF status and claims against FMIF to be resolved prior to finalisation	Investors
Neil Dempster	Consultant 2		18-May-17	0.20	360	72.00	Trade-On	Email to Daryl Yeh re requirements for transfer deceased estate	Investors
Neil Dempster	Consultant 2		18-May-17	0.20	360	72.00	Trade-On	Email to Andrew Webster re CPAIF current matters and future returns	Investors
Neil Dempster	Consultant 2		18-May-17	0.20	360	72.00	Trade-On	Email to Lewis Griffith at OMI confirm ISINs not available	Investors
Neil Dempster	Consultant 2		18-May-17	0.20	360	72.00	Trade-On	Email to Romeo at Banque Pictet confirm process for calculating unit pricing	Investors
Renee Lobb	Senior Director		19-May-17	0.20	570	114.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Senior Director		22-May-17	0.20	570	114.00	Trade-On	Review query regarding matching units to investment account and ID. confirm same for transfer.	Investors

Renee Lobb	Senior Director	22-May-17	0.30	570	171.00	Trade-On	Create spreadsheet of current assets for the fund for perpetual reconciliation request. send via email. Respond to enquiry on transfer.	Fund Administration
Neil Dempster	Consultant 2	22-May-17	0.10	360	36.00	Trade-On	Email to Gail McGarry request investor ID number or account number to confirm unit holdings	Investors
Neil Dempster	Consultant 2	22-May-17	0.10	360	36.00	Trade-On	Email Ito Gail McGarry with her clients unit holdings	Investors
Neil Dempster	Consultant 2	22-May-17	0.20	360	72.00	Trade-On	Email to Yasuhiko & Sadako NAGANO re current wind up status of the CPAIF - note investments frozen no withdrawal can be made	Investors
Neil Dempster	Consultant 2	23-May-17	0.10	360	36.00	Trade-On	Email to Kerrie Gell at OMI with transfer requirements for CPAIF	Investors
Neil Dempster	Consultant 2	24-May-17	0.10	360	36.00	Trade-On	Email to Chris Clarke with requirement for transfer for unit holding	Investors
Renee Lobb	Senior Director	25-May-17	0.20	570	114.00	Trade-On	Review and respond to CPAIF investor query.	Investors
Neil Dempster	Consultant 2	25-May-17	0.30	360	108.00	Trade-On	Email to Lewis at OMI regarding transfer requirements - attach forms, note new accounts not opened for transfers	Investors
Neil Dempster	Consultant 2	26-May-17	0.20	360	72.00	Trade-On	Email to Lewis at OMI with information concerning transfers - note new accounts not set up	Investors
Renee Lobb	Senior Director	29-May-17	0.20	570	114.00	Trade-On	Review and respond to investor email on pricing.	Investors
Neil Dempster	Consultant 2	29-May-17	0.10	360	36.00	Trade-On	Email to Suresh Raj confirm OMI provided instructions for transfer previously	Investors
Renee Lobb	Senior Director	30-May-17	0.20	570	114.00	Administration	Call with Joshua at WMS after call with Kelly-Anne Trenfield regarding stopping work on ICPAIF and CPAIF, advise background and no funds at this stage.	Fund Administration
Renee Lobb	Senior Director	30-May-17	0.10	570	57.00	Trade-On	Filing all sent emails for the past week.	Administration
Neil Dempster	Consultant 2	30-May-17	0.20	360	72.00	Trade-On	Email to Vanessa Jia Qi WAN re transferring time for CPAIF once forms are provided.	Investors
Neil Dempster	Consultant 2	30-May-17	0.10	360	36.00	Trade-On	Email to Vanessa Jia Qi Wan confirm scanned copies fine for transfers	Investors
Renee Lobb	Senior Director	01-Jun-17	0.20	570	114.00	Trade-On	Respond to investor query email.	Investors
Neil Dempster	Consultant 2	02-Jun-17	0.20	360	72.00	Trade-On	Email to OMI with response re transfer requirements - note requirements wont change going forward	Investors
Neil Dempster	Consultant 2	02-Jun-17	0.10	360	36.00	Trade-On	Confirm units held for 100248368 to OMI	Investors
Neil Dempster	Consultant 2	02-Jun-17	0.20	360	72.00	Trade-On	Email to Toni Menech advise their clients units held and confirm no income earned during our appointment	Investors
Neil Dempster	Consultant 2	02-Jun-17	0.20	360	72.00	Trade-On	Update register investment 100236710 - email confirmation to Apex	Investors
Neil Dempster	Consultant 2	02-Jun-17	0.20	360	72.00	Trade-On	Look up Joan Neilson Benevolent Trust and respond to email requesting holding confirmation on same. review second email and respond regarding transfers. request Neil Dempster to provide documentation to do so. respond to further email and provide account n	Investors
Renee Lobb	Senior Director	05-Jun-17	0.40	570	228.00	Trade-On	Email to Steph Harper re Joan Neilson Benevolent Trust and transfer requirement	Investors
Neil Dempster	Consultant 2	05-Jun-17	0.20	360	72.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Senior Director	08-Jun-17	0.10	570	57.00	Trade-On		Investors

Neil Dempster	Consultant 2		08-Jun-17	0.30	360	108.00	Trade-On	Draft transfer for FNZ - email to FNZ to confirm account details are the same	Investors
Neil Dempster	Consultant 2		08-Jun-17	0.10	360	36.00	Trade-On	Email to Yang Low note different application forms will be required if details on forms are different	Investors
Renee Lobb	Senior Director		09-Jun-17	0.10	570	57.00	Trade-On	Respond to query email from investor.	Investors
Neil Dempster	Consultant 2		09-Jun-17	0.20	360	72.00	Trade-On	Email to phil ward re transferability to hong kong based trust	Investors
Neil Dempster	Consultant 2		09-Jun-17	0.10	360	36.00	Trade-On	Confirm units holdings for Johnathon Gordon at OMI	Investors
Neil Dempster	Consultant 2		12-Jun-17	0.20	360	72.00	Trade-On	Email to Sebastian Evvard from KBL Bankers provide update on AIF and matters to be finalised	Investors
Neil Dempster	Consultant 2		12-Jun-17	0.20	360	72.00	Trade-On	Complete transfer for 100249648 and 100248152 - email to confirm - print and file	Investors
Renee Lobb	Senior Director		13-Jun-17	0.30	570	171.00	Trade-On	Review ANZ letter and update registry for relinquishing of shares. scan and save document authorizing same to file. look up unit holdings and respond to ANZ query on same.	Fund Administration
Renee Lobb	Senior Director		13-Jun-17	0.10	570	57.00	Administration	Email Suncorp regarding email from perpetual wanting to be taken off accounts. ask most efficient way to complete task.	Fund Administration
Neil Dempster	Consultant 2		13-Jun-17	0.20	360	72.00	Trade-On	Email to Diane Howe re approx value of investment	Investors
Neil Dempster	Consultant 2		13-Jun-17	0.10	360	36.00	Trade-On	File surrender of unit holdings for ANZ investor id 127717	Investors
Neil Dempster	Consultant 2		13-Jun-17	0.20	360	72.00	Trade-On	Email to Pauline Hadley-Beuregard re transfer instructions for making transfer	Investors
Neil Dempster	Consultant 2		15-Jun-17	0.10	360	36.00	Trade-On	Email to Daryl Yeoh confirm transfer date for 2 investments	Investors
Neil Dempster	Consultant 2		15-Jun-17	0.20	360	72.00	Trade-On	Review draft transfer docs investment 100219427 - needs transmission for survivor form - email to Apex	Investors
Neil Dempster	Consultant 2		15-Jun-17	0.20	360	72.00	Trade-On	Complete transfer investment 100219427 email and print confirmation	Investors
Renee Lobb	Senior Director		16-Jun-17	0.20	570	114.00	Trade-On	Follow up Suncorp regarding account changes to remove perpetual on their request. Call with Donna at Suncorp regarding required documents to enable changes to account.	Fund Administration
Neil Dempster	Consultant 2		19-Jun-17	0.20	360	72.00	Trade-On	Email to Ian Fleming update re CPAIF	Investors
Neil Dempster	Consultant 2		19-Jun-17	0.30	360	108.00	Trade-On	Email to Norman Hadley give info on transfer process and explain how to. Give link to websites for CPAIF and FMIF	Investors
Renee Lobb	Senior Director		20-Jun-17	0.10	570	57.00	Administration	Speak with Suncorp on custody release documents to make changes to account. request releases from perpetual to enable Suncorp to action requests.	Fund Administration
Neil Dempster	Consultant 2		21-Jun-17	0.30	360	108.00	Trade-On	Transfer request from OMI 100276542 - review register for CPAIF and master register - search for OMI allocation and units held to identify - no luck - email to OMI asking for review of investment number. Print docs in anticipation for transfer	Investors
Renee Lobb	Senior Director		26-Jun-17	0.20	570	114.00	Trade-On	Review registry for two unit holdings and send to adviser in response to her email request.	Investors

Neil Dempster	Consultant 2	26-Jun-17	0.30	360	108.00	Trade-On	Review transfer documentation for investor ID 121447 - need cert copy of death certificate and will - note can be electronically. Note bank account needs to be able to accept USD down the track - ask investor to check these details	Investors
Neil Dempster	Consultant 2	26-Jun-17	0.30	360	108.00	Trade-On	Email to Donald Drake provide update on CPAIF status, note reporting not done bi annually. Provide issues with FMIF to settle - link to BDO. Link to CPAIF website.	Investors
Renee Lobb	Senior Director	28-Jun-17	0.20	570	114.00	Trade-On	Respond to email from investor on unit pricing.	Investors
Neil Dempster	Consultant 2	29-Jun-17	0.10	360	36.00	Trade-On	Email to Elaine Warner re transfer process for fund	Investors
Neil Dempster	Consultant 2	30-Jun-17	0.10	360	36.00	Administration	Meeting with RCL and CY for file review and current matters	Administration
Renee Lobb	Senior Director	03-Jul-17	0.10	570	57.00	Trade-On	Respond to pricing and unit holding query.	Investors
Renee Lobb	Senior Director	04-Jul-17	0.30	570	171.00	Investigations	Reviewing First Mortgage Income Fund update by David Whyte and impact on feeders.	FMIF
Renee Lobb	Senior Director	05-Jul-17	0.10	570	57.00	Trade-On	Review and respond to ANZ request for unit holdings. confirm unit holdings will not change through term of appointment.	Investors
Renee Lobb	Senior Director	07-Jul-17	0.10	570	57.00	Trade-On	Review, save, print and update fund payable register for WMS invoices received.	Fund Administration
Neil Dempster	Consultant 2	07-Jul-17	0.10	360	36.00	Trade-On	Email to Phil Ward regarding cosmetic change register for different OMI account	Investors
Neil Dempster	Consultant 2	07-Jul-17	0.20	360	72.00	Trade-On	Email to theo van der maas update re CPAIF - direct to FMIF report for further information	Investors
Renee Lobb	Senior Director	11-Jul-17	0.10	570	57.00	Trade-On	Review email regarding transfers. Send to Neil Dempster and reply with holding response to advisor.	Investors
Renee Lobb	Senior Director	11-Jul-17	0.10	570	57.00	Trade-On	Discussion with Kelly-Anne Trenfield regarding unpaid WMS fees. send account of same for consideration.	Fund Administration
Neil Dempster	Consultant 2	11-Jul-17	0.30	360	108.00	Trade-On	Transfer deceased estate norman hadley to pauline hadley-beauregard	Investors
Renee Lobb	Senior Director	12-Jul-17	0.10	570	57.00	Trade-On	Review Neil Dempster draft email to investor. make changes and approve to send.	Investors
Neil Dempster	Consultant 2	12-Jul-17	0.30	360	108.00	Trade-On	Email to Shawn Hu at FNZ with current holdings at 30.6.2017	Investors
Neil Dempster	Consultant 2	12-Jul-17	0.20	360	72.00	Trade-On	Review transfer documentation Joan Neilson Benevolent Trust - email to advisor with further docs required.	Investors
Neil Dempster	Consultant 2	12-Jul-17	0.20	360	72.00	Trade-On	Draft email to Suresh regarding wind up of CPAIF and redemptions to investors	Investors
Renee Lobb	Senior Director	13-Jul-17	0.20	570	114.00	Trade-On	Look for copies of WMS unpaid invoices in fil. Request ones not to hand from WMS to enable payment. arrange payment of those where invoice copies are held via LM Investment Management Ltd and update fund payable register.	Fund Administration
Neil Dempster	Consultant 2	14-Jul-17	0.10	360	36.00	Trade-On	Update register Dr Matthauss email address	Investors
Neil Dempster	Consultant 2	17-Jul-17	0.20	360	72.00	Trade-On	Email to Steph Harper re transfer for Joan Neilson Benevolent Trust	Investors

Neil Dempster	Consultant 2	17-Jul-17	0.10	360	36.00	Trade-On	Email to Steph Harper note resolution required for change in trust.	Investors
Neil Dempster	Consultant 2	17-Jul-17	0.10	360	36.00	Trade-On	Email to Tim Russell with CPAIF constitution	Legal
Neil Dempster	Consultant 2	18-Jul-17	0.10	360	36.00	Trade-On	Email to shawn hu at FNZ confirm they are still investor parent of investor 123490	Investors
Renee Lobb	Senior Director	19-Jul-17	0.20	570	114.00	Trade-On	Look up register for investor holding. respond to advisor with same.	Investors
Renee Lobb	Senior Director	19-Jul-17	0.10	570	57.00	Investigations	Review correspondence requested by russells for the fund and ensure Neil Dempster responded.	Legal
Renee Lobb	Senior Director	24-Jul-17	0.20	570	114.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Senior Director	31-Jul-17	0.10	570	57.00	Trade-On	Respond to investor pricing query.	Investors
Renee Lobb	Senior Director	01-Aug-17	0.10	570	57.00	Trade-On	Respond to investor query email.	Investors
Renee Lobb	Senior Director	02-Aug-17	0.30	570	171.00	Trade-On	Look up investor ID for FNZ and send holding statement noting the missing investor was an individual not under FNZ. pond to further CPAIF query	Investors
Neil Dempster	Consultant 2	02-Aug-17	0.30	360	108.00	Trade-On	Review Shawn Hu email re unit holdings for FNZ - review transfer register and email to RCL with suggestions	Investors
Ashleigh Ubank	Administration 2	03-Aug-17	0.10	185	18.50	Administration	WIP proforma/s generated for code.	Remuneration
Renee Lobb	Senior Director	03-Aug-17	0.20	570	114.00	Trade-On	Obtain WIP proforma for disbursements, review account for available funds. check with Kelly-Anne Trenfield okay to close account to disbursements. draft proforma for disbursements to 31/07/17	Remuneration
Renee Lobb	Senior Director	03-Aug-17	0.30	570	171.00	Trade-On	Look up FNZ holdings and previous correspondence with investor regarding change to investment head account holder. respond to email on same.	Investors
Renee Lobb	Senior Director	04-Aug-17	0.20	570	114.00	Trade-On	Review email regarding transfer of individual holding to FNZ. look at transfer documents and note defects. request Neil Dempster review further and respond.	Investors
Renee Lobb	Senior Director	04-Aug-17	0.10	570	57.00	Administration	Scan, save and email to finance draft bill request form.	Remuneration
Renee Lobb	Senior Director	04-Aug-17	0.20	570	114.00	Trade-On	Discussions with Neil Dempster regarding answering holding and registry queries.	Investors
Neil Dempster	Consultant 2	04-Aug-17	0.20	360	72.00	Trade-On	Email to Shawn Hu with instructions for transfer	Investors
Neil Dempster	Consultant 2	04-Aug-17	0.40	360	144.00	Trade-On	Call form Nilasari from ANZ - discuss their holdings in CPAIF and instructions for transfer. Note some problem with locating an investment - review register and not there. Locate in ICPAIF (time coded to that fund) - provide listing of investments and ins	Investors
Renee Lobb	Senior Director	07-Aug-17	0.20	570	114.00	Trade-On	Review Shawn Hu's documentation re transfer for FNZ - note change of details form not completed and need IDs to make the transfer	Investors
Renee Lobb	Senior Director	07-Aug-17	0.10	570	57.00	Administration	Reviewing and assisting in responses to multiple investor transfer requests.	Investors
Renee Lobb	Senior Director	07-Aug-17	0.10	570	57.00	Administration	Review draft invoice for disbursements. sign off, attach supporting documents and send to Kelly-Anne Trenfield.	Remuneration

Neil Dempster	Consultant 2	07-Aug-17	0.60	360	216.00	Trade-On	Email to DBS bank with pre-filled change of details form - note accts to change in form. Note they need to sign and include address for future mail out. provide detailed instructions. x4 investments	Fund Administration
Neil Dempster	Consultant 2	07-Aug-17	0.30	360	108.00	Trade-On	Review correspondence sent by Shawn Hu re 100201227 - update register to reflect FNZ as owner. note in confirmation email bank details not changed as not provided in form - new form needed if required.	Investors
Neil Dempster	Consultant 2	07-Aug-17	0.10	360	36.00	Trade-On	Email to steph harper regarding 100365246 and passing resolution to change trust name	Investors
Neil Dempster	Consultant 2	07-Aug-17	0.10	360	36.00	Trade-On	Email to RCL ask for location of any other information for historical unit pricing for cpaf	Investors
Neil Dempster	Consultant 2	07-Aug-17	0.50	360	180.00	Trade-On	Email to Toni McCarthy regarding de menech family trust - estimated values for various dates - show how to calculate value	Investors
Renee Lobb	Senior Director	08-Aug-17	0.10	570	57.00	Administration	Review amendments to invoices for OOPS, scan and email to finance to finalise invoices with amendments.	Remuneration
Neil Dempster	Consultant 2	08-Aug-17	0.30	360	108.00	Trade-On	Email to Steph Harper with documentation requirements for transfer between trusts - her clients information is incomplete and further documentation needs to be supplied to make the transfer	Investors
Neil Dempster	Consultant 2	08-Aug-17	0.10	360	36.00	Trade-On	Email to Shawn Hu - update bank details on register	Investors
Neil Dempster	Consultant 2	08-Aug-17	0.20	360	72.00	Trade-On	Email to Debbie at Aegis, give details on how to transfer holdings to individual	Investors
Neil Dempster	Consultant 2	08-Aug-17	0.10	360	36.00	Trade-On	Email to Aegis with transfer forms for all sorts of transfer and forms required for all	Investors
Neil Dempster	Consultant 2	08-Aug-17	0.20	360	72.00	Trade-On	Update register with email of Hamish Dunn 100169879. Send to FMIF website for updates for that fund - brief update	Investors
Renee Lobb	Senior Director	09-Aug-17	0.20	570	114.00	Administration	Review final disbursements invoice, save, print and request payment through Suncorp and email to CCS payments.	Fund Administration
Neil Dempster	Consultant 2	09-Aug-17	0.20	360	72.00	Trade-On	Email to Thomas Chiou with update for CPAIF re investment 100180132	Investors
Neil Dempster	Consultant 2	09-Aug-17	0.10	360	36.00	Trade-On	Check CPAIF register for OMI holdings at 31 July 2017 - confirm balance correct	Investors
Neil Dempster	Consultant 2	09-Aug-17	0.20	360	72.00	Trade-On	Update cpaf register with bank details for Joan Neilson Trust - confirmation email to steph harper	Investors
Neil Dempster	Consultant 2	09-Aug-17	0.10	360	36.00	Trade-On	Email to Deirdre from Apex investment services regarding Bruce Nickle - confirm not received transfer docs	Investors
Neil Dempster	Consultant 2	09-Aug-17	0.10	360	36.00	Trade-On	Email to steph harper - change bank details for joan neilson trust per her advice	Investors
Neil Dempster	Consultant 2	09-Aug-17	0.20	360	72.00	Trade-On	Review docs supplied by deirdre for deceased estate transfer - print and note to her need transfer form complete to update registry - bruce nickle deceased	Investors
Neil Dempster	Consultant 2	09-Aug-17	0.10	360	36.00	Trade-On	Remove swift code from Joal Neilson Trust at direction of advisor - print confirmation	Investors

Renee Lobb	Senior Director	11-Aug-17	0.20	570	114.00	Trade-On	Assist Neil Dempster in responding to adviser query regarding transfer.	Investors
Neil Dempster	Consultant 2	11-Aug-17	0.30	360	108.00	Trade-On	Make transfer for bruce nickle deceased estate - also check master register for another account they listed on form to transfer - not able to locate. Confirm transfer with Apex investor services and advise them of same. print, file.	Investors
Neil Dempster	Consultant 2	11-Aug-17	0.10	360	36.00	Trade-On	Update register 100209964 with the swift code provided by apex	Investors
Neil Dempster	Consultant 2	11-Aug-17	0.20	360	72.00	Trade-On	Email to Nilsari Mohammed regarding outstanding transfers - DBS needs to sign off	Investors
Renee Lobb	Senior Director	14-Aug-17	0.20	570	114.00	Trade-On	Call with perpetual regarding account closure. send email to perpetual and Suncorp with alternate options after found accounts cannot be closed with an EFT to a non-Suncorp account.	Fund Administration
Neil Dempster	Consultant 2	14-Aug-17	0.10	360	36.00	Trade-On	Review DBS bank transfer docs for future transfer - as requested confirm they look ok - note I will perform transfer soon	Investors
Renee Lobb	Senior Director	15-Aug-17	0.20	570	114.00	Trade-On	Respond to unit holding enquiry after checking against registry.	Investors
Kelly-Anne Trenfield	Senior Managing Director	15-Aug-17	0.10	625	62.50	Administration	Review and approve request for proforma invoice/draft bill/finalised billing and payment	Remuneration
Neil Dempster	Consultant 2	15-Aug-17	0.40	360	144.00	Trade-On	Transfer 4 unit holdings 100260439 100262872 100254895 100254408 from ANZ to DBS Bank limited - email confirmation to DBS update register and print documentation	Investors
Kelly-Anne Trenfield	Senior Managing Director	17-Aug-17	0.10	625	62.50	Administration	Email in from Gadens and quick review of statement of claim; email from and response to Russells re service and response to Gadens	Legal
Renee Lobb	Senior Director	18-Aug-17	0.10	570	57.00	Trade-On	Respond to query on unit holding. advise number of units does not change as distributions are capital in nature.	Investors
Renee Lobb	Senior Director	18-Aug-17	0.10	570	57.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Senior Director	25-Aug-17	0.10	570	57.00	Trade-On	Call with Grant Thornton as receivers of fund for Western Union. discuss recent amended Whyte application and request times to have a call to discuss same at GT's request.	Receiver
Neil Dempster	Consultant 2	28-Aug-17	0.20	360	72.00	Trade-On	Email to Kent Walter give instructions for transferring deceased estate holdings to beneficiaries	Investors
Neil Dempster	Consultant 2	28-Aug-17	0.20	360	72.00	Trade-On	Locate Peter Jury in master register as no id number provided - give instructions to update details for CPAIF	Investors
Neil Dempster	Consultant 2	29-Aug-17	0.20	360	72.00	Trade-On	Email to Gerry Whitehouse with update re CPAIF - direct to FMIF website for matters to be completed prior to distribution	Investors

Renee Lobb	Senior Director	30-Aug-17	0.20	570	114.00	Investigations	Discuss with Kelly-Anne Trenfield requirement to review estimated return from First Mortgage Income Fund for feeder funds. look up unit holdings and calculate same before sending to Kelly-Anne Trenfield for Russells.	FMIF
Kelly-Anne Trenfield	Senior Managing Director	30-Aug-17	0.10	625	62.50	Administration	review RCL calcs re feeder fund distributions; forward to Russells	Legal
Renee Lobb	Senior Director	31-Aug-17	0.10	570	57.00	Investigations	Review Kelly-Anne Trenfield email to Russells regarding return and fund position for First Mortgage Income Fund feeder fund action.	Legal
Renee Lobb	Senior Director	31-Aug-17	0.30	570	171.00	Investigations	Teleconference with Kelly-Anne Trenfield and GT regarding feeder fund action. Discuss thereafter adding POD calling into Annual RTC and estimating costs of same.	Legal
Kelly-Anne Trenfield	Senior Managing Director	31-Aug-17	0.20	625	125.00	Administration	Teleconference with GT's re who is to take control of proceedings call to Ashley to confirm	Legal
Renee Lobb	Senior Director	01-Sep-17	0.10	570	57.00	Investigations	Review correspondence issued to Gadens regarding receivers GT taking carriage of feeder fund action	Receiver
Renee Lobb	Senior Director	04-Sep-17	0.10	570	57.00	Trade-On	Advise investor of unit holdings in response to request for same. Look up unit holding. respond to adviser with same and note pricing on website.	Investors
Renee Lobb	Senior Director	05-Sep-17	0.20	570	114.00	Trade-On		Investors
Renee Lobb	Senior Director	06-Sep-17	0.10	570	57.00	Investigations	Review email from GT regarding Receivers running ICP/AIF/GPAIF feeder action in defence to First Mortgage Income Fund proceedings. email Kelly-Anne Trenfield confirming she is happy to confirm correspondence issued to Gadens advising of position.	Receiver
Neil Dempster	Consultant 2	07-Sep-17	0.20	360	72.00	Trade-On	Email to Toni McCarthy change of details form and instructions for completion noting only changes to various sections required	Investors
Renee Lobb	Senior Director	08-Sep-17	0.30	570	171.00	Investigations	Reviewing CBA returned funds notification. locate investor details and mark up return of monies. request Neil Dempster to make contact with estate lawyer and get details to resend.	Fund Administration
Neil Dempster	Consultant 2	08-Sep-17	0.20	360	72.00	Trade-On	Email to Ferne Bradley with request to confirm details for account to reissue transaction originally sent 12.12.2016	Investors
Renee Lobb	Senior Director	12-Sep-17	0.10	570	57.00	Trade-On	Reviewing correspondence regarding returned funds.	Fund Administration
Renee Lobb	Senior Director	12-Sep-17	0.10	570	57.00	Trade-On	Respond to investor query. advise no distributions scheduled.	Investors
Neil Dempster	Consultant 2	12-Sep-17	0.20	360	72.00	Trade-On	Prepare upload spreadsheet for Ferne Malley transfer - send to CBA double check details	Investors
Neil Dempster	Consultant 2	12-Sep-17	0.30	360	108.00	Trade-On	Email to Toni McCarthy explain information she needs to provide for transfer process - note section 2 she does not need to supply utility letter. Both clients to sign transfer docs as both trustees. Ask her to confirm information already on register prior	Investors
Neil Dempster	Consultant 2	12-Sep-17	0.10	360	36.00	Trade-On	Email to Toni McCarthy with clients investor number	Investors

Neil Dempster	Consultant 2		12-Sep-17	0.10	360	36.00	Trade-On	Review payment to Malley and Co - confirm ok to release Respond t creditor query and explain relationship between CPAIF and First Mortgage Income Fund and dependency of distributions from First Mortgage Income Fund on distributions to CPAIF unit holders.	Investors
Renee Lobb	Senior Director		13-Sep-17	0.20	570	114.00	Trade-On	Respond to investor query and check transfer for CPAIF returned payment	Investors
Renee Lobb	Senior Director		13-Sep-17	0.10	570	57.00	Trade-On		Investors
Caroline Halcoop	Administration 2		13-Sep-17	0.20	185	37.00	Administration	Review & forward Commbiz i/n transfer to NGD for approval; approve/release transfer; pdf confirmation & email to NGD & RCL	Fund Administration
Neil Dempster	Consultant 2		13-Sep-17	0.10	360	36.00	Trade-On	Email to Ferne Bradley from malley and co - confirm distribution reissued to their account	Investors
Neil Dempster	Consultant 2		14-Sep-17	0.20	360	72.00	Trade-On	Email to Toni McCarthy noting sign off must be signed to allow me to change register	Investors
Neil Dempster	Consultant 2		15-Sep-17	0.10	360	36.00	Trade-On	Email to OMI confirm unit holdings for two investments	Investors
Neil Dempster	Consultant 2		19-Sep-17	0.30	360	108.00	Trade-On	Update register Peter Rainford change - confirm with investor - print and file	Investors
Neil Dempster	Consultant 2		20-Sep-17	0.10	360	36.00	Trade-On	Email to Brett Collings confirm his bank details appear in register - ask for confirmation of swift code and sort code if different	Investors
Neil Dempster	Consultant 2		20-Sep-17	0.50	360	180.00	Creditors	Export time data for LMIM annual report - recode time entries so rem report macro can function (~250 entries) - run macro	Administration
Renee Lobb	Senior Director		21-Sep-17	0.20	570	114.00	Trade-On	Respond to request for update. advise no update will be issued till First Mortgage Income Fund distributes or gives timing for distributions.	Investors
Renee Lobb	Senior Director		22-Sep-17	0.10	570	57.00	Investigations	Reviewing letter from russells to HWL as lawyers for western union receivers. print and save to file.	Legal
Neil Dempster	Consultant 2		25-Sep-17	0.30	360	108.00	Trade-On	Update bank details for Pauline Hadley, provide update on CPAIF matters - note FMF distribution required prior to distribution from CPAIF	Investors
Neil Dempster	Consultant 2		25-Sep-17	0.30	360	108.00	Trade-On	Email to Deidre at Apex Investment services advise steps for deceased trustee transfer and updating of signatory list if required	Investors
Renee Lobb	Senior Director		26-Sep-17	0.20	570	114.00	Trade-On	Review and respond to CPAIF timing for conclusion query.	Investors
Neil Dempster	Consultant 2		26-Sep-17	0.10	360	36.00	Creditors	Email to Toni McCarthy re her clients transfer form	Investors
Neil Dempster	Consultant 2		26-Sep-17	0.20	360	72.00	Creditors	Email to Deidre with transfer requirements for deceased estate and deceased trustee requirements	Investors
Neil Dempster	Consultant 2		26-Sep-17	0.10	360	36.00	Creditors	Email to Joy Muldrew instructions for updating bank details	Investors
Renee Lobb	Senior Director		27-Sep-17	1.00	570	570.00	Trade-On	Go through full list of unit holdings provided by OMI. match to our records and note changes they need to make to their records for unit holdings and reference numbers to ensure they reconcile to LM Investment Management Ltd's records going forward.	Investors

Neil Dempster	Consultant 2		28-Sep-17	0.10	360	36.00	Trade-On	Email to Michelle Oppert with details for deceased estate transfer	Investors
Renee Lobb	Senior Director		29-Sep-17	0.20	570	114.00	Trade-On	Look up investor information, receiver website for sept report and respond in detail regarding CPAIF distribution dependence on First Mortgage Income Fund.	Investors
Renee Lobb	Senior Director		29-Sep-17	0.10	570	57.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Senior Director		03-Oct-17	0.30	570	171.00	Trade-On	Respond to query on unit holdings and sept transactions. respond to further enquiry requesting update.	Investors
Renee Lobb	Senior Director		03-Oct-17	0.20	570	114.00	Trade-On	Reviewing First Mortgage Income Fund report as to impact on feeder fund.	FMIIF
Neil Dempster	Consultant 2		03-Oct-17	0.20	360	72.00	Trade-On	Email to harrison smith instructions on how to transfer	Investors
Renee Lobb	Senior Director		04-Oct-17	0.30	570	171.00	Trade-On	Review and respond to unit and pricing request. advise of recent First Mortgage Income Fund receiver report posted which provides no indication of return to feeders should litigation be successful. Further investor email and response.	Investors
Renee Lobb	Senior Director		05-Oct-17	0.20	570	114.00	Trade-On	Responding to investor unit holder discrepancies from OMI. Respond to further query arising from First Mortgage Income Fund receiver report noting if litigation successful then no return to CPAIF investors.	Investors
Neil Dempster	Consultant 2		06-Oct-17	0.20	360	72.00	Creditors	Email Gerald Gates update re cpaiif - direct to fmiif website for more info	Investors
Renee Lobb	Senior Director		18-Oct-17	0.20	570	114.00	Trade-On	Responding to investor query regarding First Mortgage Income Fund action and CPAIF.	Investors
Kelly-Anne Trenfield	Senior Managing Director		19-Oct-17	0.40	625	250.00	Administration	Reconciliation of remuneration approval	Remuneration
Renee Lobb	Senior Director		19-Oct-17	0.20	570	114.00	Trade-On	Respond to creditor enquiry regarding Whyte feeder fund action.	Investors
Renee Lobb	Senior Director		27-Oct-17	0.20	570	114.00	Trade-On	Take call and provide update to CPAIF investor of potential of Whyte action to erode all return.	Investors
Renee Lobb	Senior Director		27-Oct-17	0.10	570	57.00	Investigations	Review russells advice on HWL correspondence received by Receivers of feeder funds seeking court approval for access to records.	Legal
Kelly-Anne Trenfield	Senior Managing Director		27-Oct-17	0.10	625	62.50	Administration	review funds and invoice re remuneration approval order.	Remuneration
Kelly-Anne Trenfield	Senior Managing Director		27-Oct-17	0.20	625	125.00	Administration	Email in from GT to RCL; respond to RCL query re information to GT and BDO review correspondence in from russells including letter from HWL re feeder fund receivers access to books and records; provide instructions pays to wims email in from russell re advice	Receiver
Kelly-Anne Trenfield	Senior Managing Director		30-Oct-17	0.20	625	125.00	Administration	Reconciliation of time to remuneration order approval	Remuneration
Renee Lobb	Senior Director		30-Oct-17	0.20	570	114.00	Trade-On	Review and respond to creditor enquiry.	Investors
Renee Lobb	Senior Director		31-Oct-17	0.20	570	114.00	Trade-On	Respond to investor enquiry.	Investors
Kelly-Anne Trenfield	Senior Managing Director		01-Nov-17	0.20	625	125.00	Administration	Review and reconciliation of remuneration in line with court order and in preparation of future remuneration approval	Remuneration

Kelly-Anne Trenfield	Senior Managing Director	03-Nov-17	0.10	625	62.50	Administration	Remuneration approval reconciliation to court order	Remuneration
Renee Lobb	Senior Director	03-Nov-17	0.10	570	57.00	Trade-On	Respond to investor query. direct to updating details form to change point of contact.	Investors
Renee Lobb	Senior Director	06-Nov-17	0.20	570	114.00	Trade-On	Responding to further feeder fund query from investor.	Investors
Renee Lobb	Senior Director	09-Nov-17	0.10	570	57.00	Investigations	Look through files for emails and instructions on removal of perpetual as custodian for CPAIF and CPAIF accounts.	Fund Administration
Renee Lobb	Senior Director	09-Nov-17	0.30	570	171.00	Trade-On	Respond to email from advisor requesting update on distributions. Respond to further query regarding First Mortgage Income Fund and CPAIF link and Whyte's action and impact on CPAIF. Further query response along same lines.	Advisors
Renee Lobb	Senior Director	10-Nov-17	0.20	570	114.00	Trade-On	Answer investor query regarding First Mortgage Income Fund/CPAIF action and impact.	Investors
Renee Lobb	Senior Director	10-Nov-17	0.30	570	171.00	Investigations	Look for investor using email. send detailed information on CPAIF/First Mortgage Income Fund action.	Investors
Renee Lobb	Senior Director	13-Nov-17	0.10	570	57.00	Trade-On	Respond to investor email regarding update.	Investors
Renee Lobb	Senior Director	13-Nov-17	0.20	570	114.00	Trade-On	Call with perpetual regarding custodian removal from accounts. clarify a few points in the email. seek Neil Dempster assistance to draft required documents and compile documents for return.	Fund Administration
Renee Lobb	Senior Director	13-Nov-17	0.20	570	114.00	Trade-On	Assisting Neil Dempster with background to First Mortgage Income Fund claim over feeder fund money explain receivers not answerable to members and how to tailor response.	Receiver
Neil Dempster	Consultant 2	13-Nov-17	0.20	360	72.00	Creditors	Update register De Menech Family trust- print and file	Investors
Neil Dempster	Consultant 2	13-Nov-17	0.20	360	72.00	Creditors	Update register Brian Irvine - bank details and address	Investors
Neil Dempster	Consultant 2	13-Nov-17	0.10	360	36.00	Administration	Request next registry folder be created for transfers	Fund Administration
Neil Dempster	Consultant 2	13-Nov-17	0.20	360	72.00	Creditors	Update details ross carrel family trust	Investors
Neil Dempster	Consultant 2	13-Nov-17	0.20	360	72.00	Creditors	Update register Julie still trust- print and file	Investors
Neil Dempster	Consultant 2	13-Nov-17	0.20	360	72.00	Creditors	Update details jebjab trust print and file	Investors
Neil Dempster	Consultant 2	13-Nov-17	0.20	360	72.00	Creditors	Update bank details the samul trust	Investors
Neil Dempster	Consultant 2	13-Nov-17	0.20	360	72.00	Creditors	William and Carole Smith update register - print and file	Investors
Neil Dempster	Consultant 2	13-Nov-17	0.30	360	108.00	Creditors	Draft email to Jeremy Young regarding claim against CPAIF by FMIF and assigning defence to Receivers	Receiver
Neil Dempster	Consultant 2	13-Nov-17	0.20	360	72.00	Creditors	S L Laidlaw Trust - update address print and file	Investors
Neil Dempster	Consultant 2	13-Nov-17	0.10	360	36.00	Creditors	Check details the ocean trust - no changes confirm with advisor	Investors
Neil Dempster	Consultant 2	13-Nov-17	0.20	360	72.00	Creditors	Update details Onwards and Upwards Trust - print and file	Investors
Neil Dempster	Consultant 2	13-Nov-17	0.10	360	36.00	Creditors	Review Doidge Investments Trust - confirm to Michelle Opert no changes.	Investors
Neil Dempster	Consultant 2	13-Nov-17	0.10	360	36.00	Creditors	Check registry Doige Investment Trust (kids) 132566 confirm details are correct	Investors

Neil Dempster	Consultant 2		13-Nov-17	0.30	360	108.00	Creditors	R A & M Blackler 112857 - check details and enduring power of attorney - update register - print and file	Investors
Neil Dempster	Consultant 2		13-Nov-17	0.40	360	144.00	Creditors	Email to Miriam - current update re CPAIF timing for future distributions if at all. give background on FMIF claim	Investors
Neil Dempster	Consultant 2		13-Nov-17	0.30	360	108.00	Creditors	Email to Jo Norris re transfer procedures - note difference between Receiver and Liquidator - provide commentary on transferring	Investors
Renee Lobb	Senior Director		14-Nov-17	0.10	570	57.00	Trade-On	Review Neil Dempster email draft and approve to send.	Administration
Neil Dempster	Consultant 2		14-Nov-17	0.20	360	72.00	Creditors	Deceased estate transfer David Miller - update register print and file	Investors
Neil Dempster	Consultant 2		14-Nov-17	0.20	360	72.00	Creditors	Email to peter gloyas - directions for making transfer	Investors
Neil Dempster	Consultant 2		14-Nov-17	0.10	360	36.00	Creditors	Check unit holdings for joemarie at OMI confirm units reconcile	Investors
Neil Dempster	Consultant 2		14-Nov-17	0.40	360	144.00	Creditors	Draft email to Neil Bishop current update re CPAIF - note to RCL we should make comments on FTI website re BDOs latest comments	Investors
Neil Dempster	Consultant 2		14-Nov-17	0.30	360	108.00	Creditors	Compile data and email to michelle oppert with full listing of clients under the GWM business.	Investors
Neil Dempster	Consultant 2		14-Nov-17	0.20	360	72.00	Creditors	Email to michelle oppert with notes for swift codes and how to obtain	Investors
Neil Dempster	Consultant 2		14-Nov-17	0.20	360	72.00	Creditors	Update address 116946 - email print and file	Investors
Neil Dempster	Consultant 2		14-Nov-17	0.10	360	36.00	Creditors	Update email address investor 128391	Investors
Neil Dempster	Consultant 2		14-Nov-17	0.10	360	36.00	Creditors	Email to Neil and Nancie Bishop with latest CPAIF update after brief discussion with RCL	Investors
Neil Dempster	Consultant 2		14-Nov-17	0.20	360	72.00	Creditors	Email to Diane at Menzies Marchall Law latest update re CPAIF	Investors
Renee Lobb	Senior Director		15-Nov-17	0.20	570	114.00	Trade-On	Call with investor regarding follow up on change of details form. provide correct address and fund update.	Investors
Neil Dempster	Consultant 2		15-Nov-17	0.10	360	36.00	Creditors	Email to Sabrina Guo confirm unit holdings remain the same for DBS Bank	Investors
Renee Lobb	Senior Director		16-Nov-17	0.30	570	171.00	Trade-On	Call with adviser from Singapore regarding First Mortgage Income Fund action. explain in detail claim etc.	Investors
Neil Dempster	Consultant 2		16-Nov-17	0.20	360	72.00	Creditors	Transfer Ian Lornie from Aegis print and update register	Investors
Neil Dempster	Consultant 2		16-Nov-17	0.10	360	36.00	Creditors	Update email address les sword	Investors
Neil Dempster	Consultant 2		16-Nov-17	0.10	360	36.00	Creditors	Email to Jamie Morgan - cant transfer until death certificate provided or copy of appt docs of executor	Investors
Neil Dempster	Consultant 2		16-Nov-17	0.10	360	36.00	Trade-On	Email to Chris Bawn confirm we will not undertake UCITS/PRIPs/KIID work - not necessary	Investors
Neil Dempster	Consultant 2		16-Nov-17	1.00	360	360.00	Assets	Draft letter to CBA with request to transfer accounts after PTAL custodian removed	Fund Administration
Renee Lobb	Senior Director		17-Nov-17	0.30	570	171.00	Trade-On	Locate FY 12 accounts and send to Raj regarding First Mortgage Income Fund claim. advise further documents can be downloaded from ASIC.	Investors
Renee Lobb	Senior Director		20-Nov-17	0.30	570	171.00	Trade-On	Call with investor. explain FMIF CPAIF action and implications if successful.	Investors

Kelly-Anne Trenfield	Senior Managing Director	20-Nov-17	0.10	625	62.50	Administration	Formulation of 2017 claim (not progressed) and reconciliation of 2015 claim	Remuneration
Renee Lobb	Senior Director	21-Nov-17	0.20	570	114.00	Trade-On	Respond to investor query on fund update.	Investors
Renee Lobb	Senior Director	22-Nov-17	0.10	570	57.00	Administration	Filing all sent email correspondence for records.	Administration
Kelly-Anne Trenfield	Senior Managing Director	23-Nov-17	0.20	625	125.00	Administration	Review and approve request for proforma invoice/draft bill/finalised billing and payment	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	24-Nov-17	0.10	625	62.50	Administration	Review and approve request for proforma invoice/draft bill/finalised billing and payment	Remuneration
Renee Lobb	Senior Director	27-Nov-17	0.20	570	114.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Senior Director	27-Nov-17	0.30	570	171.00	Trade-On	Send to Kelly-Anne Trenfield balance information. request Suncorp payment to CB LM Investment Management Ltd AIF account to pay invoice 8981inv15. send Kelly-Anne Trenfield update on drawing of same.	Investors
Neil Dempster	Consultant 2	27-Nov-17	0.20	360	72.00	Creditors	Review email from Jo at Aegis, review register confirm postal address for Ian Lornie is correct - note they wont receive correspondence in relation to this investor	Investors
Neil Dempster	Consultant 2	27-Nov-17	0.20	360	72.00	Creditors	Email to OMI with latest update regarding CPAIF and issue with FMIF distribution	Investors
Kelly-Anne Trenfield	Senior Managing Director	27-Nov-17	0.20	625	125.00	Administration	Review and approve request for proforma invoice/draft bill/finalised billing and payment	Remuneration
Renee Lobb	Senior Director	28-Nov-17	0.20	570	114.00	Trade-On	Respond to investor query on action to defend Whyte's claim. Go into combiz and print bank statement. attach to receipt voucher. Draw additional invoice received from Kelly-Anne Trenfield via MYOB request. sign off on payment after checking. send to Kelly-Anne Trenfield to process. file all fee invoicing emails of	Investors
Renee Lobb	Senior Director	28-Nov-17	0.40	570	228.00	Administration	Review and approve payment of outstanding administration liabilities including review of supporting documentation and signing of transfer or cheque	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	28-Nov-17	0.10	625	62.50	Administration	Respond to investor query.	Investors
Renee Lobb	Senior Director	29-Nov-17	0.10	570	57.00	Trade-On	Review Grant Thornton Submissions for First Mortgage Income Fund/Feeder Fund action defence. suggest website blurb regarding same.	Legal
Renee Lobb	Senior Director	29-Nov-17	0.30	570	171.00	Investigations	Email in from Russells providing response to HWL re GT R&M request for books and records email in from Russells re correspondence from HWL re provision of books and records re FMIF proceedings; draft response email in and response to Russells re correspondence	Legal
Kelly-Anne Trenfield	Senior Managing Director	29-Nov-17	0.30	625	187.50	Administration	Respond to investor enquiry.	Investors
Renee Lobb	Senior Director	30-Nov-17	0.10	570	57.00	Trade-On	PDF edited.	Administration
Ashleigh Ubank	Administration 2	01-Dec-17	0.10	185	18.50	Administration	Discussion with Julian at Russells regarding HWLE and receiver feeder fund action notices. discuss also remuneration application NAV's required. debrief with Kelly-Anne Trenfield.	Legal
Renee Lobb	Senior Director	01-Dec-17	0.10	570	57.00	Investigations	Respond to investor request for transaction statement. confirm no new transactions.	Legal
Renee Lobb	Senior Director	01-Dec-17	0.10	570	57.00	Trade-On		Investors

Neil Dempster	Consultant 2	01-Dec-17	0.10	360	36.00	Creditors	Email to Michael olsen latest update	Investors
Neil Dempster	Consultant 2	01-Dec-17	0.10	360	36.00	Trade-On	Email to Aidan Metcalf with latest cpaif update	Investors
Neil Dempster	Consultant 2	01-Dec-17	0.20	360	72.00	Creditors	Review email from Joy Muldrew in relation to change of address for investor - GC office is closed - email to RCL	Investors
Neil Dempster	Consultant 2	01-Dec-17	0.10	360	36.00	Creditors	Email to Eddie Cunningham with latest cpaif update	Investors
Neil Dempster	Consultant 2	01-Dec-17	0.10	360	36.00	Creditors	Email to adrian metcalfe with change of details form	Investors
Neil Dempster	Consultant 2	06-Dec-17	0.20	360	72.00	Creditors	Email from Aegis - they note they made a mistake on Ian Lornie transfer form - wrong units - doesn't matter as register correct - note this to them	Investors
Neil Dempster	Consultant 2	06-Dec-17	0.20	360	72.00	Creditors	Email to Aegis note i need their bank account details to revert transfer for Ian Lornie - update details in register with their address	Investors
Neil Dempster	Consultant 2	06-Dec-17	0.20	360	72.00	Creditors	Update bank details for ICSL - email to Jo of Aegis to confirm complete	Investors
Renee Lobb	Senior Director	07-Dec-17	0.10	570	57.00	Trade-On	Respond to investor email seeking update.	Investors
Renee Lobb	Senior Director	08-Dec-17	0.10	570	57.00	Trade-On	Complete NAV and send to russells.	Fund Administration
Renee Lobb	Senior Director	11-Dec-17	0.10	570	57.00	Administration	Locate no of investors in fund. Send to Russells.	Investors
Renee Lobb	Senior Director	12-Dec-17	0.10	570	57.00	Trade-On	Respond to perpetual enquiry regarding removal as custodian of CPAIF accounts.	Fund Administration
Renee Lobb	Senior Director	13-Dec-17	0.20	570	114.00	Trade-On	Call with investor. confirm current address and provide update on litigation and fund generally.	Investors
Renee Lobb	Senior Director	19-Dec-17	0.20	570	114.00	Trade-On	Respond to CPAIF update query. explain action and fund background.	Investors
Renee Lobb	Senior Director	19-Dec-17	0.10	570	57.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Senior Director	19-Dec-17	0.10	570	57.00	Trade-On	Review and respond to update request from investor.	Investors
Renee Lobb	Senior Director	08-Jan-18	0.10	570	57.00	Trade-On	Take phone call from investor. explain action run by David Whyte and Grant Thornton defence.	Investors
Renee Lobb	Senior Director	08-Jan-18	0.20	570	114.00	Trade-On		Investors
Neil Dempster	Consultant 2	08-Jan-18	0.10	360	36.00	Creditors	Email to Michael Olsen ask for investor number to update email	Investors
Neil Dempster	Consultant 2	08-Jan-18	0.20	360	72.00	Creditors	Email to michael olsen re timing for conclusion of the BDO/GT claim	Investors
Neil Dempster	Consultant 2	08-Jan-18	0.10	360	36.00	Creditors	Email to michael olsen direct him to skandia to get investor ID	Investors
Neil Dempster	Consultant 2	08-Jan-18	0.30	360	108.00	Assets	Read latest report by BDO discuss implications with RCL	FMIF
Neil Dempster	Consultant 2	08-Jan-18	0.20	360	72.00	Creditors	Update register change of details pamela morrison - email to joy muldrew to confirm	Investors
Renee Lobb	Senior Director	09-Jan-18	0.50	570	285.00	Trade-On	Calls with Suncorp and discussions with Neil Dempster regarding removal of custodian requirements for Suncorp and CBA. Letter to Suncorp and PTAL regarding removal of custodian and account novation. Review Neil Dempster documents for CBA and make some amendments	Fund Administration
Neil Dempster	Consultant 2	09-Jan-18	0.10	360	36.00	Creditors	Email to CITI bank confirm we are appointed to CPAIF and we are appropriate contact point	Investors
Neil Dempster	Consultant 2	09-Jan-18	0.40	360	144.00	Administration	Review letter to CBA and associated transfer docs - email to RCL ask for fund constitution location	Fund Administration
Renee Lobb	Senior Director	10-Jan-18	0.10	570	57.00	Trade-On	Respond to investor query seeking confirmation of holdings. look up register and reply.	Investors

Renee Lobb	Senior Director	10-Jan-18	0.20	570	114.00	Administration	Scan, save and send with information to Perpetual the letter to remove them as custodians on fund accounts. Call with perpetual. answer their queries on same.	Fund Administration
Neil Dempster	Consultant 2	10-Jan-18	0.20	360	72.00	Creditors	Email Ito Steve Davies note his client is with CPAIF and he did not miss out on distribution - give general update on CPAIF	Investors
Neil Dempster	Consultant 2	10-Jan-18	0.20	360	72.00	Creditors	Email to Joy Muldrew re her clients unit holdings and value - provide general CPAIF information re FMIF	Investors
Renee Lobb	Senior Director	11-Jan-18	0.10	570	57.00	Trade-On	Follow up Neil Dempster regarding completion of CBA custodian removal forms.	Fund Administration
Neil Dempster	Consultant 2	11-Jan-18	0.10	360	36.00	Creditors	Email to joy muldrew confirm her clients unit holding number	Investors
Neil Dempster	Consultant 2	12-Jan-18	0.20	360	72.00	Creditors	Email to Alan McElrea with latest CPAIF update - check register - email different note I can update	Investors
Neil Dempster	Consultant 2	12-Jan-18	0.10	360	36.00	Creditors	Update email Alan McElrea - email confirmation	Investors
Neil Dempster	Consultant 2	12-Jan-18	0.30	360	108.00	Assets	Email to Eric Leeuwandal at BDO - email querying upcoming distribution from the FMIF and whether it will exclude the CPAIF. If so why, so i may manage the communications to the CPAIF investors	fmif
Neil Dempster	Consultant 2	12-Jan-18	0.20	360	72.00	Creditors	Email to Jaime Lagos - note i require information from BDO before responding to his enquiry regarding the FMIF distribution and what it means for CPAIF	Investors
Renee Lobb	Senior Director	15-Jan-18	0.10	570	57.00	Trade-On	Respond to investor enquiry regarding latest fund update, provide same in reply.	Investors
Neil Dempster	Consultant 2	18-Jan-18	0.10	360	36.00	Creditors	Review register confirm details for investor 100181080 - address diff from email	Investors
Renee Lobb	Senior Director	22-Jan-18	0.10	570	57.00	Administration	Review update from Suncorp on custodian removal from accounts.	Fund Administration
Neil Dempster	Consultant 2	22-Jan-18	0.30	360	108.00	Administration	CBA novation forms and cover letter draft	Fund Administration
Renee Lobb	Senior Director	24-Jan-18	0.10	570	57.00	Trade-On	Respond to investor update request.	Investors
Renee Lobb	Senior Director	24-Jan-18	0.10	570	57.00	Administration	Assisting Neil Dempster with queries on CBA custodian removal forms for accounts.	Fund Administration
Neil Dempster	Consultant 2	24-Jan-18	0.10	360	36.00	Administration	Email to the CBA with draft application forms for PTAL custodian termination - ask them to review.	Fund Administration
Neil Dempster	Consultant 2	24-Jan-18	0.20	360	72.00	Administration	Call from Michael Hargreave at CBA - discuss changes to be made to forms to transfer acct from custodian. Make changes to forms as required.	Investors
Renee Lobb	Senior Director	25-Jan-18	0.10	570	57.00	Administration	Discussion with Neil Dempster regarding novation of accounts away from custodian.	Fund Administration
Neil Dempster	Consultant 2	25-Jan-18	0.10	360	36.00	Creditors	Email to Aegis note transfer docs can be combined, but resultant transfer will be two investments	Investors
Neil Dempster	Consultant 2	25-Jan-18	0.10	360	36.00	Administration	Finalise letter instructing PTAL to novate - send to JRP	Fund Administration
Neil Dempster	Consultant 2	29-Jan-18	0.10	360	36.00	Administration	Call from mary pickering note proper instructions will be provided for account novation	Investors
Neil Dempster	Consultant 2	29-Jan-18	0.20	360	72.00	Creditors	Email to Dot Hodgson with instructions for bank account update in register	Investors
Neil Dempster	Consultant 2	29-Jan-18	0.10	360	36.00	Creditors	Email to PTAL with proper instructions for novation	Fund Administration

Renee Lobb	Senior Director	29-Jan-18	0.10	570	57.00	Administration	Review and discuss custodian removal process from CBA accounts with Neil Dempster.	Fund Administration
Renee Lobb	Senior Director	31-Jan-18	0.40	570	228.00	Trade-On	Detailed letter in reply to audit request for information for PWC client and investor Commerzbank Singapore.	Investors
Neil Dempster	Consultant 2	31-Jan-18	0.20	360	72.00	Creditors	Email to Brian Sharp - note no distributions made form CPAIF yet - give brief update	Investors
Neil Dempster	Consultant 2	31-Jan-18	0.10	360	36.00	Creditors	Email to Paul Schriener general CPAIF update	Investors
Neil Dempster	Consultant 2	31-Jan-18	0.10	360	36.00	Creditors	Email to Andrew Wong - ask for investment no to update email	Investors
Neil Dempster	Consultant 2	31-Jan-18	0.20	360	72.00	Creditors	Email to Mr and Mrs Kenter - brief CPAIF update	Investors
Neil Dempster	Consultant 2	31-Jan-18	0.20	360	72.00	Creditors	Review email to Jamie Morgan re transfer of deceased estate - need appointment docs for public trustee	Investors
Neil Dempster	Consultant 2	31-Jan-18	0.30	360	108.00	Creditors	Email to Jaime Lagos re CPAIF and proceedings of FMIF against fund - give other general info and check register for his bank details.	Investors
Neil Dempster	Consultant 2	31-Jan-18	0.20	360	72.00	Creditors	Scan CBA transfer forms for novation to file - make file copy.	Fund Administration
Renee Lobb	Senior Director	01-Feb-18	0.30	570	171.00	Trade-On	Respond to request for transaction statement. confirm no transactions in January 2018. Review email requesting update on Grant Thornton litigation progress against First Mortgage Income Fund feeder fund claim. locate grant Thornton contact and send reply.	Legal
Neil Dempster	Consultant 2	01-Feb-18	0.20	360	72.00	Creditors	Update register George Albert Cox - perpetual guardian appointment	Fund Administration
Neil Dempster	Consultant 2	01-Feb-18	0.10	360	36.00	Creditors	Email to Richard at perpetual guardian give instructions for bank acct update	Fund Administration
Neil Dempster	Consultant 2	01-Feb-18	0.10	360	36.00	Creditors	Update bank details deceased estate george albert cox	Investors
Neil Dempster	Consultant 2	01-Feb-18	0.30	360	108.00	Creditors	Email to Perpetual Guardian with CPAIF current matters and update - note unit pricing from 2016 will have changed	Fund Administration
Neil Dempster	Consultant 2	02-Feb-18	0.10	360	36.00	Creditors	Scan signed CBA transfer forms for PTAL resignation to file	Fund Administration
Renee Lobb	Senior Director	05-Feb-18	0.10	570	57.00	Trade-On	Locate constitution to have certified and send to CBA to remove custodian from account.	Fund Administration
Neil Dempster	Consultant 2	08-Feb-18	0.10	360	36.00	Creditors	Email to Maxine at OMI general info re fund	Investors
Neil Dempster	Consultant 2	08-Feb-18	0.20	360	72.00	Creditors	Directions to OMI re transfers for the CPAIF	Investors
Neil Dempster	Consultant 2	08-Feb-18	0.10	360	36.00	Creditors	Email to Paul Schriener note time frame for FMIF matters uncertain	Investors
Neil Dempster	Consultant 2	08-Feb-18	0.10	360	36.00	Creditors	Email to Donald Drake with our postal address	Investors
Neil Dempster	Consultant 2	08-Feb-18	0.10	360	36.00	Creditors	Email to Ken Weber with latest CPAIF update	Investors
Neil Dempster	Consultant 2	08-Feb-18	0.20	360	72.00	Assets	Deliver CBA account novation forms to 180 Ann St CBA branch	Fund Administration
Neil Dempster	Consultant 2	08-Feb-18	0.20	360	72.00	Creditors	Email to Adrian Page at Financial Page - transfer instructions	Investors
Neil Dempster	Consultant 2	08-Feb-18	0.30	360	108.00	Creditors	Update register transfer heritage trust company limited address - print and file email confirmation	Fund Administration

Neil Dempster	Consultant 2		08-Feb-18	0.20	360	72.00	Creditors	Email to Adrian Page with further instructions for transfer request	Investors
Neil Dempster	Consultant 2		08-Feb-18	0.20	360	72.00	Creditors	Email to Steve change PG Trust Co re holdings for GT Nominees Pty Ltd holdings and confirm transfers still ok	Investors
Neil Dempster	Consultant 2		08-Feb-18	0.10	360	36.00	Creditors	Email to Pawel Orzechowski confirm we are the POC for CPAIF for transfers etc.	Investors
Neil Dempster	Consultant 2		09-Feb-18	0.20	360	72.00	Creditors	Snip register for GT nominees Pty Ltd holdings - email to Steve change with transfer forms	Investors
Neil Dempster	Consultant 2		12-Feb-18	0.10	360	36.00	Creditors	Email to Aegis with notes on transfer to Craigs - need swift code	Investors
Renee Lobb	Senior Director		14-Feb-18	0.40	570	228.00	Trade-On	Respond to investor request for update. Provide details on action by First Mortgage Income Fund targeted at CPAIF monies and provide grant thornnton's details to contact for an update on the defence. Respond to investor request for Judge's email handling t	Investors
Renee Lobb	Senior Director		14-Feb-18	0.10	570	57.00	Assets	Provide Kelly-Anne Trenfield details of cash at bank held for CPAIF.	Fund Administration
Renee Lobb	Senior Director		14-Feb-18	0.10	570	57.00	Trade-On	Discussion with Neil Dempster regarding amendments to CBA forms required to remove custodians.	Fund Administration
Neil Dempster	Consultant 2		14-Feb-18	0.10	360	36.00	Creditors	Email to Steve change latest cpaif update	Investors
Neil Dempster	Consultant 2		15-Feb-18	0.30	360	108.00	Creditors	Complete transfer Susan Dods between investor platforms - email confirmation	Investors
Neil Dempster	Consultant 2		15-Feb-18	0.20	360	72.00	Creditors	Update bank details investor 12.1039	Investors
Neil Dempster	Consultant 2		16-Feb-18	0.10	360	36.00	Creditors	Email to Kirsten very - note no contract notes prepared	Investors
Renee Lobb	Senior Director		23-Feb-18	0.10	570	57.00	Trade-On	Locating all Suncorp and CBA account balances. Summarise in email to Perpetual after assets requested to be confirmed for their ASIC obligations.	Fund Administration
Renee Lobb	Senior Director		01-Mar-18	0.20	570	114.00	Trade-On	Respond to two queries regarding unit holdings and transactions.	Investors
Renee Lobb	Senior Director		05-Mar-18	0.20	570	114.00	Trade-On	Call with investor. provide update.	Investors
Renee Lobb	Senior Director		06-Mar-18	0.20	570	114.00	Trade-On	Responding to investor query regarding wind up.	Investors
Renee Lobb	Senior Director		06-Mar-18	0.10	570	57.00	Trade-On	Respond to investor query.	Investors
Neil Dempster	Consultant 2		06-Mar-18	0.10	360	36.00	Creditors	Email to Nicholas Zavaail cpaif latest update	Investors
Ashleigh Ubank	Administration 2		07-Mar-18	0.10	185	18.50	Administration	WIP proforma/excel report/s generated for code.	Remuneration
Carly Drew	Administration 2		07-Mar-18	0.10	185	18.50	Administration	Ran WIP for KAT	Remuneration
Renee Lobb	Senior Director		09-Mar-18	0.10	570	57.00	Trade-On	Review transfer form, look up investor and match to fund. give to Neil Dempster for processing.	Investors
Renee Lobb	Senior Director		12-Mar-18	0.30	570	171.00	Trade-On	Call with investor. provide update on First Mortgage Income Fund court action against fund and contact details for grant thornnton.	Investors
Renee Lobb	Senior Director		13-Mar-18	0.60	570	342.00	Trade-On	Call with Kenneth Weber. Locate investment. Provide very detailed update and send supplementary email noting same.	Investors
Renee Lobb	Senior Director		13-Mar-18	0.20	570	114.00	Trade-On	Look up investor ID and match to fund. request NGD send transfer forms to email.	Investors
Renee Lobb	Senior Director		13-Mar-18	0.10	570	57.00	Trade-On	Return investor call and leave message.	Investors
Neil Dempster	Consultant 2		13-Mar-18	0.10	360	36.00	Administration	File review with RCL	Administration

Renee Lobb	Senior Director	14-Mar-18	0.30	570	171.00	Trade-On	Call with investor, provide detailed update and receiver details. Email Grant Thornton seeking update.	Investors
Neil Dempster	Consultant 2	14-Mar-18	0.10	360	36.00	Administration	Email to AU with assistance request for CBA transfer forms Call with investor, advise transfer process, obtain details to send documents, provide update also. Call with other investor regarding valuation.	Fund Administration Investors
Renee Lobb	Senior Director	15-Mar-18	0.50	570	285.00	Trade-On		Investors
Neil Dempster	Consultant 2	19-Mar-18	0.20	360	72.00	Creditors	Email to Mr Hall re closing trust and how to transfer for registry	Investors
Kelly-Anne Trenfield	Senior Managing Director	19-Mar-18	0.10	625	62.50	Administration	email in from Russells re update on feeder fund claims by FMIF	Legal
Neil Dempster	Consultant 2	20-Mar-18	0.10	360	36.00	Creditors	Email to Ross Hall confirm i need another copy of trust deed Edits to previously submitted CBA novation forms x7 email to Perpetual	Investors
Neil Dempster	Consultant 2	20-Mar-18	0.40	360	144.00	Administration	Email transfer forms to Dublin citi bank	Fund Administration
Neil Dempster	Consultant 2	22-Mar-18	0.10	360	36.00	Creditors	Email to Charlotte at OMI - instructions re transfer	Investors
Neil Dempster	Consultant 2	22-Mar-18	0.10	360	36.00	Creditors	File emails approx 20	Administration
Neil Dempster	Consultant 2	22-Mar-18	0.10	360	36.00	Administration	Review content and affidavit material regarding feeder fund action. Save to file.	Legal
Renee Lobb	Senior Director	23-Mar-18	0.20	570	114.00	Investigations	Email to Perpetual follow up on forms	Fund Administration
Neil Dempster	Consultant 2	27-Mar-18	0.10	360	36.00	Creditors	Reprint bank acct transfer forms for JRP to sign	Fund Administration
Neil Dempster	Consultant 2	27-Mar-18	0.20	360	72.00	Administration	Review transfers from Aegis to Craigs x3 - some discrepancies in transferring units. Email to Craigs and Aegis request they review their transfer documentation.	Investors
Neil Dempster	Consultant 2	28-Mar-18	0.80	360	288.00	Creditors	Check all novation docs are signed for CBA, Date and put in letter for hand delivery	Fund Administration
Neil Dempster	Consultant 2	28-Mar-18	0.10	360	36.00	Administration	Email to OMI reconcile their units held as per request	Investors
Neil Dempster	Consultant 2	29-Mar-18	0.10	360	36.00	Creditors	Discussion with Neil Dempster regarding audit documents to be located.	Fund Administration
Renee Lobb	Senior Director	06-Apr-18	0.10	570	57.00	Trade-On	Email to RCL and KAT re CPAIF audit of funds and where docs saved electronically	Fund Administration
Neil Dempster	Senior Consultant 1	06-Apr-18	0.20	380	76.00	Investigations	Email to DBS bank with transfer instructions	Fund Administration
Neil Dempster	Senior Consultant 1	11-Apr-18	0.10	380	38.00	Creditors	Call from Ross Hall - discuss CPAIF latest update and confirm i have his transfer docs, perform transfer update register email to investor, print and file	Investors
Neil Dempster	Senior Consultant 1	11-Apr-18	0.30	380	114.00	Creditors	Email to Jaime Lagos note no response received from grant thornton yet regarding their defence.	Investors
Neil Dempster	Senior Consultant 1	17-Apr-18	0.20	380	76.00	Creditors	Email to RCL re audit for CPAIF 2009-2012	Investors
Neil Dempster	Senior Consultant 1	17-Apr-18	0.10	380	38.00	Assets	Review and respond to investor email regarding holdings. Confirm unchanged.	Audit
Renee Lobb	Senior Director	18-Apr-18	0.10	570	57.00	Trade-On	Email to EY request 2009-12 audits.	Investors
Neil Dempster	Senior Consultant 1	18-Apr-18	0.10	380	38.00	Investigations		Audit
Neil Dempster	Senior Consultant 1	18-Apr-18	0.10	380	38.00	Creditors	Request AU run searches on LMIM financial reports for CPAIF audits	Audit

Neil Dempster	Senior Consultant 1	19-Apr-18	0.50	380	190.00	Creditors	Review email from Aegis re 4 transfer requests for CPAIF - confirm 2 transfers already completed and provide confirmation of the transfer again. for remaining transfers, review documentation and register note units do not match, note their commentary re po	Investors
Neil Dempster	Senior Consultant 1	19-Apr-18	0.10	380	38.00	Creditors	Advise to clearstream i manage registry for CPAIF and transfers come to me	Fund Administration
Neil Dempster	Senior Consultant 1	19-Apr-18	0.10	380	38.00	Creditors	Email to OMI with directions to supply investor number for me to confirm unit holdings	Investors
Renee Lobb	Senior Director	23-Apr-18	0.20	570	114.00	Investigations	Review Grant Thornton update on First Mortgage Income Fund legal action and save report to file.	Legal
Renee Lobb	Senior Director	24-Apr-18	0.20	570	114.00	Trade-On	Respond to full list of OMI unit holdings. confirm same.	Investors
Renee Lobb	Senior Director	26-Apr-18	0.20	570	114.00	Trade-On	Respond to CPAIF investor query. provide update on action and attach GT report.	Investors
Renee Lobb	Senior Director	27-Apr-18	0.10	570	57.00	Trade-On	Return email query and provide fund update.	Investors
Renee Lobb	Senior Director	30-Apr-18	0.10	570	57.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Senior Director	01-May-18	0.20	570	114.00	Trade-On	Respond to email regarding transfer request. answer 7 questions on process.	Investors
Neil Dempster	Senior Consultant 1	03-May-18	0.10	380	38.00	Creditors	Review email banque pictet - confirm their unit holdings	Investors
Neil Dempster	Senior Consultant 1	03-May-18	0.20	380	76.00	Creditors	Email to David Stevens CPAIF latest update attached GT correspondence	Investors
Neil Dempster	Senior Consultant 1	03-May-18	0.20	380	76.00	Creditors	Email to alex balle from aegis re transfer forms and how to do for cpaif	Investors
Neil Dempster	Senior Consultant 1	03-May-18	0.30	380	114.00	Creditors	Email to Francesca answer queries raised in email and provide general update in relation to CPAIF	Investors
Neil Dempster	Senior Consultant 1	03-May-18	0.10	380	38.00	Creditors	Email to Alejandra - give transfer instructions	Investors
Neil Dempster	Senior Consultant 1	04-May-18	0.20	380	76.00	Creditors	Reverse transfer 5 mile hill trust - email confirmation to Aegis - units did not reconcile originally as Aegis register pooled units.	Investors
Neil Dempster	Senior Consultant 1	04-May-18	0.40	380	152.00	Creditors	Review of investment IDs provided by Rosemary Clark at Craigs - reconcile all units and currencies. Summarise requested info regarding Craigs account 489506	Investors
Neil Dempster	Senior Consultant 1	04-May-18	0.10	380	38.00	Creditors	Update email address john whitmore	Investors
Neil Dempster	Senior Consultant 1	04-May-18	0.10	380	38.00	Creditors	Update address onwards and upwards trust	Investors
Neil Dempster	Senior Consultant 1	04-May-18	0.20	380	76.00	Creditors	Update registry donald drake address file backing docs and print further transfer checklists for future transfers	Investors
Neil Dempster	Senior Consultant 1	04-May-18	0.10	380	38.00	Creditors	Confirm units for rosemary clark for account 100182815	Investors
Neil Dempster	Senior Consultant 1	04-May-18	0.10	380	38.00	Creditors	Email to Mitch Jackie CPAIF latest update	Investors
Neil Dempster	Senior Consultant 1	04-May-18	0.30	380	114.00	Creditors	Reconcile ~15 accounts for OMI international - note discrepancies	Investors
Neil Dempster	Senior Consultant 1	04-May-18	0.20	380	76.00	Creditors	Update details roy sheppard - give general update cpaif	Investors
Renee Lobb	Senior Director	10-May-18	0.20	570	114.00	Trade-On	Call with investor. provide detailed fund update.	Investors
Ashleigh Ubank	Administration 2	10-May-18	0.20	185	37.00	Administration	ASIC documents retrieved online from Encompass.	Administration
Neil Dempster	Senior Consultant 1	10-May-18	0.10	380	38.00	Creditors	Email to David Williams latest update	Investors
Neil Dempster	Senior Consultant 1	10-May-18	0.20	380	76.00	Administration	Request AU to download audits from ASIC website - give doc ID numbers	Audit

Neil Dempster	Senior Consultant 1	10-May-18	0.10	380	38.00	Creditors	Email to toni lagos regarding the swift code to update register Email to OMI note they need to provide investment numbers so i can confirm units	Investors
Neil Dempster	Senior Consultant 1	10-May-18	0.10	380	38.00	Creditors		Investors
Neil Dempster	Senior Consultant 1	14-May-18	0.10	380	38.00	Creditors	Email to keagan d'souza confirm sale snot possible only transfers	Investors
Neil Dempster	Senior Consultant 1	14-May-18	0.10	380	38.00	Creditors	Latest email update andrew hatherell	Investors
Neil Dempster	Senior Consultant 1	14-May-18	0.30	380	114.00	Creditors	Advise janna wybrow directions re transferring for deceased estate and how process works	Investors
Neil Dempster	Senior Consultant 1	14-May-18	0.20	380	76.00	Creditors	Email to keagan d'souza confirm types of transfer and note what happens if client wishes to surrender holdings	Investors
Neil Dempster	Senior Consultant 1	14-May-18	0.20	380	76.00	Creditors	Email to Kokamol - infinity solutions. latest update and check his clients email address	Investors
Neil Dempster	Senior Consultant 1	14-May-18	0.30	380	114.00	Creditors	Transfer investor id 125755 - update register and print confirmations. note to Aegis that full banking details are to be provided and reasons for this (time/costs). ask them to confirm email	Investors
Neil Dempster	Senior Consultant 1	14-May-18	0.20	380	76.00	Creditors	Email to Craigs with current units holdings - check all figures prior	Investors
Neil Dempster	Senior Consultant 1	14-May-18	0.20	380	76.00	Creditors	Email Jaime Lagos - review what i have sent him previously - provide grant thornton update	Receiver
Neil Dempster	Senior Consultant 1	14-May-18	0.20	380	76.00	Creditors	Email to Jeremy Young re audits and update from GT	Investors
Renee Lobb	Senior Director	15-May-18	0.10	570	57.00	Trade-On	Call with EY regarding discount on audit fees. post discussion with Kelly-Anne Trenfield regarding same.	Audit
Neil Dempster	Senior Consultant 1	15-May-18	0.10	380	38.00	Creditors	Update swift code murray and Janice craig	Investors
Neil Dempster	Senior Consultant 1	16-May-18	0.20	380	76.00	Creditors	Export Investment Custodial Services Limited CPAIF unit holdings for reconcile by ICSL - save and set aside	Investors
Neil Dempster	Senior Consultant 1	17-May-18	0.10	380	38.00	Creditors	Latest update email michael newall	Investors
Neil Dempster	Senior Consultant 1	17-May-18	0.20	380	76.00	Creditors	Email to Keagan D'souza re process for surrendering unit holdings	Investors
Neil Dempster	Senior Consultant 1	18-May-18	0.20	380	76.00	Creditors	Email to Kasper Mrozicki note no redemptions will be processed - no contract notes will be provided	Investors
Neil Dempster	Senior Consultant 1	18-May-18	0.10	380	38.00	Creditors	Email to oktawian from Citi note no contract notes will be issued	Investors
Renee Lobb	Senior Director	22-May-18	0.10	570	57.00	Trade-On	Email Trent Franklin advising we do not object to PTAL appointing counsel at cost of the fund as Grant Thornton is defending the proceedings brought by First Mortgage Income Fund.	Fund Administration
Neil Dempster	Senior Consultant 1	22-May-18	0.10	380	38.00	Creditors	Email to matthaus kurt latest update email	Investors
Neil Dempster	Senior Consultant 1	23-May-18	0.20	380	76.00	Creditors	Email to Sabrina Guo latest update re CPAIF - note all funds not due to be paid back	Investors
Neil Dempster	Senior Consultant 1	24-May-18	0.20	380	76.00	Creditors	Email to margaret cannon give instructions for transfer re deceased estate	Investors
Neil Dempster	Senior Consultant 1	24-May-18	0.10	380	38.00	Creditors	Email to Nanda with current holdings in investment 100200609	Investors

Neil Dempster	Senior Consultant 1	24-May-18	0.20	380	76.00	Creditors	Email to Jaime and Toni Lagos ask for change of details form to be completed - bank details not accurate according to their emails	Investors
Renee Lobb	Senior Director	30-May-18	0.20	570	114.00	Trade-On	Respond to investor distribution query and update request.	Investors
Neil Dempster	Senior Consultant 1	31-May-18	0.10	380	38.00	Creditors	Email to Tom Xu confirm his client received no distributions	Investors
Neil Dempster	Senior Consultant 1	31-May-18	0.20	380	76.00	Creditors	Transfer investment no 100241173 from aegis to craigs	Investors
Neil Dempster	Senior Consultant 1	04-Jun-18	0.20	380	76.00	Creditors	Latest update email to Aidan Metcalfe	Investors
Neil Dempster	Senior Consultant 1	04-Jun-18	0.10	380	38.00	Creditors	Update email address 100140615	Investors
Neil Dempster	Senior Consultant 1	05-Jun-18	0.20	380	76.00	Creditors	Email to Aidan metcalfe direct to GT re pre-appointment distributions	Investors
Neil Dempster	Senior Consultant 1	07-Jun-18	0.20	380	76.00	Creditors	Call from Raphael - discuss latest update - put in writing for him - direct to our website	Investors
Renee Lobb	Senior Director	13-Jun-18	0.20	570	114.00	Trade-On	Respond to investor request for update.	Investors
Renee Lobb	Senior Director	15-Jun-18	0.20	570	114.00	Trade-On	Respond to investor email. Provide change of details form.	Investors
Neil Dempster	Senior Consultant 1	18-Jun-18	0.10	380	38.00	Creditors	Confirm unit holdings to advisor 100198795	Investors
Neil Dempster	Senior Consultant 1	18-Jun-18	0.10	380	38.00	Creditors	Email to Tim at clearstream note no further update available from GT	Investors
Neil Dempster	Senior Consultant 1	19-Jun-18	0.10	380	38.00	Creditors	Email to Danielle at OMI confirm unit holdings at 18 June 2018	Investors
Neil Dempster	Senior Consultant 1	19-Jun-18	0.10	380	38.00	Creditors	Email to John Uden latest update cpafif	Investors
Neil Dempster	Senior Consultant 1	20-Jun-18	0.20	380	76.00	Creditors	Review and print docs from ben stone from FNZ - transfer cant complete as deceased estate and need will and death cert. email to confirm	Investors
Neil Dempster	Senior Consultant 1	21-Jun-18	0.10	380	38.00	Creditors	Email to Anoek from ASB - note nav not available presently but we will prepare in near future	Fund Administration
Neil Dempster	Senior Consultant 1	26-Jun-18	0.10	380	38.00	Creditors	Email to toni lago confirm our postal address	Investors
Neil Dempster	Senior Consultant 1	26-Jun-18	0.30	380	114.00	Creditors	Email from anna fitzibbon - check id number provided - give instructions for deceased estate transfer and forms to complete when doing this	Investors
Neil Dempster	Senior Consultant 1	26-Jun-18	0.10	380	38.00	Creditors	Update email address maurice and rietta millar	Investors
Renee Lobb	Senior Director	27-Jun-18	0.20	570	114.00	Trade-On	Investor call. locate correct fund and provide details on how to change details and how fund is progressing.	Investors
Neil Dempster	Senior Consultant 1	28-Jun-18	0.20	380	76.00	Creditors	Email to anastasia green with change of details form after locating her in the cpafif register with her provided details	Investors
Neil Dempster	Senior Consultant 1	28-Jun-18	0.20	380	76.00	Creditors	Deceased estate transfer FNZ to Janet Wilson - email and print confirmation	Investors
Neil Dempster	Senior Consultant 1	29-Jun-18	0.10	380	38.00	Creditors	Latest update email Neil Smith	Investors
Renee Lobb	Senior Director	01-Jul-18	0.20	570	114.00	Trade-On	Respond to creditor query regarding Pfaf action	Investors
Talia Glover	Administration 1	09-Jul-18	0.10	155	15.50	Administration	Produced excel WIP report from 010618 to 300618 - emailed to KAT	Remuneration
Talia Glover	Administration 1	09-Jul-18	0.10	155	15.50	Administration	Produced excel WIP report from 010618 to 300618 - emailed to KAT	Remuneration
Renee Lobb	Senior Director	13-Jul-18	0.30	570	171.00	Trade-On	Respond to 2 CPAIF enquiry emails.	Investors

Renee Lobb	Senior Director	15-Jul-18	0.20	570	114.00	Trade-On	Respond to investor query email regarding prosecution of directors, NAVs and government backing.	Investors
Renee Lobb	Senior Director	16-Jul-18	0.10	570	57.00	Trade-On	Respond to investor enquiry email.	Investors
Renee Lobb	Senior Director	18-Jul-18	0.20	570	114.00	Trade-On	Call with investor. Explain current status of the fund.	Investors
Renee Lobb	Senior Director	24-Jul-18	0.10	570	57.00	Trade-On	Respond to investor query regarding First Mortgage Income Fund action. Direct to Grant Thornton.	Investors
Neil Dempster	Senior Consultant 1	24-Jul-18	0.10	380	38.00	Creditors	Confirm to ben stone transfer updated with the swift code	Investors
Neil Dempster	Senior Consultant 1	25-Jul-18	0.20	380	76.00	Creditors	Email to ben stone with exert from register re his transfer request	Investors
Renee Lobb	Senior Director	25-Jul-18	0.30	570	171.00	Trade-On	Reviewing register for the fund to send notice of remuneration application. removing email duplicates and postage duplicates after separating email and post only investors.	Remuneration
Ashleigh Ubank	Administration 2	26-Jul-18	4.60	185	851.00	Administration	Notice formatted on letterhead. Investor list formatted in preparation for send out.	Investors
Neil Dempster	Senior Consultant 1	26-Jul-18	0.10	380	38.00	Creditors	Direct Anoek from Aegis to website query re pricing.	Investors
Neil Dempster	Senior Consultant 1	26-Jul-18	0.10	380	38.00	Creditors	Email to Anoek confirm currency of fund pricing	Investors
Renee Lobb	Senior Director	26-Jul-18	0.70	570	399.00	Trade-On	Do notice to members for remuneration application. send to Russells for review and discuss notice issuing with Kelly-Anne Trenfield and Ashleigh Ubank. Finalising notice. emailing to members and assisting Ashleigh Ubank with mail out.	Remuneration
Ashleigh Ubank	Administration 2	27-Jul-18	0.40	185	74.00	Administration	Investor listing supplied to worldwide amended and re-issued. Communication with World Wide regarding printing of report.	Investors
Renee Lobb	Senior Director	30-Jul-18	1.00	570	570.00	Trade-On	Registering returned emails from remuneration application notice sent. Mark up to be sent via post. Respond to numerous queries.	Remuneration
Ashleigh Ubank	Administration 2	31-Jul-18	1.10	185	203.50	Administration	Investor list formatted and mailing labels generated. Report prepared for send out, issued to mail and faxed to relevant parties. Responding to numerous email queries after sending of remuneration application notice. Updating returned register to deliver returned emails via post.	Investors
Renee Lobb	Senior Director	31-Jul-18	0.80	570	456.00	Trade-On	Respond to further investor enquiry emails.	Remuneration
Renee Lobb	Senior Director	31-Jul-18	0.30	570	171.00	Trade-On	Respond to inventory update request.	Investors
Renee Lobb	Senior Director	01-Aug-18	0.20	570	114.00	Trade-On	Respond to inventory update request.	Investors
Renee Lobb	Senior Director	02-Aug-18	0.10	570	57.00	Trade-On	Make payment and update register for worldwide payment.	Fund Administration
Renee Lobb	Senior Director	03-Aug-18	0.30	570	171.00	Trade-On	Review investor query regarding distributions. Go through distribution information	Investors
Neil Dempster	Senior Consultant 1	03-Aug-18	0.10	380	38.00	Creditors	Update re brian listere email	Investors
Neil Dempster	Senior Consultant 1	03-Aug-18	0.10	380	38.00	Creditors	Email to Gowan Duff re email address	Investors
Neil Dempster	Senior Consultant 1	03-Aug-18	0.10	380	38.00	Creditors	Email to Alan Clarke with change of details form	Investors
Neil Dempster	Senior Consultant 1	03-Aug-18	0.30	380	114.00	Creditors	Transfer barbara farrera	Investors
Neil Dempster	Senior Consultant 1	03-Aug-18	0.10	380	38.00	Creditors	Email change of details form jan brooks	Investors

Renee Lobb	Senior Director	06-Aug-18	0.20	570	114.00	Trade-On	Respond to investor query regarding remuneration application.	Investors
Neil Dempster	Senior Consultant 1	06-Aug-18	0.10	380	38.00	Creditors	Ken Shaw update address	Investors
Neil Dempster	Senior Consultant 1	08-Aug-18	0.20	380	76.00	Creditors	Email to Shawn Hu FNZ re transfer and their current holdings	Investors
Renee Lobb	Senior Director	09-Aug-18	0.10	570	57.00	Trade-On	Respond to investor query email.	Investors
Renee Lobb	Senior Director	13-Aug-18	0.10	570	57.00	Trade-On	Review email from investor regarding update to details. confirm must be on prescribed form.	Investors
Renee Lobb	Senior Director	16-Aug-18	0.30	570	171.00	Investigations	Going through notice to members or remuneration application. mark up those to be sent in post. confirm with Russells.	Remuneration
Renee Lobb	Senior Director	16-Aug-18	0.20	570	114.00	Trade-On	Respond to adviser on behalf of investor email raising remuneration application queries.	Investors
Kelly-Anne Trenfield	Senior Managing Director	16-Aug-18	0.10	625	62.50	Administration	Review and approve payment of outstanding administration liabilities including review of supporting documentation and signing of transfer or cheque	Fund Administration
Neil Dempster	Senior Consultant 1	16-Aug-18	0.10	380	38.00	Creditors	Email to Mike Wood direct to GT for update re FMIF claim	Investors
Renee Lobb	Senior Director	17-Aug-18	0.10	570	57.00	Trade-On	Respond to investor query regarding updating details. Send form for same.	Investors
Renee Lobb	Senior Director	17-Aug-18	0.10	570	57.00	Trade-On	Respond to Russell's on investor query about being represented at the hearings for remuneration.	Investors
Renee Lobb	Senior Director	20-Aug-18	0.30	570	171.00	Trade-On	Respond to investor query regarding remuneration application. Respond to further query regarding fund wind up.	Investors
Renee Lobb	Senior Director	21-Aug-18	0.20	570	114.00	Trade-On	Respond to investor request for units held, fund returns and current value.	Investors
Renee Lobb	Senior Director	22-Aug-18	0.30	570	171.00	Trade-On	Reviewing detailed queries and Neil Dempster's draft response. check currency and structure of CPAIF unit holding in FMIF and add in extra information to allow Neil Dempster to finalise and issue response.	Investors
Neil Dempster	Senior Consultant 1	22-Aug-18	1.00	380	380.00	Creditors	Draft email to Amiel at ASB re a number of queries regarding historical unit pricing for CPAIF - note we cant provide historical individual currency pricing, ask query to RCL re misallocated units in affidavit - can't answer	Investors
Renee Lobb	Senior Director	23-Aug-18	0.20	570	114.00	Trade-On	Respond to investor query on fund NAVs.	Investors
Neil Dempster	Senior Consultant 1	27-Aug-18	0.10	380	38.00	Administration	Confirm unit holdings for Old mutual	Investors
Neil Dempster	Senior Consultant 1	28-Aug-18	0.20	380	76.00	Creditors	Email to Nicola Quinn - transfer form and latest update	Investors
Neil Dempster	Senior Consultant 1	29-Aug-18	0.10	380	38.00	Creditors	Email to Amiel from Aegis re historical unit pricing	Investors
Ashleigh Ubank	Administration 2	30-Aug-18	0.10	185	18.50	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Renee Lobb	Senior Director	04-Sep-18	0.10	570	57.00	Trade-On	Review detailed queries about transfer process. Answer email including 6 questions contained therein.	Investors
Renee Lobb	Senior Director	04-Sep-18	0.20	570	114.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Senior Director	04-Sep-18	0.10	570	57.00	Trade-On	Respond to multiple queries regarding transfer process.	Investors

Neil Dempster	Senior Consultant 1	05-Sep-18	0.10	380	38.00	Creditors	Email to Ealish from OMI with transfer forms	Investors
Ashleigh Ubank	Administration 2	06-Sep-18	0.20	185	37.00	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Renee Lobb	Senior Director	06-Sep-18	0.10	570	57.00	Trade-On	Changing billing information on Xero to ensure separate fund invoice received.	Fund Administration
Renee Lobb	Senior Director	10-Sep-18	0.10	570	57.00	Trade-On	Check transfer documents received. Respond and confirm.	Investors
Renee Lobb	Senior Director	11-Sep-18	0.10	570	57.00	Trade-On	Respond to investor transfer query.	Investors
Neil Dempster	Senior Consultant 1	11-Sep-18	0.10	380	38.00	Creditors	Email to Aegis confirm doc requirement for transfer	Investors
Neil Dempster	Senior Consultant 1	11-Sep-18	0.40	380	152.00	Creditors	Update register 2x investments Toni and Jaime lagos - print all docs and return confirmation email	Investors
Neil Dempster	Senior Consultant 1	11-Sep-18	0.10	380	38.00	Creditors	Update email 100200385	Investors
Neil Dempster	Senior Consultant 1	11-Sep-18	0.20	380	76.00	Creditors	Transfer investor 100230150	Investors
Neil Dempster	Senior Consultant 1	11-Sep-18	0.10	380	38.00	Creditors	Update register 100249804 email address	Investors
Neil Dempster	Senior Consultant 1	11-Sep-18	0.20	380	76.00	Creditors	Investor ID 121384 update 3x investments register	Investors
Neil Dempster	Senior Consultant 1	11-Sep-18	0.20	380	76.00	Creditors	Update register x3 investments Investor ID 128443	Investors
Neil Dempster	Senior Consultant 1	11-Sep-18	0.20	380	76.00	Creditors	Update register investor 100248533 email to confirm	Investors
Neil Dempster	Senior Consultant 1	11-Sep-18	0.20	380	76.00	Creditors	100181056 update register email confirmation - print and file	Investors
Neil Dempster	Senior Consultant 1	11-Sep-18	0.10	380	38.00	Creditors	100223726 update address	Investors
Ashleigh Ubank	Administration 2	12-Sep-18	0.20	185	37.00	Administration	RTS. New addresses searched for. Results recorded in investor listing. Report reissued.	Investors
Neil Dempster	Senior Consultant 1	12-Sep-18	0.10	380	38.00	Creditors	Email to RL360 re transfer of unit holdings - confirm processed	Investors
Ashleigh Ubank	Administration 2	13-Sep-18	0.20	185	37.00	Administration	RTS. New addresses searched for. Results recorded in investor listing. Report reissued.	Investors
Kelly-Anne Trenfield	Senior Managing Director	13-Sep-18	0.20	625	125.00	Administration	Review file re order in feeder fund proceedings and outstanding costs	Legal
Renee Lobb	Senior Director	14-Sep-18	0.50	570	285.00	Trade-On	Drafting NAV, calculate AUD equivalent units as at 30 June 2018 using ATO rates. Complete draft update to investors to advise fund progress.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	17-Sep-18	0.10	625	62.50	Administration	Review investor update	Investors
Renee Lobb	Senior Director	18-Sep-18	0.10	570	57.00	Trade-On	Edits to fund updates in line with KATs comments. send revised version and request confirmation they are okay to finalise.	Fund Administration
Renee Lobb	Senior Director	18-Sep-18	0.10	570	57.00	Assets	Confirm with Kat after review of First Mortgage Income Fund registry the number of units held by the fund in First Mortgage Income Fund.	FMIF
Renee Lobb	Senior Director	18-Sep-18	0.10	570	57.00	Assets	Call with investor. look up details. provide detailed account of previous distributions and current fund status incl dependency on litigation outcome for future distributions.	Investors
Renee Lobb	Senior Managing Director	18-Sep-18	0.40	570	228.00	Trade-On	Review, amend and sign RTC	Investors
Kelly-Anne Trenfield	Senior Managing Director	18-Sep-18	0.10	625	62.50	Administration	Send email to investor representative regarding process to update details.	Investors
Renee Lobb	Senior Director	19-Sep-18	0.10	570	57.00	Trade-On		Investors

Renee Lobb	Senior Director	19-Sep-18	0.20	570	114.00	Investigations	Reviewing and discussing transcript of hearing yesterday regarding First Mortgage Income Fund feeder fund action.	Legal
Renee Lobb	Senior Director	19-Sep-18	0.40	570	228.00	Trade-On	Email to WMS following up on tax matter progress; Obtain same and update report to investors accordingly. Further updates throughout the day based on Kelly-Anne Trenfield and John Park feedback. Instructions to Ashleigh Ubank to finalise. Post to website.	Tax
Kelly-Anne Trenfield	Senior Managing Director	19-Sep-18	0.10	625	62.50	Administration	Review initial application re quantum of claim against feeder funds by FMIF; review likely dividend and recovery position	Legal
Ashleigh Ubank	Administration 2	20-Sep-18	0.40	185	74.00	Administration	RTS. New addresses searched for. Results recorded in investor listing.	Investors
Renee Lobb	Senior Director	25-Sep-18	0.10	570	57.00	Trade-On	Review and respond to unit holding email.	Investors
Kelly-Anne Trenfield	Senior Managing Director	25-Sep-18	0.30	625	187.50	Investigations	Review proceeding; update unit valuation and provide update to Russells	Legal
Neil Dempster	Senior Consultant 1	26-Sep-18	0.10	380	38.00	Creditors	Email to Robin Carson re CPAIF latest	Investors
Neil Dempster	Senior Consultant 1	27-Sep-18	0.10	380	38.00	Creditors	100166065 update address	Investors
Neil Dempster	Senior Consultant 1	27-Sep-18	0.20	380	76.00	Creditors	Investor 125849 2xz register update and link re latest report	Investors
Neil Dempster	Senior Consultant 1	27-Sep-18	0.10	380	38.00	Creditors	100176940 update address in register	Investors
Neil Dempster	Senior Consultant 1	27-Sep-18	0.10	380	38.00	Creditors	Email to Richard greaves re our latest report	Investors
Neil Dempster	Senior Consultant 1	27-Sep-18	0.10	380	38.00	Creditors	Investor 100267996 update address	Investors
Neil Dempster	Senior Consultant 1	27-Sep-18	0.10	380	38.00	Creditors	Investor ID 127848 update register	Investors
Neil Dempster	Senior Consultant 1	27-Sep-18	0.20	380	76.00	Creditors	Investor 123245 2x transfers email to confirm	Investors
Neil Dempster	Senior Consultant 1	28-Sep-18	0.30	380	114.00	Creditors	2x transfers - go back to Aegis confirm and request swift codes for addition to register	Investors
Renee Lobb	Senior Director	02-Oct-18	0.10	570	57.00	Administration	Expense claim for Xero access.	Fund Administration
Neil Dempster	Senior Consultant 1	02-Oct-18	0.10	380	38.00	Creditors	Update swift code GL Lyon	Investors
Renee Lobb	Senior Director	03-Oct-18	0.20	570	114.00	Trade-On	Respond to creditor update request. direct to recent update.	Investors
Neil Dempster	Senior Consultant 1	04-Oct-18	0.10	380	38.00	Creditors	Email to Sahima Farook - investment not located - potentially matured	Investors
Ashleigh Ubank	Administration 2	08-Oct-18	0.10	185	18.50	Creditors	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Kelly-Anne Trenfield	Senior Managing Director	11-Oct-18	0.10	625	62.50	Administration	Review and mark-up changes/queries re letter to Gadens re mediation on FMIF/Feeder Fund proceedings	Legal
Kelly-Anne Trenfield	Senior Managing Director	11-Oct-18	0.10	625	62.50	Administration	Email from and response to Russells re status of feeder fund mediation	Legal
Neil Dempster	Senior Consultant 1	11-Oct-18	0.10	380	38.00	Creditors	Email to michelle oppert re latest transfer forms	Investors
Renee Lobb	Senior Director	12-Oct-18	0.40	570	228.00	Trade-On	Call with investor; provide detailed update and dates where possible for CPAIF and First Mortgage Income Fund proceedings.	Investors
Renee Lobb	Senior Director	12-Oct-18	0.20	570	114.00	Trade-On	Call with Donna at SunCorp regarding removal of perpetual from accounts and impact of not allowing us to make transactions once removed.	Fund Administration

Renee Lobb	Senior Director	18-Oct-18	0.10	570	57.00	Administration	Issue email to Perpetual regarding removal as custodian from accounts and adding FTI staff as signatories to ensure we can still transact on the accounts once they are removed.	Fund Administration
Renee Lobb	Senior Director	22-Oct-18	0.10	570	57.00	Trade-On	Providing account information required to remove perpetual from Suncorp accounts and allow us to transact.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	22-Oct-18	0.10	625	62.50	Administration	Review position paper re mediation set for 5 and 6 November 2018; Review correspondence from Gadens re attendance at mediation	Legal
Renee Lobb	Senior Director	23-Oct-18	0.10	570	57.00	Trade-On	Reviewing and responding to Suncorp email regarding CPAIF account setup.	Fund Administration
Renee Lobb	Senior Director	24-Oct-18	0.20	570	114.00	Trade-On	Return creditor email enquiries.	Investors
Renee Lobb	Senior Director	24-Oct-18	0.10	570	57.00	Investigations	Provide new register to Julian Walsh regarding feeder fund proceedings.	Legal
Ashleigh Ubank	Administration 2	24-Oct-18	0.10	185	18.50	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Neil Dempster	Senior Consultant 1	29-Oct-18	0.30	380	114.00	Creditors	Email from Ben Stone - review transfer documentation and what is remaining to be completed to allow transfer to go ahead	Investors
Renee Lobb	Senior Director	29-Oct-18	0.20	570	114.00	Trade-On	Call with investor regarding account details.	Investors
Kelly-Anne Trenfield	Senior Managing Director	29-Oct-18	0.20	625	125.00	Administration	Review correspondence in from HWL; review info re feeder fund units in FMIF; respond to RCL	Legal
Kelly-Anne Trenfield	Senior Managing Director	30-Oct-18	0.20	625	125.00	Administration	Call in from JW (Russells) re feeder fund mediation email from and response to JW (Russells) re feeder fund mediation	Legal
Kelly-Anne Trenfield	Senior Managing Director	02-Nov-18	0.10	625	62.50	Administration	Emails in re feeder fund mediations; draft response re EY attendance email in from JW(Russells) re status of feeder funds	Legal
Renee Lobb	Senior Director	05-Nov-18	0.10	570	57.00	Trade-On	Responding to investor query.	Investors
Kelly-Anne Trenfield	Senior Managing Director	05-Nov-18	2.20	625	1,375.00	Administration	Preparation for, travel to and attending Feeder Fund litigation mediation	Legal
Kelly-Anne Trenfield	Senior Managing Director	05-Nov-18	0.20	625	125.00	Administration	Jar update re mediation; review and file paper work/submissions re feeder fund mediation; RCL update	Legal
Kelly-Anne Trenfield	Senior Managing Director	06-Nov-18	0.10	625	62.50	Investigations	Read letter in from SPB re mediation conduct; review and approve letter to HWL	Legal
Kelly-Anne Trenfield	Senior Managing Director	07-Nov-18	0.20	625	125.00	Administration	Email in re letter to HWL email in and responses to Julian Walsh(Russells) re update on mediation; message for Said Jahani	Legal
Neil Dempster	Senior Consultant 1	07-Nov-18	0.10	380	38.00	Creditors	Review and update to do list; query outstanding items	Investors
Kelly-Anne Trenfield	Senior Managing Director	08-Nov-18	0.30	625	187.50	Administration	Email to Richard Hambleton re latest CPAIF update	Investors
Neil Dempster	Senior Consultant 1	08-Nov-18	0.10	380	38.00	Creditors	Call in from Said Jahani re feeder fund/FMI settlement; update to RCL; update to JRP/Julian Walsh re status of feeder fund mediation; update to RCL	Investors
Neil Dempster	Senior Consultant 1	08-Nov-18	0.10	380	38.00	Creditors	Check transfer ID 124404 same address as previous transfer - ask for confirmation	Investors

Neil Dempster	Senior Consultant 1	08-Nov-18	0.10	380	38.00	Creditors	Samui Trust update email	Investors
Neil Dempster	Senior Consultant 1	08-Nov-18	0.20	380	76.00	Creditors	Onwards and upwards trust - check bank detail update - appears wrong number used email to advisor to check	Investors
Neil Dempster	Senior Consultant 1	08-Nov-18	0.20	380	76.00	Creditors	2x registry updates Ronald Yue	Investors
Neil Dempster	Senior Consultant 1	08-Nov-18	0.10	380	38.00	Creditors	Update register investor raeleyne illey address	Investors
Neil Dempster	Senior Consultant 1	08-Nov-18	0.10	380	38.00	Creditors	Ross Carrrell Family Trust update address	Investors
Neil Dempster	Senior Consultant 1	08-Nov-18	0.20	380	76.00	Creditors	Howorth Trust 2x investments update register	Investors
Neil Dempster	Senior Consultant 1	08-Nov-18	0.30	380	114.00	Creditors	Deceased estate transfer brian burton - check all docs update register and confirm for advisor	Investors
Neil Dempster	Senior Consultant 1	08-Nov-18	0.30	380	114.00	Creditors	Discuss with RCI re process for service to investors	Legal
Neil Dempster	Senior Consultant 1	08-Nov-18	0.20	380	76.00	Creditors	Email to Eve Heppburn re Edwin Street and why partner not administering affairs	Investors
Kelly-Anne Trenfield	Senior Managing Director	09-Nov-18	0.20	625	125.00	Administration	Prepare financial model of draft settlement agreement	Legal
Neil Dempster	Senior Consultant 1	09-Nov-18	1.00	380	380.00	Creditors	Time spent on preparing for an conducting email and mail out of notice to members re application for LMIM future conduct	Legal
Ashleigh Ubank	Administration 2	09-Nov-18	2.40	185	444.00	Creditors	Investor listing formatted for mail out to worldwide.	Investors
Neil Dempster	Senior Consultant 1	12-Nov-18	0.10	380	38.00	Creditors	Email to anastasia green with link to 30 June report re unit pricing	Investors
Neil Dempster	Senior Consultant 1	12-Nov-18	2.50	380	950.00	Administration	Save down ~141 bounceback emails request AU attend to physical mailout	Legal
Ashleigh Ubank	Administration 2	13-Nov-18	0.60	185	111.00	Administration	Follow up service affidavit circulars issued via post to returned emails.	Investors
Neil Dempster	Senior Consultant 1	16-Nov-18	0.20	380	76.00	Creditors	Respond to Dolf Joeekes re CPAIF mailout and what it means	Investors
Neil Dempster	Senior Consultant 1	16-Nov-18	1.00	380	380.00	Administration	Review further ~100x bouncebacks to identify if some missed - all appear to be double ups so no action required	Legal
Neil Dempster	Senior Consultant 1	17-Nov-18	1.00	380	380.00	Creditors	Review and notate draft service affidavit per marked up comments for Julian Walsh review	Legal
Neil Dempster	Senior Consultant 1	18-Nov-18	0.30	380	114.00	Creditors	Attend Russells office and work with Julian Walsh to finalise service affidavit	Legal
Kelly-Anne Trenfield	Senior Managing Director	22-Nov-18	0.20	625	125.00	Administration	Update from Said Jahani; update to Counsel/Russells re feeder fund proceedings	Legal
Kelly-Anne Trenfield	Senior Managing Director	27-Nov-18	0.10	625	62.50	Administration	JRP re update from HWL re feeder funds discussions with Russells	Legal
Neil Dempster	Senior Consultant 1	29-Nov-18	0.20	380	76.00	Creditors	Deceased estate transfer J Yates confirm to FNZ	Investors
Neil Dempster	Senior Consultant 1	29-Nov-18	0.10	380	38.00	Creditors	Confirm transfer date for Ben at FNZ	Investors
Renee Lobb	Senior Director	04-Dec-18	0.10	570	57.00	Administration	Claim for expense of zero subscription.	Fund Administration
Renee Lobb	Senior Director	05-Dec-18	0.20	570	114.00	Investigations	Call with Julian regarding letters of support for hearing on Monday. post discussions with NGD regarding active investors.	Legal
Ashleigh Ubank	Administration 2	06-Dec-18	0.40	185	74.00	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Renee Lobb	Senior Director	06-Dec-18	0.10	570	57.00	Trade-On	Check payment voucher. Advise Neil Dempster to pay from fund Suncorp account not LM Investment Management Ltd. Amend register.	Fund Administration

Neil Dempster	Senior Consultant 1	06-Dec-18	0.10	380	38.00	Creditors	Email to AU re mail bounces recording RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Ashleigh Ubank	Administration 2	07-Dec-18	0.10	185	18.50	Administration	Email from and response to Russell's re said jahani application to join proceedings re directions re resolution of LMemail in from Russells re letter/deed poll from HWL re settlement of feeder fund mediation	Investors
Kelly-Anne Trenfield	Senior Managing Director	07-Dec-18	0.10	625	62.50	Administration	Email in from Russell's re letter/deed poll from HWL re settlement of feeder fund mediation email in from Law Partners; commentary to RCL re unfunded position Filing all sent emails for records.	Legal
Kelly-Anne Trenfield	Senior Managing Director	07-Dec-18	0.10	625	62.50	Administration	Process worldwide payment and delete existing payment which was in LM Investment Management Ltd MYOB and not processed.	Legal
Renee Lobb	Senior Director	12-Dec-18	0.20	570	114.00	Administration	Process payment and send to CCS payments to push. Note they no longer have access. send to Kelly-Anne Trenfield as secondary authority to process. Dealing with Suncorp issues in processing payments. emails to Donna, calls to call centre to review and rectify account authorities and troubleshoot.	Administration
Renee Lobb	Senior Director	17-Dec-18	0.20	570	114.00	Trade-On	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Fund Administration
Renee Lobb	Senior Director	18-Dec-18	0.20	570	114.00	Trade-On	Troubleshooting with Suncorp on account access. get permission from Kelly-Anne Trenfield to PAY using my credit card. Further discussion with Donna. Email to Kelly-Anne Trenfield on process to get second authoriser when she is back and call worldwide to arrange payment of invoice.	Fund Administration
Renee Lobb	Senior Director	18-Dec-18	1.30	570	741.00	Administration	Respond to creditor request for information on distribution. Pay Xero invoice and claim through chrome river.	Fund Administration
Ashleigh Ubank	Administration 2	18-Dec-18	0.10	185	18.50	Administration	Review emails in re FF proceedings and settlement deeds; Review incoming documentation, consider and send to filing Report reissued.	Investors
Renee Lobb	Senior Director	19-Dec-18	1.40	570	798.00	Administration	Emil to OMI re transfer process 5x transfers for Aegis - confirmation email and request Aegis confirm no change in bank acct for one transfer.	Fund Administration
Renee Lobb	Senior Director	20-Dec-18	0.10	570	57.00	Trade-On	Discussion with Julian regarding mediation. Send information to assist. (Entry split between Feeder Funds) Reviewing and responding to investor enquiry emails.	Investors
Renee Lobb	Senior Director	02-Jan-19	0.10	570	57.00	Trade-On	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	02-Jan-19	0.10	625	62.50	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Legal
Ashleigh Ubank	Administration 2	03-Jan-19	0.20	185	37.00	Administration	Emil to OMI re transfer process	Investors
Neil Dempster	Senior Consultant 1	03-Jan-19	0.20	380	76.00	Creditors	5x transfers for Aegis - confirmation email and request Aegis confirm no change in bank acct for one transfer.	Investors
Neil Dempster	Senior Consultant 1	04-Jan-19	0.60	380	228.00	Creditors	Discussion with Julian regarding mediation. Send information to assist. (Entry split between Feeder Funds) Reviewing and responding to investor enquiry emails.	Investors
Renee Lobb	Senior Director	09-Jan-19	0.15	570	85.50	Investigations	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Legal
Renee Lobb	Senior Director	10-Jan-19	0.30	570	171.00	Trade-On	Transfer invest ID 100224930 Email to FNZ confirm previous transfer and give list of current holdings	Investors
Ashleigh Ubank	Administration 2	11-Jan-19	0.20	185	37.00	Administration		Investors
Neil Dempster	Senior Consultant 1	11-Jan-19	0.20	380	76.00	Creditors		Investors
Neil Dempster	Senior Consultant 1	11-Jan-19	0.20	380	76.00	Creditors		Investors

Neil Dempster	Senior Consultant 1	11-Jan-19	0.10	380	38.00	Creditors	Email to Sam Danzelman re latest court hearing re remuneration	Investors
Neil Dempster	Senior Consultant 1	11-Jan-19	0.10	380	38.00	Creditors	Email to OMI confirm unit holdings remain the same	Investors
Renee Lobb	Senior Director	14-Jan-19	0.30	570	171.00	Trade-On	Call from investor. provide update on proceedings.	Investors
Renee Lobb	Senior Director	14-Jan-19	0.20	570	114.00	Trade-On	Respond to investor query regarding redemption.	Investors
Neil Dempster	Senior Consultant 1	14-Jan-19	0.10	380	38.00	Creditors	Email to OMI re unit holdings	Investors
Neil Dempster	Senior Consultant 1	14-Jan-19	0.10	380	38.00	Creditors	Email to OMI confirm investment number is CPAIF - note to use our forms	Investors
Neil Dempster	Senior Consultant 1	14-Jan-19	0.30	380	114.00	Creditors	Email to Eve Hepburn re Edwin St transfer and instructions	Investors
Neil Dempster	Senior Consultant 1	15-Jan-19	0.10	380	38.00	Administration	Update register patricia and humphrey rainey RTS notification	Investors
Neil Dempster	Senior Consultant 1	15-Jan-19	0.10	380	38.00	Creditors	Email to AMP re bulk updates to registry	Investors
Neil Dempster	Senior Consultant 1	15-Jan-19	0.20	380	76.00	Creditors	Transfer 100261395	Investors
Neil Dempster	Senior Consultant 1	15-Jan-19	0.10	380	38.00	Creditors	Update registry email murray kerr	Investors
Neil Dempster	Senior Consultant 1	15-Jan-19	0.10	380	38.00	Creditors	Email to Michelle Oppert re Onwards and Upwards Trust bank details	Investors
Neil Dempster	Senior Consultant 1	15-Jan-19	0.10	380	38.00	Creditors	Update address The Julie Still Investment Trust	Investors
Neil Dempster	Senior Consultant 1	15-Jan-19	0.20	380	76.00	Creditors	Check transfer Lorna Holst Estate - email to executor what is missing re deceased estate transfer	Investors
Renee Lobb	Senior Director	17-Jan-19	0.20	570	114.00	Trade-On	Investor update request and reply.	Investors
Ashleigh Ubank	Administration 2	21-Jan-19	0.10	185	18.50	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Kelly-Anne Trenfield	Senior Managing Director	21-Jan-19	0.10	625	62.50	Administration	Update re feeder fund proceedings and emails in response	Legal
Ashleigh Ubank	Administration 2	24-Jan-19	0.20	185	37.00	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Neil Dempster	Senior Consultant 1	24-Jan-19	0.10	380	38.00	Creditors	Email to OMI confirm ids should be dated for transfer	Investors
Neil Dempster	Senior Consultant 1	28-Jan-19	0.10	380	38.00	Creditors	Email to OMI re fax number	Investors
Neil Dempster	Senior Consultant 1	28-Jan-19	0.10	380	38.00	Creditors	Email to OMI re fax number - back out re public holiday	Investors
Renee Lobb	Senior Director	29-Jan-19	0.20	570	114.00	Trade-On	Respond to investor enquiry email.	Investors
Neil Dempster	Senior Consultant 1	30-Jan-19	0.10	380	38.00	Creditors	Email to Elish re transferring unit holdings	Investors
Neil Dempster	Senior Consultant 1	31-Jan-19	0.10	380	38.00	Creditors	Email to OMI re fax number	Investors
Ashleigh Ubank	Administration 2	04-Feb-19	0.20	185	37.00	Creditors	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Renee Lobb	Senior Director	04-Feb-19	0.10	570	57.00	Administration	Xero expense claim.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	04-Feb-19	0.10	625	62.50	Administration	Email in re Said Jahani re applications to effect feeder fund settlement; email in re David Whyte application	Investors
Neil Dempster	Senior Consultant 1	04-Feb-19	0.20	380	76.00	Creditors	Email to Elish at OMI what docs missing from transfer request.	Investors

Renee Lobb	Senior Director	04-Feb-19							Reviewing email and application from Tucker & Cowan regarding application made for interim First Mortgage Income Fund distribution. Review other emails and draft correspondence and issued correspondence to Russells from T & C and in reply. Save same. Review draft insurer notification and advice from Clayton Utz. Respond advising to issue. (Entry split between Feeder Funds)	Legal
Neil Dempster	Senior Consultant 1	05-Feb-19	0.10	380	225.00	38.00	Investigations	Investigations	Email to Elish re forms submitted	Investors
Renee Lobb	Senior Director	15-Feb-19	0.20	570	114.00	38.00	Investigations	Investigations	Call with CGW regarding information required for advice on tax returns. go through records, locate and send to CGW.	Fund Administration
Neil Dempster	Senior Consultant 1	19-Feb-19	0.10	380	38.00	38.00	Creditors	Creditors	Email to investor 100172394 latest update	Investors
Renee Lobb	Senior Director	19-Feb-19	0.15	570	45.50	57.00	Investigations	Investigations	Reviewing further correspondence from Russell's regarding deed of settlement and service of CPAIF and ICPAIF members. Email to Kelly-Anne Trenfield regarding costs for assisting. (Entry split between Feeder Funds)	Legal
Renee Lobb	Senior Director	20-Feb-19	0.10	570	57.00	57.00	Trade-On	Trade-On	Respond to change of details request query.	Investors
Renee Lobb	Senior Director	21-Feb-19	0.10	570	57.00	57.00	Trade-On	Trade-On	Review transfer request. identify as CPAIF and request Neil Dempster to respond and provide relevant forms.	Investors
Renee Lobb	Senior Director	21-Feb-19	0.10	570	57.00	57.00	Investigations	Investigations	Reviewing and filing correspondence from Russells regarding assistance to GT to upload documents for service. (Entry split between Feeder Funds)	Legal
Kelly-Anne Trenfield	Senior Managing Director	27-Feb-19	0.10	625	62.50	62.50	Investigations	Investigations	Meeting with Ashley Tiplady re legal issues	Legal
Renee Lobb	Senior Director	28-Feb-19	0.15	570	45.50	57.00	Investigations	Investigations	Update on feeder fund settlement, legal matters, distributions, cost allocation etc with Kelly-Anne Trenfield. (Entry split between Feeder Funds)	Legal
Ashleigh Ubank	Administration 2	04-Mar-19	0.20	185	37.00	37.00	Administration	Administration	RTS. New addresses searched for. Results recorded in MYOB. Report reissued.	Investors
Renee Lobb	Senior Director	04-Mar-19	0.20	570	114.00	114.00	Trade-On	Trade-On	Download invoice and lodge expense claim for Xero monthly charges.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	05-Mar-19	0.10	625	62.50	62.50	Administration	Administration	Email in from Russells re LM WFMIF applications re feeder fund proceedings; provide instructions re not to appear	Legal
Kelly-Anne Trenfield	Senior Managing Director	05-Mar-19	0.05	625	31.25	31.25	Administration	Administration	Receipt of ff services arrangement (Entry split between Feeder Funds)	Investors
Renee Lobb	Senior Director	12-Mar-19	0.40	570	228.00	228.00	Trade-On	Trade-On	Call with Julian Walsh regarding distribution hearing and feeder fund settlement hearing tomorrow. Review submissions from David Whyte regarding Same.	Legal
John Park	Senior Managing Director	13-Mar-19	0.05	625	31.25	31.25	Administration	Administration	Email in Amanda Banton. (Entry split between Feeder Funds)	Legal
Renee Lobb	Senior Director	13-Mar-19	0.15	570	45.50	57.00	Investigations	Investigations	Review and save Schwarz affidavit for today's hearing regarding First Mortgage Income Fund distribution and feeder fund settlement. (Entry split between Feeder Funds)	Legal

Kelly-Anne Trenfield	Senior Managing Director	15-Mar-19	0.40	625	250.00	Administration	Email from and response to AJT re costs of ff proceedings; review submissions/affidavit re feeder fund proceedings; emails in and response to queries re costs for publication of notices; Review and update to do list; query outstanding items; Review incoming documentation, consider and send to filing	Legal
Renee Lobb	Senior Director	18-Mar-19	0.10	570	57.00	Trade-On	Review and forward to Neil Dempster to action CPAIF transfer.	Investors
Kelly-Anne Trenfield	Senior Managing Director	18-Mar-19	0.10	625	62.50	Investigations	Review emails re FF court appearance; draft email to said jahani	Investors
Renee Lobb	Senior Director	20-Mar-19	0.50	570	285.00	Investigations	Reviewing email correspondence between Russells and HWLE regarding representation of feeder funds in audit proceedings. Review further correspondence regarding audit proceedings to Gadens. file all. Review correspondence regarding obtaining PE transcript. Review draft orders from audit proceedings on Monday. (Entry split between Feeder Funds)	Legal
Renee Lobb	Senior Director	21-Mar-19	0.30	570	171.00	Investigations	Reviewing email from Russell's with upload documents for HWLE and GT feeder fund action. load to website and draft invoice to go to GT for same. Discuss with Kelly-Anne Trenfield.	Legal
Kelly-Anne Trenfield	Senior Managing Director	21-Mar-19	0.10	625	62.50	Administration	RCL re review of LM website after posting of feeder fund notices	Investors
Neil Dempster	Senior Consultant 1	21-Mar-19	0.10	380	38.00	Creditors	Email to OMI re transfers to other bond holding platform	Investors
Renee Lobb	Senior Director	22-Mar-19	0.20	570	114.00	Investigations	Update invoice and issue correspondence to HWLE.	Legal
Kelly-Anne Trenfield	Senior Managing Director	22-Mar-19	0.30	625	187.50	Administration	Review invoice re uploading noticesreview email in from Russell's re communications between Gadens and KWM re releases; review and discuss Russell responseReview incoming documentation, consider and send to filingReview and update to do list; query outstanding items	Legal
Renee Lobb	Senior Director	22-Mar-19	0.20	570	114.00	Investigations	Reviewing correspondence between Gadens and KWM regarding feeder fund settlement and rights of indemnity from fund assets. save to file. (Entry split between Feeder Funds)	Legal
Renee Lobb	Managing Director	03-Apr-19	0.20	580	116.00	Administration	Assisting Neil Dempster in responses to investors.	Investors
Neil Dempster	Senior Consultant 2	03-Apr-19	0.10	440	44.00	Creditors	Email to clearstream latest update	Investors
Neil Dempster	Senior Consultant 2	03-Apr-19	0.10	440	44.00	Creditors	Email to FNZ confirm King Transfer	Investors
Neil Dempster	Senior Consultant 2	03-Apr-19	0.10	440	44.00	Creditors	Update register bank details 121039	Investors
Neil Dempster	Senior Consultant 2	03-Apr-19	0.10	440	44.00	Creditors	Email to OMI with transfer forms investor 100261916	Investors
Neil Dempster	Senior Consultant 2	03-Apr-19	0.20	440	88.00	Creditors	Deceased estate transfer 100209261	Investors
Neil Dempster	Senior Consultant 2	03-Apr-19	0.20	440	88.00	Creditors	Transfer Mr DL & Mrs A E Giddens	Investors
Neil Dempster	Senior Consultant 2	03-Apr-19	0.20	440	88.00	Creditors	Transfer 100242312 OMI to direct invest	Investors
Neil Dempster	Senior Consultant 2	03-Apr-19	0.10	440	44.00	Creditors	Email from Michelle Oppert re 125451 - note resubmit on transfer form	Investors

Renee Lobb	Managing Director	04-Apr-19	0.20	580	116.00	Trade-On	Take messages and try and locate return contact details via registry.	Investors
Neil Dempster	Senior Consultant 2	04-Apr-19	0.10	440	44.00	Creditors	Confirm transfer date for Iija at Clearstream	Investors
Neil Dempster	Senior Consultant 2	04-Apr-19	0.10	440	44.00	Creditors	Confirm transfer date for Scarlet at OMI	Investors
Renee Lobb	Managing Director	05-Apr-19	0.10	580	58.00	Trade-On	Review, save and expense zero monthly data access costs.	Fund Administration
Renee Lobb	Managing Director	05-Apr-19	0.10	580	58.00	Trade-On	Review email with registry updates. Forward to Neil Dempster to action.	Investors
Neil Dempster	Senior Consultant 2	05-Apr-19	0.10	440	44.00	Creditors	Email lto OMI re transfer instructions	Investors
Neil Dempster	Senior Consultant 2	08-Apr-19	0.10	440	44.00	Creditors	Update address helen eccleston	Investors
Neil Dempster	Senior Consultant 2	09-Apr-19	0.10	440	44.00	Creditors	Email to Ronan at citibank confirm appointment over this fund for reconciliation	Investors
Neil Dempster	Senior Consultant 2	11-Apr-19	0.20	440	88.00	Creditors	Deceased estate transfer Edwin Street to public trustee	Investors
Neil Dempster	Senior Consultant 2	11-Apr-19	0.10	440	44.00	Creditors	Email to Richard still re change of details form	Investors
Neil Dempster	Senior Consultant 2	11-Apr-19	0.10	440	44.00	Creditors	Update registry investor 127137 email	Investors
Neil Dempster	Senior Consultant 2	11-Apr-19	0.10	440	44.00	Creditors	Emails to Craigs IP re splitting ownership of account	Investors
Neil Dempster	Senior Consultant 2	16-Apr-19	0.30	440	132.00	Creditors	Email to Dave Stevens latest update - update registry re same	Investors
Neil Dempster	Senior Consultant 2	16-Apr-19	0.20	440	88.00	Creditors	100265701.100265693 transfer Aegis to investors	Investors
Neil Dempster	Senior Consultant 2	17-Apr-19	0.10	440	44.00	Creditors	Email to Ronan Loy - request investment numbers for reconciliation purposes	Investors
Kelly-Anne Trenfield	Senior Managing Director	18-Apr-19	0.10	625	62.50	Investigations	Review email in from Russells containing correspondence from Gagens to KWM re Eye participation in FF proceedings review Russells correspondence to KW	Legal
Renee Lobb	Managing Director	24-Apr-19	0.10	580	58.00	Trade-On	Request Neil Dempster to update investor register after email from Russells. Acknowledge Russells email by return also.	Investors
Renee Lobb	Managing Director	30-Apr-19	0.90	580	522.00	Investigations	Review email from KWM to Russells regarding change in feeder fund settlement position. save correspondence to file. Review further correspondence from Russells regarding JCL correspondence on Directors seeking First Mortgage Income Fund indemnity of \$10mil and how it may impact the First Mortgage Income Fund distribution and as a result feeder fund settlement Review Said Jahanis submissions for Thursday's hearing and save to file. Review and save JCL correspondence requesting adjournment to 02/05 hearing.	Legal
Kelly-Anne Trenfield	Senior Managing Director	01-May-19	0.40	695	278.00	Investigations	Emails in re jahani application for approval of FF settlement deed; respond re response from JahaniRussells emails enclosing HWL correspondence in response to KWM re FF proceedingsletter from Jim Conomos lawyers on behalf of directors re ff proceedingsvarious correspondence re FFReview gardens correspondence to KWM re adjournment request	Legal

Renee Lobb	Managing Director	02-May-19	0.10	625	62.50	Trade-On	Payments and claiming of zero access.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	03-May-19	0.30	695	208.50	Investigations	Email in from Russells re Gadens correspondence re recalculation of distribution per settlement deedemail in from Russells re Gadens correspondence to HWL/SPB re FF proceedings settlement and court hearingCall in from A Tiplady re Jahani affidavit	Legal
Kelly-Anne Trenfield	Senior Managing Director	07-May-19	0.10	695	69.50	Investigations	Email in from russells re HWL/SPB emails re directors application to interveneemail in from T&C re director involvement in authority application/intervene in application for interim distribution	Legal
Kelly-Anne Trenfield	Senior Managing Director	10-May-19	0.30	695	208.50	Investigations	Review emails in re conduct of interim distribution application; feeder funds settlement and intervening by directors; Said Jahani application for distribution of funds to court (submissions)review Whyte affidavit re costs and funding re application for interim distribution; review subsequent affidavit - to effect feeder fund settlementreview O Farrell affidavit re judicial advice application including email from russells re intended application re payment of monies into court	Legal
Kelly-Anne Trenfield	Senior Managing Director	21-May-19	0.10	695	69.50	Administration	Email from and response to Said Jahani re arranging a catch-up to discuss FF settlementcall in from Ashley Tiplady re status of feeder fund proceedings	Legal
Kelly-Anne Trenfield	Senior Managing Director	22-May-19	0.20	695	139.00	Administration	Remuneration review and compilation from 1 July 2018 to 31 March 2019	Remuneration
Neil Dempster	Senior Consultant 2	22-May-19	0.10	465	46.50	Creditors	Email to Ronan Lee re unit holdings - amount doesn't change	Investors
Kelly-Anne Trenfield	Senior Managing Director	23-May-19	0.10	695	69.50	Administration	Review judgement re applications re settlement; mtrc/prep re call to said jahani	Legal
Kelly-Anne Trenfield	Senior Managing Director	23-May-19	0.20	695	139.00	Administration	Review remuneration table and complete categorisation of time entries	Remuneration
Neil Dempster	Senior Consultant 2	23-May-19	0.10	465	46.50	Creditors	Check re transfer Giddens for FNZ - have already confirmed, direct them to review their records.	Investors
Kelly-Anne Trenfield	Senior Managing Director	27-May-19	0.20	695	139.00	Administration	Said Jahani re status of apply/feeder funds; update to JRP	Legal
Kelly-Anne Trenfield	Senior Managing Director	27-May-19	0.10	695	69.50	Investigations	Email from and response to AJT re discussion with Said Jahani	Legal
Renee Lobb	Managing Director	03-Jun-19	0.10	625	62.50	Trade-On	Receiving funds from GT for notice upload.	Administration
Renee Lobb	Managing Director	03-Jun-19	0.20	625	125.00	Trade-On	Return email query from investor holdings. Confirm out records.	Investors
Renee Lobb	Managing Director	03-Jun-19	0.10	625	62.50	Trade-On	Payment of fund expenses for Xero.	Fund Administration
Renee Lobb	Managing Director	04-Jun-19	0.20	625	125.00	Trade-On	Respond to investor queries.	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.10	465	46.50	Creditors	132566 address update	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.10	465	46.50	Creditors	Update registry address b+s stone family trust	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.10	465	46.50	Creditors	Update address 100198415	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.10	465	46.50	Creditors	Update bank details 100200609	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.10	465	46.50	Creditors	Register update 100271030 address	Investors

Neil Dempster	Senior Consultant 2	12-Jun-19	0.20	465	93.00	Creditors	Email to OMI re transfer requirements - check info provided	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.10	465	46.50	Creditors	100190750 - file docs re transfer	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.20	465	93.00	Creditors	Investor 100187392 - update register and email re latest update	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.20	465	93.00	Creditors	John sinnett parry deceased estate - update address and email to WRKM lawyers re update to investors	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.10	465	46.50	Creditors	Email to OMI re transfer forms to use	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.10	465	46.50	Creditors	Email to IVCM re next update to investor	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.30	465	139.50	Creditors	100211622 change of trustee transfer	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.10	465	46.50	Creditors	Email to Johann from Pictet re next update	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.10	465	46.50	Creditors	Register update address 114151	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.20	465	93.00	Creditors	Deceased estate probate form 122702 - review probate cert and email re transfer requirements	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.10	465	46.50	Creditors	Registry update address 100224443	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.10	465	46.50	Creditors	Register update bank details 127699	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.20	465	93.00	Creditors	Review changes to register re Alison Still - email to contact request full document be completed to allow transfer	Investors
Neil Dempster	Senior Consultant 2	12-Jun-19	0.10	465	46.50	Creditors	Respond to Clearstream - no new update	Investors
Neil Dempster	Senior Consultant 2	13-Jun-19	0.10	465	46.50	Creditors	Email to Neilsons Lawyers re transfers	Investors
Neil Dempster	Senior Consultant 2	13-Jun-19	0.20	465	93.00	Creditors	Email to Scarlet at OMI re transfer 290016	Investors
Neil Dempster	Senior Consultant 2	13-Jun-19	0.10	465	46.50	Creditors	Email to Valma Pettit re transfer and documents to provide	Investors
Neil Dempster	Senior Consultant 2	14-Jun-19	0.20	465	93.00	Creditors	100256569 transfer and update details	Investors
Renee Lobb	Managing Director	17-Jun-19	0.20	625	125.00	Trade-On	Respond to investor query regarding feeder fund distribution. Advise investors will be notified of any distribution once monies are received and fund payables have been paid.	Investors
Neil Dempster	Senior Consultant 2	18-Jun-19	0.10	465	46.50	Creditors	Email to AWS legal re transfer forms	Investors
Neil Dempster	Senior Consultant 2	28-Jun-19	0.20	465	93.00	Creditors	Review DBS proposed transfer forms - suggest updates	Investors
Neil Dempster	Senior Consultant 2	03-Jul-19	0.10	465	46.50	Creditors	Update register email address and postal address Sandra Evelyn Stevens	Investors
Neil Dempster	Senior Consultant 2	03-Jul-19	0.10	465	46.50	Creditors	Email to Valma Pettit confirm postal address	Investors
Neil Dempster	Senior Consultant 2	09-Jul-19	0.20	465	93.00	Creditors	Email to OMI re docs needed to update register from OMI to a bank to hold for client	Fund Administration
Neil Dempster	Senior Consultant 2	09-Jul-19	0.10	465	46.50	Creditors	Email to Derek Railley re fund wind up and distributions	Investors
Neil Dempster	Senior Consultant 2	10-Jul-19	0.20	465	93.00	Creditors	Email to OMI re transfer to DWP bank - confirm transfer reqs	Investors
Renee Lobb	Managing Director	16-Jul-19	0.10	625	62.50	Trade-On	Respond to record request query from Neil Dempster. Advise to provide PDS and info on wind up.	Investors
Neil Dempster	Senior Consultant 2	16-Jul-19	0.10	465	46.50	Creditors	Suggest response to Tris Fenwick re tax advice	Investors
Neil Dempster	Senior Consultant 2	16-Jul-19	0.10	465	46.50	Creditors	Email to Robyn Doyle re capif latest update	Investors
Neil Dempster	Senior Consultant 2	17-Jul-19	0.20	465	93.00	Creditors	Email to tris fenwick with latest PDS	Investors
Neil Dempster	Senior Consultant 2	19-Jul-19	0.30	465	139.50	Creditors	Email to Ealish at OMI re transfer Zerwes - OMI to bank transfer consider info provided and what needed to update register	Investors

Neil Dempster	Senior Consultant 2	19-Jul-19	0.20	465	93.00	Creditors	2x transfer Glenys Thompson	Investors
Neil Dempster	Senior Consultant 2	19-Jul-19	0.20	465	93.00	Creditors	Deceased estate transfer 100224443	Investors
Neil Dempster	Senior Consultant 2	19-Jul-19	0.10	465	46.50	Creditors	Email to Trustees Executors confirm unit holding	Investors
Neil Dempster	Senior Consultant 2	19-Jul-19	0.20	465	93.00	Creditors	Update details Alison Margaret Still x3	Investors
Neil Dempster	Senior Consultant 2	19-Jul-19	0.20	465	93.00	Creditors	Update register 124973 x3	Investors
Neil Dempster	Senior Consultant 2	22-Jul-19	0.10	465	46.50	Creditors	Email to stephanie harper re unit pricing	Investors
Neil Dempster	Senior Consultant 2	22-Jul-19	0.20	465	93.00	Creditors	Email to Neilsons lawyers - instructions for transferring query investment number	Investors
Neil Dempster	Senior Consultant 2	23-Jul-19	0.10	465	46.50	Creditors	Update register email 122469	Investors
Neil Dempster	Senior Consultant 2	25-Jul-19	0.10	465	46.50	Creditors	Email to sally poullgrain re deceased estate	Investors
Neil Dempster	Senior Consultant 2	29-Jul-19	0.10	465	46.50	Creditors	Update address 130830	Investors
Neil Dempster	Senior Consultant 2	29-Jul-19	0.10	465	46.50	Creditors	Email to Lysanne Gates transfer instructions	Investors
Renee Lobb	Managing Director	31-Jul-19	0.20	625	125.00	Trade-On	Assisting Neil Dempster in investor query responses. Consider ability to write off investment holdings - discuss with RCL	Investors
Neil Dempster	Senior Consultant 2	31-Jul-19	0.30	465	139.50	Creditors	email to DBS bank re process for same	Investors
Neil Dempster	Senior Consultant 2	31-Jul-19	0.20	465	93.00	Creditors	Call from Chris - DBS bank - discuss disclaimer of holdings - discuss transfer - email to RCL	Investors
Neil Dempster	Senior Consultant 2	01-Aug-19	0.30	465	139.50	Creditors	Email to Ben Stone review draft transfer docs - confirm what is missing - search register - email re same	Investors
Neil Dempster	Senior Consultant 2	01-Aug-19	0.10	465	46.50	Creditors	Email to lysanne confirm change of details form required	Investors
Renee Lobb	Managing Director	02-Aug-19	0.10	625	62.50	Trade-On	Paying fund costs.	Fund Administration
Neil Dempster	Senior Consultant 2	02-Aug-19	0.10	465	46.50	Creditors	Confirm unit holdings langley family trust	Investors
Neil Dempster	Senior Consultant 2	02-Aug-19	0.10	465	46.50	Creditors	Update member register 123399 address	Investors
Renee Lobb	Managing Director	06-Aug-19	0.10	625	62.50	Trade-On	Respond to query about DBS writing off units from their books.	Investors
Renee Lobb	Managing Director	15-Aug-19	0.10	625	62.50	Trade-On	Respond to investor update request regarding unit holdings and price.	Investors
Renee Lobb	Managing Director	04-Sep-19	0.10	625	62.50	Trade-On	Fund payable claim.	Fund Administration
Renee Lobb	Managing Director	05-Sep-19	0.10	625	62.50	Trade-On	Respond to investor email update request.	Investors
Kelly-Anne Trenfield	Senior Managing Director	10-Sep-19	0.10	695	69.50	Administration	Prepare remuneration schedule to 30 June 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	12-Sep-19	0.20	695	139.00	Administration	Prepare schedules for remuneration application	Remuneration
Renee Lobb	Managing Director	26-Sep-19	0.20	625	125.00	Trade-On	Respond to investor request for update. Review transfer query and send to Neil Dempster.	Investors
Renee Lobb	Managing Director	30-Sep-19	0.50	625	312.50	Trade-On	Complete fund update.	Fund Administration
Renee Lobb	Managing Director	02-Oct-19	0.10	625	62.50	Trade-On	Payment and expensing of Xero monthly invoices.	Fund Administration
Renee Lobb	Managing Director	02-Oct-19	0.10	625	62.50	Trade-On	Payment and expensing of Xero monthly invoices.	Fund Administration
Neil Dempster	Senior Consultant 2	08-Oct-19	0.30	465	139.50	Creditors	Transfer - philip haw trust - review all docs complete and document	Investors
Kelly-Anne Trenfield	Senior Managing Director	10-Oct-19	0.10	695	69.50	Creditors	Review member update	Fund Administration
Ashleigh Ubank	Administration 2	14-Oct-19	0.10	205	20.50	Administration	Signature/s inserted electronically into documents of report, documents collated and finalised in PDF format.	Administration
John Park	Senior Managing Director	14-Oct-19	0.20	695	139.00	Administration	Settle update to investors.	Investors
Renee Lobb	Managing Director	14-Oct-19	0.10	625	62.50	Trade-On	Request Ashleigh Ubank to finalise LM Investment Management Ltd fund update.	Fund Administration
Renee Lobb	Managing Director	15-Oct-19	0.10	625	62.50	Trade-On	Upload update to website.	Fund Administration

Neil Dempster	Senior Consultant 2	28-Oct-19	0.10	465	46.50	Creditors	Email to OMI transfer form templates	Investors
Neil Dempster	Senior Consultant 2	28-Oct-19	0.10	465	46.50	Creditors	Email to Citibank with transfer docs	Investors
Renee Lobb	Managing Director	28-Oct-19	0.40	625	250.00	Trade-On	Call with investor. checking details, provide details of workings of any proposed distribution.	Investors
Renee Lobb	Managing Director	04-Nov-19	0.10	625	62.50	Trade-On	Payments for fund	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	07-Nov-19	0.30	695	208.50	Administration	Preparation of remuneration schedules re application for fee approval to 31 October 2019	Remuneration
Ashleigh Ubank	Administration 2	11-Nov-19	0.10	205	20.50	Administration	WIP proforma/excel report/s generated for code.	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	11-Nov-19	1.80	695	1,251.00	Administration	Prepare remuneration schedule 1 October 2015 to 31 October 2019	Remuneration
Renee Lobb	Managing Director	11-Nov-19	0.30	625	187.50	Trade-On	Call with investor. review registry for details, provided update and instructions to update details via form.	Investors
Kelly-Anne Trenfield	Senior Managing Director	11-Nov-19	1.10	695	764.50	Administration	Prepare remuneration schedule 1 Oct 2015 to 31 October 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	18-Nov-19	0.10	695	69.50	Administration	Finalise fee schedule to 31 October 2019	Remuneration
Renee Lobb	Managing Director	19-Nov-19	0.30	625	187.50	Trade-On	Respond t 2 investor enquiry emails on distributions and update.	Investors
Kelly-Anne Trenfield	Senior Managing Director	26-Nov-19	0.30	695	208.50	Administration	Finalise remuneration schedule to 31 October 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	27-Nov-19	0.40	695	278.00	Administration	Review and finalise remuneration application scheduled - 1 Oct 2015 to 30 Oct 2019	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	28-Nov-19	0.30	695	208.50	Administration	Finalise fee schedulesPrepare task/activity summary	Remuneration
Neil Dempster	Senior Consultant 2	05-Dec-19	0.20	465	93.00	Creditors	Citibank transfer - email they need to provide investor id or in absence contract note to support transfer	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.10	465	46.50	Creditors	Email to lysanne gates re transfer	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.10	465	46.50	Creditors	Update bank details basil chamberlain	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.10	465	46.50	Creditors	Email to utmost re unit pricing and update on fund	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.10	465	46.50	Creditors	Email to andrew RL360 re transfers - give checklist	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.10	465	46.50	Creditors	Email to NZ public trustee re transfer docs	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.10	465	46.50	Creditors	Email to tony shaw - latest update	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.10	465	46.50	Creditors	Email to Aki Koseki latest update	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.10	465	46.50	Creditors	Update bank details 114151	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.10	465	46.50	Creditors	latest update 122760	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.20	465	93.00	Creditors	124179 - transfer Aegis to investor	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.10	465	46.50	Creditors	Update registry address 126759	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.10	465	46.50	Creditors	Email to michelle opert re sort code Ocean Trust update form	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.10	465	46.50	Creditors	Email to OMI with transfer forms	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.20	465	93.00	Creditors	Update registry belinda gregg address - email update with link to circular	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.20	465	93.00	Creditors	Transfer Aegis to mavis family trust	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.20	465	93.00	Creditors	Update details 125451 a few holdings - document and confirmation email	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.20	465	93.00	Creditors	Update 2x registry 114151 bank details	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.10	465	46.50	Creditors	Investor 127519 change suffix for bank details	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.10	465	46.50	Creditors	Email to tui lodge link to circular to investors for update	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.10	465	46.50	Creditors	Update bank details don niven x2	Investors

Neil Dempster	Senior Consultant 2	05-Dec-19	0.20	465	93.00	Creditors	Email to OMI rec 3 unit holdings and comments for others	Investors
Neil Dempster	Senior Consultant 2	05-Dec-19	0.10	465	46.50	Creditors	Email to basil chamberlain re change of bank details	Investors
Neil Dempster	Senior Consultant 2	06-Dec-19	0.10	465	46.50	Creditors	Rec two unit holdings for OMI	Investors
Neil Dempster	Senior Consultant 2	06-Dec-19	0.10	465	46.50	Creditors	Update registry bank details ocean trust	Investors
Neil Dempster	Senior Consultant 2	09-Dec-19	0.10	465	46.50	Creditors	Email to basil chamberlain confirm bank details for 2x holdings are correct	Investors
Neil Dempster	Senior Consultant 2	09-Dec-19	0.10	465	46.50	Creditors	Email to peter williams transfer forms	Investors
Renee Lobb	Managing Director	11-Dec-19	0.20	625	125.00	Trade-On	Review registry to confirm if address provided is current. reply and advise of process to change details.	Investors
Neil Dempster	Senior Consultant 2	11-Dec-19	0.10	465	46.50	Creditors	Check transfer forms vidacos to citi - no bank details - request	Investors
Neil Dempster	Senior Consultant 2	12-Dec-19	0.10	465	46.50	Creditors	Email to Citibank re right bank details for transfer	Investors
Neil Dempster	Senior Consultant 2	13-Dec-19	0.20	465	93.00	Creditors	2x transfer vidacos to Citi	Investors
Neil Dempster	Senior Consultant 2	16-Dec-19	0.10	465	46.50	Creditors	Email to citi confirmation 2x transfer done and transfer date	Investors
Kelly-Anne Trenfield	Senior Managing Director	07-Jan-20	0.10	695	69.50	Administration	Email from and response to said Jahani draft email to said Jahani re update on resignation	Receiver
Renee Lobb	Managing Director	13-Jan-20	0.20	625	125.00	Trade-On	Review and forward to Neil Dempster update request for CPAIF. Advise Neil of current status after emails received on break. Discuss handover of registry matters.	Investors
Kelly-Anne Trenfield	Senior Managing Director	13-Jan-20	0.40	695	278.00	Administration	Prepare remuneration approval schedules to 31 December 2019	Remuneration
Neil Dempster	Senior Consultant II	13-Jan-20	0.10	465	46.50	Creditors	Email to Citi bank re transfer confirmation date 100366467	Investors
Kelly-Anne Trenfield	Senior Managing Director	14-Jan-20	0.20	695	139.00	Administration	Emails in/out with Steve Russell re review of Feeder Fund claims; discuss with JRP	Receiver
Neil Dempster	Senior Consultant II	15-Jan-20	0.10	465	46.50	Creditors	Email to Suffolk Life with transfer forms and instruction	Investors
Renee Lobb	Managing Director	16-Jan-20	0.10	625	62.50	Trade-On	Provide distribution update to investor.	Investors
Kelly-Anne Trenfield	Senior Managing Director	17-Jan-20	0.10	695	69.50	Administration	Review/amend affidavit re remuneration approval	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	22-Jan-20	0.10	695	69.50	Administration	Review constitution re management fees	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	30-Jan-20	0.10	695	69.50	Administration	Respond to Russell's queries re inclusion of disbursements	Remuneration
Neil Dempster	Senior Consultant II	30-Jan-20	0.10	465	46.50	Creditors	Email to Citibank with contact details re CPAIF	Investors
Neil Dempster	Senior Consultant II	30-Jan-20	0.10	465	46.50	Creditors	Email to Julie at RL 360 services - transfer info	Investors
Neil Dempster	Senior Consultant II	30-Jan-20	0.10	465	46.50	Creditors	Email link to website for update to Citibank	Investors
Neil Dempster	Senior Consultant II	30-Jan-20	0.10	465	46.50	Creditors	Email to Tris Fenwick with CPAIF constitution	Investors
Neil Dempster	Senior Consultant II	30-Jan-20	0.10	465	46.50	Creditors	Email to peter williams with transfer forms for wind up trust	Investors
Neil Dempster	Senior Consultant II	30-Jan-20	0.10	465	46.50	Creditors	Email to OMI with 2x unit confirmations and 1x note to check account number	Investors
Neil Dempster	Senior Consultant II	30-Jan-20	0.10	465	46.50	Creditors	Email to Katherine Hall clearstream - latest update	Investors
Renee Lobb	Managing Director	04-Feb-20	0.10	625	62.50	Trade-On	Expense claim and payment checking.	Administration
Kelly-Anne Trenfield	Senior Managing Director	04-Feb-20	0.20	695	139.00	Administration	Review deed from HWL re Jahani resignation review and approve client engagement; instructions to RCL re calcs re feeder funds	Receiver

Kelly-Anne Trenfield	Senior Managing Director	06-Feb-20	0.10	695	69.50	Administration	RCL re update on audit/navsReview incoming documentation, consider and send to filing	Audit
Renee Lobb	Managing Director	07-Feb-20	0.20	625	125.00	Trade-On	Call with NZ investor. Provide update on distribution to receivers and tasks to complete when we receive funds.	Investors
Neil Dempster	Senior Consultant II	07-Feb-20	0.10	465	46.50	Creditors	Instructions re transfer 100209444 deceased estate	Investors
Kelly-Anne Trenfield	Senior Managing Director	11-Feb-20	0.20	695	139.00	Administration	Review if proceedings info re calculation of dividend	Receiver
Neil Dempster	Senior Consultant II	12-Feb-20	0.20	465	93.00	Creditors	Review emails from Citi - provide confirmation of transfer again	Investors
Ashleigh Ubank	Administration 2	17-Feb-20	0.10	205	20.50	Administration	Forms updated to current FTI addresses.	Investors
Neil Dempster	Senior Consultant II	17-Feb-20	0.10	465	46.50	Creditors	Email to forsyth barr - confirm transfer requirements and send forms	Investors
Neil Dempster	Senior Consultant II	17-Feb-20	0.20	465	93.00	Creditors	Review register - OMI transfer - not done - email follow up Ealish send me docs via email	Investors
Neil Dempster	Senior Consultant II	17-Feb-20	0.10	465	46.50	Creditors	Check details. 125451. have been updated - confirm	Investors
Neil Dempster	Senior Consultant II	17-Feb-20	0.20	465	93.00	Creditors	Instructions to AU re update transfer forms with new FTI address	Investors
Neil Dempster	Senior Consultant II	17-Feb-20	0.10	465	46.50	Creditors	109800 - email latest update	Investors
Neil Dempster	Senior Consultant II	17-Feb-20	0.20	465	93.00	Creditors	Email to Val Woodard re change of trustees and documenting same	Investors
Neil Dempster	Senior Consultant II	17-Feb-20	0.10	465	46.50	Creditors	Email to Lyianne re requirements deceased estate transfer	Investors
Neil Dempster	Senior Consultant II	17-Feb-20	0.10	465	46.50	Creditors	Vidocos C141431 - minor registry change to reflect internal designation	Investors
Neil Dempster	Senior Consultant II	17-Feb-20	0.10	465	46.50	Creditors	124400 - check and confirm address for michelle oppert	Investors
Neil Dempster	Senior Consultant II	17-Feb-20	0.10	465	46.50	Creditors	100193937 email instructions transfer	Investors
Renee Lobb	Managing Director	18-Feb-20	0.30	625	187.50	Trade-On	Management fee information gathering. Send to Kelly-Anne Trenfield when complete in draft.	Fund Administration
Neil Dempster	Senior Consultant II	18-Feb-20	0.40	465	186.00	Creditors	Update register 100243468 investor provided transfer forms individually - slow to document. update registry confirm and latest update email	Investors
Neil Dempster	Senior Consultant II	18-Feb-20	0.10	465	46.50	Creditors	125451 update address in registry	Investors
Neil Dempster	Senior Consultant II	18-Feb-20	0.10	465	46.50	Creditors	Email transfer confirmation anthony murchison transfer to Aegis	Investors
Neil Dempster	Senior Consultant II	18-Feb-20	0.10	465	46.50	Creditors	132407 update address	Investors
Neil Dempster	Senior Consultant II	18-Feb-20	0.20	465	93.00	Creditors	121447 update 2x unit holdings transfer to sole beneficiary	Investors
Neil Dempster	Senior Consultant II	18-Feb-20	0.10	465	46.50	Creditors	127395 - update details - deceased partner	Investors
Neil Dempster	Senior Consultant II	19-Feb-20	0.10	465	46.50	Creditors	Email to Val woodard re invergie trust transfer	Investors
Neil Dempster	Senior Consultant II	21-Feb-20	0.20	465	93.00	Creditors	100234574 - update register invergie trust	Investors
Neil Dempster	Senior Consultant II	24-Feb-20	1.00	465	465.00	Creditors	Updates to registry for 10026620410026621210 026622010026623810021003 1002430621002658831 002674751002674831002446 5100252048100265875 100266162100266170100267 59100267467100267491100267509	Investors
Neil Dempster	Senior Consultant II	24-Feb-20	0.20	465	93.00	Creditors	Email update to 121455 re GT situation	Investors
Neil Dempster	Senior Consultant II	24-Feb-20	0.10	465	46.50	Creditors	Email to advisor re bank account on 126154	Investors
Neil Dempster	Senior Consultant II	25-Feb-20	0.20	465	93.00	Creditors	Update register bank details. 100190826	Investors

Neil Dempster	Senior Consultant II		25-Feb-20	0.10	465	46.50	Creditors	Email to Jill Greaney re change of details form and bank details Review hand written letter and respond to email address provided on enquiries.	Investors
Renee Lobb	Managing Director		28-Feb-20	0.20	625	125.00	Trade-On	Call with adviser. confirm update. send my details for registry change forms.	Investors
Renee Lobb	Managing Director		11-Mar-20	0.30	625	187.50	Trade-On	Respond to adviser email regarding update of details. Forward docs to Neil Dempster to update registry.	Advisors
Renee Lobb	Managing Director		16-Mar-20	0.20	625	125.00	Trade-On	Update CPAIF register 104815 and 123836 advisor details	Advisors
Neil Dempster	Director		17-Mar-20	0.20	465	93.00	Creditors	Email to FNZ re general transfer instructions and forms	Investors
Neil Dempster	Director		17-Mar-20	0.10	465	46.50	Creditors	Email to Yang Low from FNZ general transfer query	Investors
Neil Dempster	Director		17-Mar-20	0.10	465	46.50	Creditors	Email to OMI re 100203082 - confirm unit holdings	Investors
Neil Dempster	Director		17-Mar-20	0.10	465	46.50	Creditors	Unit confirmation - 100366467	Investors
Kelly-Anne Trenfield	Senior Managing Director		18-Mar-20	0.10	695	69.50	Administration	Prepare updated remuneration schedules to 29 February 2020	Remuneration
Kelly-Anne Trenfield	Senior Managing Director		23-Mar-20	0.40	695	278.00	Administration	Update remuneration application schedules to 29 February 2020	Remuneration
Kelly-Anne Trenfield	Senior Managing Director		24-Mar-20	0.10	695	69.50	Administration	Update remuneration application schedules to 29 February 2020	Remuneration
Kelly-Anne Trenfield	Senior Managing Director		31-Mar-20	0.10	695	69.50	Administration	Review email in from SCR re approach to HWL	Receiver
Renee Lobb	Managing Director		16-Apr-20	0.20	660	132.00	Trade-On	Call with investor. Provide update.	Investors
Renee Lobb	Managing Director		17-Apr-20	0.20	660	132.00	Trade-On	Look up investor ID and respond with update on fund to investor.	Investors
Renee Lobb	Managing Director		20-Apr-20	0.30	660	198.00	Trade-On	Return investor call and provide update.	Investors
John Park	Senior Managing Director		21-Apr-20	0.10	720	72.00	Administration	Email in Niall Coburn.	Investors
Renee Lobb	Managing Director		22-Apr-20	0.20	660	132.00	Trade-On	Call with Kelly-Anne Trenfield regarding Niall's email. Review registry data and draft reply.	Investors
Renee Lobb	Managing Director		22-Apr-20	0.10	660	66.00	Trade-On	Reviewing email from Millie Russell regarding deed from Grant Thornton. and Kelly-Anne Trenfield's reply. File same.	Receiver
Kelly-Anne Trenfield	Senior Managing Director		22-Apr-20	0.10	720	72.00	Administration	Emails in/out re Coburn request; review and approve RCL response	Investors
John Park	Senior Managing Director		22-Apr-20	0.20	720	144.00	Administration	Call with RCL re response to Coburn re class action for FF members	Investors
Renee Lobb	Managing Director		23-Apr-20	0.10	660	66.00	Trade-On	Email in Niall Coburn; email in Russells. Reviewing query about class action from Niall. Draft and send reply after approval.	Investors
Kelly-Anne Trenfield	Senior Managing Director		23-Apr-20	0.10	720	72.00	Administration	Emails in/out re FF class action and Coburn investigation email in from Niall Coburn; review discussion and referral to Russells	Investors
John Park	Senior Managing Director		23-Apr-20	0.30	720	216.00	Administration	Emails in / out Russells / Niall Coburn.	Investors
Kelly-Anne Trenfield	Senior Managing Director		24-Apr-20	0.20	720	144.00	Administration	Review emails between Russells and Howl re ff retirement call with said Jahani re release and feed including review of current position re deed update to Russells's re deed	Receiver
Renee Lobb	Managing Director		24-Apr-20	0.10	660	66.00	Investigations	Review feeder fund settlement update from Kelly-Anne Trenfield after discussion with GT.	Receiver

John Park	Senior Managing Director	24-Apr-20	0.20	720	144.00	Administration	Emails in / out Russells.	Receiver
Renee Lobb	Managing Director	05-May-20	0.10	660	66.00	Trade-On	Claim for Xero costs.	Administration
Neil Dempster	Director	08-May-20	0.30	520	156.00	Creditors	Review transfer history re vidacos transfer to citi - confirm we will not be reviewing discrepancies with unit holdings	Investors
Kelly-Anne Trenfield	Senior Managing Director	13-May-20	0.30	720	216.00	Administration	Review HWL deed re resignation of Jahani review outstanding costs for feeder funds Review and update statement of position update to JRP re SOP	Receiver
Renee Lobb	Managing Director	13-May-20	0.15	660	99.00	Investigations	Review and reply to Kelly-Anne Trenfield email regarding PTAL correspondence and feeder fund expense recoupment. Draft email to David Quin regarding controllership revocation.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	14-May-20	0.30	720	216.00	Administration	RCL re outstanding feeder fund costs update to JRP re FF deeds source info re outstanding cost email in from Russells re review of deed with HWL re FF settlement; email re insertion of JRP signature	Fund Administration
Renee Lobb	Managing Director	15-May-20	0.20	660	132.00	Investigations	Review 9 emails regarding draft deed of payment and release. discuss briefly with Kelly-Anne Trenfield. also discuss funds owing to LM Investment Management Ltd reconciliation on the phone.	Receiver
Kelly-Anne Trenfield	Senior Managing Director	22-May-20	0.10	720	72.00	Administration	Email in from Russell re Deed; review Trilogy position and advice from HWL	Receiver
Neil Dempster	Director	22-May-20	0.20	520	104.00	Creditors	Return Citibank email re issues with transfer	Investors
Renee Lobb	Managing Director	22-May-20	0.20	660	132.00	Investigations	Review Jahani email from Millie and subsequent emails about an application for removal. Review also letter on remuneration application. File all.	Receiver
Kelly-Anne Trenfield	Senior Managing Director	26-May-20	0.10	720	72.00	Administration	Review and amend letter to HWL re retirement of Jahani	Receiver
Kelly-Anne Trenfield	Senior Managing Director	29-May-20	0.30	720	216.00	Administration	Emails in from Steve Russell re Cobourn; amend email and respond to RCL review affidavit of David O'Farrelle mail in from Russells re approach to HWL re receivers release re ff costs and response to Quinn revisions to deed of release - Jahani email in from Russells re Jahani deed of release	Receiver
John Park	Senior Managing Director	29-May-20	0.30	720	216.00	Administration	Emails in / out Russells / HWL.	Receiver
Renee Lobb	Managing Director	02-Jun-20	0.10	660	66.00	Trade-On	Payment and claim of Xero costs.	Fund Administration
Tanya Kratz	Administration 2	04-Jun-20	0.10	220	22.00	Administration	Source Excel WIP Proforma as requested.	Remuneration
Renee Lobb	Managing Director	05-Jun-20	0.10	660	66.00	Assets	Review correspondence regarding release timing of funds from Receiver. Save documentation to file and do bring up.	Receiver
Kelly-Anne Trenfield	Senior Managing Director	15-Jun-20	0.20	720	144.00	Administration	Update remuneration schedules from 1 March to 31 May 2020	Remuneration
Renee Lobb	Managing Director	16-Jun-20	0.30	660	198.00	Trade-On	Provide Neil Dempster update on CPAIF and funds release incl tasks to conclusion. answer queries about transfer issues.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	16-Jun-20	0.20	720	144.00	Administration	Update remuneration schedules from 1 March to 31 May 2020	Remuneration

Neil Dempster	Director	16-Jun-20	0.20	520	104.00	Creditors	Update to investor 100254499	Investors
Renee Lobb	Managing Director	17-Jun-20	0.10	660	66.00	Trade-On	Call with Neil Dempster regarding investor response seeing consolidated transfers.	Investors
Renee Lobb	Managing Director	17-Jun-20	0.10	660	66.00	Trade-On	Review email from OMI, now Quilter. Respond advising appropriate contacts for actioning transfers by funds. Direct to Neil Dempster to attend to this fund.	Investors
Renee Lobb	Managing Director	17-Jun-20	0.20	660	132.00	Assets	Reviewing email from Grant Thornton regarding account details. send same.	Receiver
Renee Lobb	Managing Director	17-Jun-20	0.10	660	66.00	Assets	Confirm via phone account details for CPAIF distribution from Grant Thornton.	Receiver
Kelly-Anne Trenfield	Senior Managing Director	17-Jun-20	0.10	720	72.00	Administration	RCL update re status of bank account/semial in from Russells re Tucker & Cowen letter re recovery of LMA funded amounts from the feeder funds; draft response/instructions to Russells	Fund Administration
Neil Dempster	Director	17-Jun-20	0.30	520	156.00	Creditors	Email to Citi re XFR13507756 / XFR13507757 & XFR13448395 / XFR13448396 - can't help them to rectify their issues - confirm previous transfer - again	Investors
Renee Lobb	Managing Director	18-Jun-20	0.20	660	132.00	Assets	GT query dealt with Explain 2 different banks. Send statement snippets. Call with Neil setting u account forms.	Receiver
Kelly-Anne Trenfield	Senior Managing Director	22-Jun-20	0.30	720	216.00	Administration	Amend remuneration tables to isolate wipe data from 1 March to 31 May 2020	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	24-Jun-20	0.10	720	72.00	Administration	Funds in; update sop and locate agreement	Fund Administration
Renee Lobb	Managing Director	25-Jun-20	0.10	660	66.00	Trade-On	Request banking details for First Mortgage Income Fund to pay First Mortgage Income Fund funds owed by the fund.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	01-Jul-20	0.10	720	72.00	Administration	Review incoming documentation, consider and send to filing; Review and approve payment of outstanding administration liabilities including review of supporting documentation and signing of transfer or cheque	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	01-Jul-20	0.10	720	72.00	Administration	Review and approve payment of outstanding administration liabilities including review of supporting documentation and signing of transfer or cheque	Fund Administration
Neil Dempster	Director	02-Jul-20	0.10	520	52.00	Creditors	OMI query confirm balances for 7 holdings	Investors
Kelly-Anne Trenfield	Senior Managing Director	02-Jul-20	0.10	720	72.00	Administration	RCL re suncorp internet banking and statement of position	Fund Administration
Renee Lobb	Managing Director	02-Jul-20	0.30	660	198.00	Trade-On	Review account for CPAIF funds release. call donna regarding issues with payment not releasing. Call Kelly-Anne Trenfield regarding same Email suncorp with issue on same and troubleshooting.	Fund Administration
Renee Lobb	Managing Director	02-Jul-20	0.10	660	66.00	Trade-On	Xero payment expense.	Fund Administration
Renee Lobb	Managing Director	03-Jul-20	0.20	660	132.00	Trade-On	Call with investor. Provide update.	Investors
Neil Dempster	Director	03-Jul-20	0.10	520	52.00	Creditors	Email to OMI ask for list in excel of holdings to check	Investors
Renee Lobb	Managing Director	06-Jul-20	0.10	660	66.00	Trade-On	Respond to update request.	Investors

Neil Dempster	Director	06-Jul-20	0.40	520	208.00	Creditors	Provide unit confirmation for ~100+ OMI holdings - highlight discrepancies for them to check Email to OMI confirm we haven't done their transfers - ask them to resend (we don't have)	Investors
Neil Dempster	Director	07-Jul-20	0.10	520	52.00	Creditors		Investors
Neil Dempster	Director	07-Jul-20	0.30	520	156.00	Creditors	Respond to OMI email with further reconciliations of unit holdings 3x transfer Quilter to underlying - mail confirmation	Investors
Neil Dempster	Director	08-Jul-20	0.30	520	156.00	Creditors	Invoicing discussion and request with Kelly-Anne Trenfield and billings. Review and note to Kelly-Anne Trenfield changes to proformas Received.	Investors
Renee Lobb	Managing Director	09-Jul-20	0.20	660	132.00	Administration	Mail in; update SOP; review outstanding remuneration approval and request billing/payment confirm periods re approvals Review and update statement of position	Remuneration
Kelly-Anne Trenfield	Senior Managing Director	09-Jul-20	0.20	720	144.00	Administration		Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	09-Jul-20	0.50	720	360.00	Administration	Review and approve request for proforma invoice/draft bill/finalised billing and payment Review incoming documentation, consider and send to filing Review and update statement of position Review and sign correspondence Review and update to do list; query outstanding items	Remuneration
Renee Lobb	Managing Director	10-Jul-20	0.30	660	198.00	Trade-On	Responding in detail to Citi email.	Investors
Renee Lobb	Managing Director	10-Jul-20	0.10	660	66.00	Trade-On	Checking invoicing amendments. send to AT for approval.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	10-Jul-20	0.10	720	72.00	Administration	Review and approve request for proforma invoice/draft bill/finalised billing and payment	Remuneration
Renee Lobb	Managing Director	13-Jul-20	0.20	660	132.00	Trade-On	Discuss FTI payments with Kelly-Anne Trenfield. Process same.	Fund Administration
Renee Lobb	Managing Director	13-Jul-20	0.30	660	198.00	Trade-On	Review further queries from xiti/vidacos. Provide ICPAIF and CPAIF securities listing (98 securities in CPAIF). Respond with detailed email.	Investors
Renee Lobb	Managing Director	15-Jul-20	0.10	660	66.00	Trade-On	Processing payments, saving documents and updating registers.	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	15-Jul-20	0.10	720	72.00	Administration	Review and approve payment of outstanding administration liabilities including review of supporting documentation and signing of transfer or cheque	Fund Administration
Kelly-Anne Trenfield	Senior Managing Director	16-Jul-20	0.30	720	216.00	Administration	Update remuneration schedules to 30 June 2020	Remuneration
Renee Lobb	Managing Director	29-Jul-20	0.10	660	66.00	Trade-On	Respond to investor update request	Investors
Renee Lobb	Managing Director	29-Jul-20	0.20	660	132.00	Trade-On	Review query forwarded by John Park. Reply to investor with details on CPAIF distributions	Investors
Renee Lobb	Managing Director	29-Jul-20	0.10	660	66.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Managing Director	03-Aug-20	0.10	660	66.00	Trade-On	Respond to investor query.	Investors
Renee Lobb	Managing Director	04-Aug-20	0.10	660	66.00	Trade-On	Email to investor regarding closing holding.	Investors
Renee Lobb	Managing Director	20-Aug-20	0.20	660	132.00	Trade-On	Call with overseas investor. provide update.	Investors
Renee Lobb	Managing Director	26-Aug-20	0.20	660	132.00	Trade-On	Respond to request for transfer information. discuss with Neil Dempster to confirm if we have been in contact with HSBC regarding request.	Investors

Renee Lobb	Managing Director	28-Aug-20	0.30	660	198.00	Trade-On	Do NAV for fund. Email perpetual regarding old invoices to see if outstanding.	Fund Administration	
Renee Lobb	Managing Director	01-Sep-20	0.30	660	198.00	Trade-On	Call with Francis from Financial partners. give detailed background and information on their client holdings in fund.	Investors	
Renee Lobb	Managing Director	02-Sep-20	0.10	660	66.00	Trade-On	Download and pay zero expense claim same.	Fund Administration	
Renee Lobb	Managing Director	02-Sep-20	0.20	660	132.00	Trade-On	Update NAV to reflect no payments owing by fund. update report to investors and send to Kelly-Anne Trenfield.	Fund Administration	
Renee Lobb	Managing Director	03-Sep-20	0.10	660	66.00	Trade-On	Providing information to ASIC.	Fund Administration	
Kelly-Anne Trenfield	Senior Managing Director	04-Sep-20	0.10	720	72.00	Creditors	Review investor update	Investors	
Renee Lobb	Managing Director	07-Sep-20	0.30	660	198.00	Trade-On	Finalising investor update amendments. load finalised update to website.	Fund Administration	
Ashleigh Ubank	Administration 2	07-Sep-20	0.10	220	22.00	Administration	Signature/s inserted electronically into documents of report, documents collated and finalised in PDF format.	Administration	
Neil Dempster	Director	25-Sep-20	0.10	520	52.00	Creditors	132407 - update registry bank details	Investors	
Neil Dempster	Director	25-Sep-20	0.10	520	52.00	Creditors	126154 update bank details	Investors	
Neil Dempster	Director	25-Sep-20	0.10	520	52.00	Creditors	C141994 update address	Investors	
Neil Dempster	Director	25-Sep-20	0.10	520	52.00	Creditors	Email to Thierry Puglisi re transfer requirements	Investors	
Neil Dempster	Director	25-Sep-20	0.10	520	52.00	Creditors	100372937 - register update address	Investors	
Neil Dempster	Director	25-Sep-20	0.20	520	104.00	Creditors	100233311 review transfer docs - missing piece - email what is needed	Investors	
Renee Lobb	Managing Director	30-Sep-20	0.40	660	264.00	Trade-On	Lengthy call with investor. Obtain account number and provide detailed update.	Investors	
Kelly-Anne Trenfield	Senior Managing Director	01-Oct-20	0.30	720	216.00	Administration	Update remuneration approval schedules to 30 September 2020	Remuneration	
Renee Lobb	Managing Director	02-Oct-20	0.10	660	66.00	Trade-On	Pay costs of winding up via expense claim - Xero costs.	Fund Administration	
Renee Lobb	Managing Director	12-Oct-20	0.30	660	198.00	Trade-On	Responding to update request enquiry.	Investors	
					211,844.00				

LM Currency Protected Australian Income Fund: Calculation of Category 1 October 2015 to 31 October 2020

Employee	Position	Rate/hour excl GST	Total actual hours	Total (excl GST)	Administration	Assets	Creditors	Dividend	Investigations	Trade On
Ashleigh Ubank	Administration 2		180	4,772.50	3,283.00		1,489.50			
		\$	185	13.10						
			185	12.60						
			205	0.30						
			220	0.10						
Benjamin Robson	Consultant 1		300	14,237.00						14,237.00
			305	15.40						
Brittany Newman	Administration 1		135	373.00	373.00					
			145	2.20						
Carly Drew	Administration 2		185	18.50	18.50					
Caroline Halcoop	Administration 2		180	524.00	524.00					
			185	0.40						
Glenn O'Kearney	Senior Director		560	25,172.00	507.50	392.00	10,155.50			14,117.00
			565	39.20						
James Cook	Associate 2 Consultant 1		275	11,443.50	11,443.50					
			305	32.20						
John Park	Senior Managing Director		625	962.25	962.25					
			695	0.20						
			720	1.10						
Kelly-Anne Trenfield	Senior Managing Director		600	16,060.25	14,522.25		266.50		1,271.50	
			625	10.75						
			695	8.90						
			720	4.30						
Melinda Jones	Administration 1		145	594.50			594.50			
Neil Dempster	Consultant 1 Consultant 1 Senior Consultant 1 Senior Consultant 1 Senior Consultant 2 Director		305	54,019.00	2,773.00	717.50	27,890.00		114.00	22,524.50
			315	6.70						
			360	46.90						
			380	30.00						
			440	2.70						
			465	18.20						
			520	3.00						
Renee Lobb	Director Senior Director Managing Director		510	82,702.50	5,734.00	4,860.50	220.50		11,780.00	60,107.50
			565	65.00						
			570	54.40						
			580	1.60						
			625	5.70						
			660	8.15						
Talia Glover	Administration 1		145	103.50	103.50					
			155	0.00						

Tanya Kratz	Administration 2	220	0.10	22.00	22.00				
Taegen Pedro	Administration 2	180	3.00	540.00	540.00				
Subtotal			487.95	211,544.50	40,266.50	5,970.00	41,156.50	0.00	13,165.50
GST				21,154.45					
Total (Including GST)				232,698.95	104.20	11.20	103.30		23.05
Hours									246.20

LMI Investment Management: Calculation of Category 1 July 2018 to 31 October 2020

Employee	Position	Rate/hour		Total actual hours	Total (excl GST)	Administration	Assets	Creditors	Dividend	Investigations	Track-On
		excl GST	hours								
Ashleigh Ubank	Administration 2	185	19.00	19.00	5,697.50	4,668.00	\$	\$	\$	\$	148.00
		205	8.50								
		220.00	2.00								
Carly Young	Consultant 1	315	1.70	1.70	535.50	535.50	\$	\$	\$	\$	
Caroline Halcoop	Administration 2	185	1.20	0.50	324.50	324.50	\$	\$	\$	\$	
		205	0.50								
Jeremy Dalais	Consultant 1	315	1.20	1.20	378.00	378.00	\$	\$	\$	\$	
Jessica Sayer	Administration 1	155	3.00	1.10	646.50	646.50	\$	\$	\$	\$	
		165	1.10								
John Park	Senior Managing Director	625	7.30	7.30	8,966.00	7,403.50	\$	\$	\$	\$	1,312.50
		695	5.30								
		720	1.00								
Kelly-Anne Trenfield	Senior Managing Director	625	121.30	121.30	118,875.50	89,596.00	\$	\$	\$	\$	25,217.00
		695	45.80								
		720	15.60								
Neil Dempster	Senior Consultant I	380	6.20	6.20	2,402.50	114.00	\$	\$	\$	\$	2,166.00
		465	0.10								
Renee Lobb	Senior Director	570	76.20	76.20	71,940.00	28,173.50	\$	\$	\$	\$	31,878.50
		580	5.40								
		625	31.20								
Talia Glover	Administration 1	660	8.90	8.90	2,169.50	2,169.50	\$	\$	\$	\$	872.00
		155	9.10								
		165	2.60								
Tanya Kratz	Administration 2	220	1.50	0.50	714.00	399.50	\$	\$	\$	\$	185.00
		185	2.60								
		205	0.60	0.60							
		220	0.50	0.50							
		379.40		379.40	212,649.50	134,408.50	\$	\$	\$	\$	872.00
				21,264.95	21,264.95						
				233,914.45	233,914.45						
		379.40		379.40	242.90	0.50	\$	\$	\$	\$	103.40
											1.40